Ashley M. Gjovik, JD In Propria Persona 2108 N St. Ste. 4553 Sacramento, CA, 95816 (408) 883-4428 legal@ashleygjovik.com

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

ASHLEY GJOVIK, an individual,

Plaintiff,

VS.

APPLE INC, a corporation,

Defendant.

Judge: The Honorable Edward Chen

Case No. 3:23-CV-04597-EMC

Ashley Gjovik's Declaration

in Support of Plaintiff's Reply

re: Plaintiff's Motion to Disqualify

Civil L.R. 7-3, 7-5

DECLARATION OF ASHLEY M. GJOVIK IN SUPPORT OF PLAINTIFF'S REPLY IN SUPPORT OF MOTION TO DISQUALIFY ORRICK, HERRINGTON & SUTCLIFFE LLP

Pursuant to 28 U.S.C.§ 1746, I, Ashley M. Gjovik, hereby declare as follows:

My name is Ashley Marie Gjovik. I am a self-represented Plaintiff in this above captioned matter. I make this Declaration based upon my personal knowledge and in support of my pending Motion to Disqualify the Defendant's counsel]. I have personal knowledge of all facts stated in this Declaration, and if called to testify, I could and would testify competently thereto.

I submit these exhibits in support of my Motion & Reply because they are directly relevant to Apple's opposition and to the key factual issues before the Court. It is well established that courts may consider extrinsic evidence when ruling on a motion to disqualify counsel (*In re Complex Asbestos Litig.*, 232 Cal. App. 3d 572, 588 (1991)). Courts recognize that motions to disqualify opposing counsel are factintensive and require an examination of the record and supporting evidence (*SpeeDee Oil Change Sys., Inc.*, 20 Cal. 4th 1135, 1145 (1999)).

Additionally, courts permit parties to submit new evidence in reply if it rebuts arguments made in the opposition Because Apple's opposition contains factual misstatements, mischaracterizations, and omissions, Plaintiff submits these exhibits to correct the record and provide the Court with the necessary factual basis to resolve the motion. Courts have broad discretion to consider documents submitted with motions and declarations when they assist in ruling on a motion. Because these exhibits establish Apple's attorneys' conflicts of interest, their involvement in retaliatory conduct, and their manipulation of legal proceedings, they are directly relevant to Plaintiff's disqualification motion.

- 1. Attached hereto as Exhibit A is a true and correct copy of Defendant's discovery responses, claiming Attorney Client Privilege for facts over a six-month period, as referenced on page 2 of the Reply.
- 2. Attached hereto as Exhibit B is a true and correct copy of Defendant's initial disclosures, which do not include it's only witness for the U.S. Dept. of Labor proceeding, and responses to Request for Production, which refuse production, as referenced on page 3 of the Reply.
- 3. Attached hereto as Exhibit C is a true and correct copy of the April 1 2024 Meet & Confer transcript, documenting quoted text on multiple pages in the Reply.
- 4. Attached hereto as Exhibit D is a true and correct copy of the documents related to Apple's legal interactions with Appleseed, as mentioned on page 5-6 of the Reply.
- 5. Attached hereto as Exhibit E is a true and correct copy of Defendant's communications with U.S. Dept. of Labor in the Ashley Gjovik v. Apple Inc OSHA investigation, and the OSHA investigation log, showing Appleseed as the only witness, as referenced on multiple pages of the Reply.
- 6. Attached hereto as Exhibit F is a true and correct copy of Apple's counsel's request for copies of court records from Appleseed's lawsuit against the Plaintiff, and the notices of appearance for MWE and Orrick, as mentioned in the Reply.

- 7. Attached hereto as Exhibit G is a true and correct copy of Appleseed's communications with OSHA as a defense witness for Apple, as released by U.S. Dept of Labor in Dec. 2024, and mentioned in the Reply.
- 8. Attached hereto as Exhibit H is a true and correct copy of the chapter in my U.S. Dept. of Labor complaint that Apple reported to OSHA as "leaking," which includes information that reveals Apple filed a falsified Informed Consent Form for the Gobbler study, as referenced on page 8 of the Reply.].
- 9. Attached hereto as Exhibit I is a true and correct copy of some of the social media posts I alleged that I believe are not only Apple, but I now believe are the Orrick attorneys, as referenced on page 10 of the Reply.

The documents attached as exhibits are referenced in my Reply and provide supporting evidence for the arguments therein. These documents include records produced by Apple, communications, court filings, agency determinations, and other materials directly relevant to the issues before the Court.

Finally, Apple's counsel also accuses me of misconduct due to typos in my legal citations in my motion, and accuses me of using AI to generate the complaint. I already disclosed I started using AI tools to assist me after Judge Chen warned me I cannot be late on deadlines – because I was already trying to finish as quickly as I could, and without additional resources, or extensions, then the quality is what must

suffer, unfortunately. I did confirm all four cases are relevant and they do support my arguments. However, the complaint unfortunately used quotes around summaries (not actually quotes), and the citations for *Smith v. Superior Court* were slightly incorrect, with the correct citation being *Smith v. Superior Court*, 68 Cal. 2d 547, 68 Cal. Rptr. 1, 440 P.2d 65 (Cal. 1968).

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on this 24th day of February 2025, in Boston, Massachusetts

Signature:

/s/ Ashley M. Gjovik

Pro Se Plaintiff

Email: legal@ashleygjovik.com

Physical Address: Boston, Massachusetts

Mailing Address: 2108 N St. Ste. 4553 Sacramento, CA, 95816

Phone: (408) 883-4428

Ехнівіт А

Case 3:23-cv-04597-EMC Document 174 Filed 02/24/25 Page 7 of 334

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Defendant Apple Inc. responds to Northern District of California General Order No. 71: Initial Discovery Protocols For Employment Cases Alleging Adverse Action ("Gender Order 71") as follows:

Defendant provides these responses based on the information and documents currently available to it, given that discovery in this action is ongoing. Nothing contained in these responses shall in any way limit Defendant's ability to make all uses at trial or otherwise of the information or documents referenced herein or of any information, documents or other evidence that may be discovered in the future. Defendant has made its best effort to respond accurately to each category, but reserves the right to amend its objections and responses upon completion of its search for responsive documents.

Defendant objects to each and every category to the extent that it seeks information protected from disclosure by the attorney-client privilege, the work-product doctrine, or any other applicable privilege. If supplying any of the requested documents would result in waiving any applicable privilege or objection based on any such privilege, Defendant objects to providing the documents and will not do so. If Defendant inadvertently produces any documents falling within any applicable privilege, Defendant does not intend to waive the applicable privilege.

Defendant objects to each and every category to the extent that it seeks documents and/or information that is protected from disclosure by the rights of privacy of third-party non-litigants.

Defendant objects to each and every category to the extent that it seeks electronically stored information ("ESI") from sources that are not reasonably accessible because of undue burden and expense. To the extent that any categories call for ESI from sources that are not reasonably accessible without undue burden or cost within the meaning Federal Rule of Civil Procedure 26(b)(2)(B), or for which the burden or cost of retrieval and review would not be proportional to the needs of the case (including where the burden or expense would outweighs its likely benefit), Defendant will not supply or render such ESI.

Subject to and without waiving any of the above objections, and incorporating each of them by this reference into each response below, Defendant responds to General Order 71 as follows:

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1. Production of Documents By Defendant

Category A:

All communications concerning the factual allegations or claims at issue in this lawsuit among or between: (i) The plaintiff and the defendant; (ii) The plaintiff's manager(s), and/or supervisor(s), and/or the defendant's human resources representative(s).

Response to Category A:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. After a diligent search and reasonable inquiry Defendant will produce, pursuant to a protective order regarding the treatment of confidential information ("the Confidentiality Order"), non-privileged communications concerning Plaintiff and the factual allegations or claims at issue in this lawsuit directly related to her alleged wrongful termination, between Plaintiff and Yannick Bertolus, Aleks Kagramanov, Ekelemchi Okpo, Dave Powers, Michael Steiger, Jenna Waibel, and/or Dan West from March 1, 2021 to September 9, 2021. After a diligent search and reasonable inquiry Defendant will also produce non-privileged correspondence concerning Plaintiff and the factual allegations or claims at issue in this lawsuit directly related to her alleged wrongful termination, between or among Yannick Bertolus, Aleks Kagramanov, Ekelemchi Okpo, Dave Powers, Michael Steiger, Jenna Waibel, and/or Dan West from March 1, 2021 to September 9, 2021.

Category B:

Responses to claims, lawsuits, administrative charges, and complaints by the plaintiff that rely upon any of the same factual allegations or claims as those at issue in this lawsuit.

Response to Category B:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. The only complaints made by Plaintiff of which Defendant is aware that may be related to her

wrongful termination claims in this lawsuit are certain administrative charges she filed with the National Labor Relations Board ("NLRB") and with the United States Department of Labor ("DOL"). Defendant will not be producing its responses to the NLRB Charges because the NLRB cases are still open, and as a result the responses are not available to Plaintiff nor are they subject **FOIA NLRB** Freedom Information to request. See of Act Manual, https://www.nlrb.gov/sites/default/files/attachments/basic-page/node-1727/march2008foiamanual.pdf, at 87 (stating that "[t]he FOIA is not intended to function as a private discovery tool," and citing NLRB v. Robbins Tire & Rubber Co., 437 U.S. 214, 242 (1978) and Coastal States Gas Corp. v. U.S. Dep't of Energy, 644 F.2d 969, 982 (3d Cir. 1981)). As for

Plaintiff's case before the DOL, to the extent that any factual allegations overlap, Defendant will

not be producing its response because on December 8, 2023, the DOL issued a finding of no cause

on Plaintiff's claims, and Plaintiff communicated to Defendant that same day her intent to appeal.

As of the date of this filing, Plaintiff's time to appeal the DOL Secretary's Findings has not yet run.

Category C:

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Documents concerning the formation and termination, if any, of the employment relationship at issue in this lawsuit, irrespective of the relevant time period.

Response to Category C:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, Defendant will produce Plaintiff's personnel file, which includes her offer letter and termination letter.

Category D:

The plaintiff's personnel file, in any form, maintained by the defendant, including files concerning the plaintiff maintained by the plaintiff's supervisor(s), manager(s), or the defendant's human resources representative(s), irrespective of the relevant time period.

Response to Category D:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, Defendant will produce Plaintiff's personnel file. No other files identified in Category D exist.

Category E:

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The plaintiff's performance evaluations and formal discipline.

Response to Category E:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce, pursuant to a Confidentiality Order, Plaintiff's performance reviews. Defendant will also produce the termination letter related to Plaintiff.

Category F:

Documents relied upon to make the employment decision(s) at issue in this lawsuit.

Response to Category F:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce, pursuant to a Confidentiality Order, non-privileged documents relied on to make the decision to terminate Plaintiff's employment.

Category G:

Workplace policies or guidelines relevant to the adverse action in effect at the time of the adverse action. Depending upon the case, those may include policies or guidelines that address: (i) Discipline; (ii) Termination of employment; (iii) Promotion; (iv) Discrimination; (v) Performance reviews or evaluations; (vi) Misconduct; (vii) Retaliation; and (viii) Nature of the employment relationship.

Response to Category G:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce policies relevant to Plaintiff's alleged wrongful termination of employment (the only issues/claims not presently subject to a pending motion to dismiss), including the Confidentiality and Intellectual Property Agreement that Plaintiff signed, and relevant portions of Defendant's Business Conduct Policy and EEO Policy. Defendant will produce its internal facing Misconduct and Discipline Policy pursuant to a Confidentially Order.

Category H:

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The table of contents and index of any employee handbook, code of conduct, or policies and procedures manual in effect at the time of the adverse action.

Response to Category H:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. After a diligent search and reasonable inquiry, Defendant will produce the table of contents of its Business Conduct Policy effective October 2020, which was in effect at the time of the alleged adverse action at issue in this lawsuit (Plaintiff's termination).

Category I:

Job description(s) for the position(s) that the plaintiff held.

Response to Category I:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: Defendant will produce job descriptions for the particular position(s) that Plaintiff held if it is able to locate any after a diligent search and reasonable inquiry.

Category J:

Documents showing the plaintiff's compensation and benefits. Those normally include retirement plan benefits, fringe benefits, employee benefit summary plan descriptions, and summaries of compensation.

Response to Category J:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce, pursuant to a Confidentiality Order, non-privileged documents showing Plaintiff's compensation and benefits from during her employment with Defendant.

Category K:

Agreements between the plaintiff and the defendant to waive jury trial rights or to arbitrate disputes.

Response to Category K:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, no responsive documents exist.

Category L:

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Documents concerning investigation(s) of any complaint(s) about the plaintiff or made by the plaintiff, if relevant to the plaintiff's factual allegations or claims at issue in this lawsuit and not otherwise privileged.

Response to Category L:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. After a diligent search and reasonable inquiry, Defendant will produce, pursuant to a Confidentiality Order, responsive, non-privileged, non-work-product documents that are directly related to Plaintiff's alleged wrongful termination.

Category M:

Documents in the possession of the defendant and/or the defendant's agent(s) concerning claims for unemployment benefits unless production is prohibited by applicable law.

Response to Category M:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, Defendant does not have any responsive documents.

Category N:

Any other document(s) upon which the defendant relies to support the defenses, affirmative defenses, and counterclaims, including any other document(s) describing the reasons for the adverse action.

Response to Category N:

There is no operative answer on file. Defendant will produce non-privileged documents in support of its defenses and affirmative defenses at the appropriate time after its answer is filed.

Defendant's investigation of Plaintiff's claims is ongoing and Defendant reserves the right to amend this response, to supplement documents produced in support of this response, and to rely on such information and documents as evidence in this action.

2. Production of Information By Defendant

Defendant has provided, to the best of its knowledge and ability at this time, complete and

Defendant has provided, to the best of its knowledge and ability at this time, complete and accurate information required by Rule 26(a)(1)(A) and General Order 71. As set forth below, Defendant reserves its right to supplement or delete from the responses below as more information is obtained through the discovery process.

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Identify the plaintiff's supervisor(s) and/or manager(s).

Response to Category A:

Linda Keshishoglou, Evan Buyze, and David Powers.

Category B:

Identify person(s) presently known to the defendant who were involved in making the decision to take the adverse action.

Response to Category B:

Defining "adverse action" as the termination of Plaintiff's employment, Yannick Bertolus made the decision to terminate Plaintiff's employment.

Category C:

Identify persons the defendant believes to have knowledge of the facts concerning the claims or defenses at issue in this lawsuit, and a brief description of that knowledge.

Response to Category C:

Defendant believes the following individuals are likely to have discoverable information relevant to facts regarding Plaintiff's claims for alleged wrongful termination of employment—the only issues/claims not presently subject to a pending motion to dismiss—as alleged with particularity in the pleadings:

1. Plaintiff (information regarding complaints to Defendant, and termination of Plaintiff's employment)

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2. Yannick Bertolus (Hardware Engineering Vice President) (information regarding 2 termination of Plaintiff's employment) 3 3. Aleks Kagramanov (Employee Relations Business Partner) (information regarding 4 termination of Plaintiff's employment) 5 4. Ekelemchi Okpo (Employee Relations Business Partner) (information regarding 6 complaints to Defendant and Defendant's policies) 7 5. David Powers (SW Development Engineer Director) (information regarding 8 complaints to Defendant) 9 6. Michael Steiger (Environmental Health and Safety Manager) (information 10 regarding complaints to Defendant and Defendant's policies) 11 7. Jenna Waibel (Employee Relations Business Partner) (information regarding 12 complaints to Defendant and Defendant's policies) 13 8. Dan West (Hardware Development Engineer Senior Director) (information 14 regarding complaints to Defendant) 15 Except for Plaintiff, all individuals identified above may only be contacted through 16 Defendant's counsel. Following discovery and receipt of additional information regarding the 17 nature of Plaintiff's claims, additional witnesses may be identified, and Defendant reserves the right 18 to supplement this list accordingly. 19 Category D: 20 State whether the plaintiff has applied for disability benefits and/or social security disability 21 benefits after the adverse action. State whether the defendant has provided information to any third 22 party concerning the application(s). Identify any documents concerning any such application or any 23 such information provided to a third party. 24 Response to Category D: 25 To Defendant's knowledge, Plaintiff has not applied for disability benefits or social security 26 disability benefits. As a result, Defendant has not provided information to any third party 27 concerning any such application.

From: Juvinall, Kate <kjuvinall@orrick.com>
Sent: Tuesday, December 19, 2023 1:29 PM
To: Ashley M. Gjovik (Legal Matters)

Cc: Booms, Ryan; Riechert, Melinda; Mantoan, Kathryn G.; Perry, Jessica R.; Mahoney, Brian;

harry.johnson; kelcey.phillips@morganlewis.com; mark.stolzenburg@morganlewis.com;

crystal.carey@morganlewis.com

Subject: RE: Gjovik v Apple | Requesting signed instruments under CLC § 432

Ashley,

As you know, the federal rules of civil procedure allow you to request these types of documents during discovery after the Rule 26(f) conference occurs.

We are not aware of any statute governing contracts that allows you to seek these types of documents outside of the formal discovery process. If you are referring to a specific statute, please send us the citation.

Best, Kate

From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Friday, December 15, 2023 3:09 PM **To:** Juvinall, Kate <kjuvinall@orrick.com>

Cc: Booms, Ryan <rbooms@orrick.com>; Riechert, Melinda <mriechert@orrick.com>; Mantoan, Kathryn G.

<kmantoan@orrick.com>; Perry, Jessica R. <jperry@orrick.com>; Mahoney, Brian <bri>brian.mahoney@morganlewis.com>;

harry.johnson <harry.johnson@morganlewis.com>; kelcey.phillips@morganlewis.com;

mark.stolzenburg@morganlewis.com; crystal.carey@morganlewis.com

Subject: RE: Gjovik v Apple | Requesting signed instruments under CLC § 432

[EXTERNAL]

FYI, I talked with two people at CalDOL DIR today about Section 432 and both said it applies to ex-employees of California employers. I'm now waiting for written confirmation from the California Labor Commissioner's Office.

Ashley M. Gjøvik BS, JD, PMP

Sent with Proton Mail secure email.

On Thursday, December 14th, 2023 at 8:06 PM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com> wrote:

Thank you for the update. I received your email about Section 432. I find the legal analysis in the cases cited questionable, and neither of the two cases are controlling, so I will be researching the topic further. I also plan to call the CalDOL office to discuss it with them as well, so I will get back you later on my challenge.

I will also request a copy of the Face Gobbler ICF from Apple once more, now under contract law. Apple has cited that alleged Gobbler ICF in their defense, and claim it is evidence supporting their decision to terminate me. However, Apple has not provided me a copy of that document, and when I attempted to access that document prior to my termination, I could not -- the prior link said it no longer existed. If I was to sign such a thing, it assumably had

obligations that lasted longer than 1 year, and thus statute of frauds is implicated. If there is no proof of signed document, then there is no contract. Further, I will be arguing bad faith conduct by Apple, and further evidence of lack of consent and lack of mutual assent, if they continue to refuse to provide a copy of the document they claim is symbolic of my 'consent'. Finally, without providing me even confirmation the document does even exist still, I will also be treating the document as assumed to be non-existent in my amended complaint and opposition.

If my request is denied again. I	will of course also be requesting	the document in discovery.

Thanks, -Ashley

Ashley M. Gjøvik BS, JD, PMP

Sent with Proton Mail secure email.

On Thursday, December 14th, 2023 at 7:18 PM, Juvinall, Kate <kjuvinall@orrick.com> wrote:

Hi Ashley – confirming receipt of your email. We separately responded today regarding your LC section 432 request and will follow-up regarding your request under the CPRA.

Thank you,

Kate

From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Friday, December 8, 2023 6:35 PM

Cc: Booms, Ryan < rbooms@orrick.com; Riechert, Melinda < mriechert@orrick.com; Juvinall, Kate < kjuvinall@orrick.com; Juvinall

Perry, Jessica R. < <u>iperry@orrick.com</u>>; Mahoney, Brian < <u>brian.mahoney@morganlewis.com</u>>; harry.johnson

harry.johnson@morganlewis.com; kelcey.phillips@morganlewis.com; mark.stolzenburg@morganlewis.com; crystal.carey@morganlewis.com;

Subject: RE: Gjovik v Apple | Requesting signed instruments under CLC § 432

[EXTERNAL]

Removing OMM who confirmed they're no longer on this either

Hello,

Contracts

First, since Gobbler is the crux of Apple's legal defense in this matter, I'd think that the alleged Gobbler NDA would be easily on hand and it should only take a couple days at most to send over. Its been 10 days now. Please send or confirm it no longer exists.

CPRA

Second, the US DOL decision today (which I am appealing on both claims) appears to make direct reference to my personal information that was on my personal iPhone and personal iCloud account, including my discussions with non-employees on their iPhones and my personal iPhone, which must have been collected by Apple, and shared by Apple. Prior filings also reference my personal text messages (copied by Global Security) and my social media posts. All of this is personal information.

Considering this, under the CPRA, I am requesting copies of my personal information held by Apple including "where it came from, retention policies, and third-party disclosures." Among more standard types of data, personal information also includes "geolocation, biometrics, and internet activity" data. [see Morgan Lewis article]. This also includes but is not limited to: "information collected and analyzed concerning a consumer's health... and sex life." [see Orrick article].

If Apple would like to deny the request for certain types of data, please provide information on what exception they relied on to deny the request and for what category of data they applied it.

I also opt out of the sale or sharing of that data, automated decision making based on that data, and ask to limit the use/disclosure of that information.

That information includes, but is not limited to: "The CPRA also grants employees a new right to limit use and disclosure of "sensitive personal information," which is defined to include (1) precise geolocation data, (2) racial or ethnic origin, (3) union membership, (4) the contents of certain employee email and text messages, and (5) biometric information." [see Morgan Lewis article].

Finally, I reserve my right to also request a deletion of that data.

I am filing this request as an ex-Apple employee, but also as someone with an active business based in California who owns and uses Apple products, thus a standard 'consumer.'

A business cannot discriminate against a consumer because the consumer exercised any of the consumer's California rights, unless the price or service difference is reasonably related to the value provided to the business by the consumer's data. [see Orrick article].

Thank y	ou.
— Ashley	M. Gjøvik
BS, JD,	
Sent wit	rh <u>Proton Mail</u> secure email.
	lay, December 3rd, 2023 at 11:08 PM, Ashley M. Gjovik (Legal Matters) ashleygjovik.com> wrote:
	Thank you for the update, MWE. Moving MWE to bcc.
	Morgan Lewis - I now assume you are assigned to all five NLRB charges (including the two open cases). You guys should fix up your notices of appearance - it still shows MWE on most of the NLRB case webpages.
	If Morgan Lewis is not retained for some of the NLRB charges, please confirm, and let me know if I should ask Apple who is, or if Apple is handling them directly or if you know who else is handling them if not you.
	Thank you.
	-Ashley
	_
	Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Saturday, December 2nd, 2023 at 5:17 PM, Foster, Christopher <Cfoster@mwe.com> wrote:

MWE does not represent any party in these referenced matters.

Thank you,

Chris

CHRISTOPHER FOSTER

Partner

Biography | Website | vCard | Twitter | LinkedIn

From: Ashley M. Gjovik (Legal Matters)

< legal@ashleygjovik.com >

Sent: Saturday, December 2, 2023 9:18 AM

To: Foster, Christopher < Cfoster@mwe.com>;
McConnell, Julie < Jmcconnell@mwe.com>; Booms,
Ryan < rbooms@orrick.com>; Riechert, Melinda
< mriechert@orrick.com>; Mantoan, Kathryn G.

<<u>kmantoan@orrick.com</u>>; Juvinall, Kate

<<u>kjuvinall@orrick.com</u>>; jperry <<u>jperry@orrick.com</u>>;

Mahoney, Brian < brian.mahoney@morganlewis.com;

harry.johnsonharry.johnson@morganlewis.com;

kelcey.phillips@morganlewis.com;

mark.stolzenburg@morganlewis.com;

<u>crystal.carey@morganlewis.com</u>; Eberhart, David R.

<deberhart@omm.com>

Subject: Re: Gjovik v Apple | Requesting signed

instruments under CLC § 432

^{*} Admitted to practice law in California, Washington, and Idaho

Some people who received this message don't often get email from legal@ashleygjovik.com. Learn why this is important

[External Email]

+ OMM if they're still on this too

Removing Sayed at MWE who per OoO apparently left in Feb '23 (@Chris, Ron left around that time too, and Julie is on leave, so if there are others on your firm now on my NLRB cases, please loop them in).

Can someone from one of these firms please at least confirm receipt of my request? I sent this Tuesday and haven't heard anything back from any of you.

If this request needs to be submitted directly to Apple, please let me know - however y'all are representing Apple and I'm supposedly supposed to go through you with my requests and questions during litigation/adjudication.

It's unclear to me if the NLRB NDA adjudication is now represented by both MWE and Morgan Lewis, or if Morgan Lewis is only on the Cook email and my 1/10/22 witness intimidation and witness retaliation charge, and MWE is still on the NDAs and employment policies and 8/26 & 9/16/21 unfair labor/retaliation charges. If someone can clear that up too please, it would be appreciated.

I also don't know who Apple hired to represent them on the California Dept of Labor cases but I assume that is moot now that I rolled them into the federal civil suit.

Can we also do a roles and responsibilities matrix, maybe?

Thank you.

-Ashley

Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Tuesday, November 28th, 2023 at 12:25 AM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com wrote:

Hello Apple lawyers,

I am requesting copies of all instruments I signed (physical and electronic signatures) relating to my obtainment and holding of employment at Apple Inc.

This request is governed by California Labor Code, Article 3, § 432. The litigation exception to § 1198.5 does not apply to § 432.

I am requesting that the Face Gobbler related instrument(s) be released first and expeditiously, and not saved for a bulk release with the others. If Apple does not have a copy of any signed Face Gobbler related instruments, I would like confirmation of that fact and swiftly.

For all instruments, I am requesting to receive records digitally, which should not be an issue as almost all of them were signed digitally. You can share the documents via email attachments or via a shared document repository as long as it allows me to download them without alteration.

As we are over two years into this litigation, I'd expect these records should already be on hand, and as such I do not expect any prolonged delays.

Thank you for your cooperation. Please let me know if you have any questions.

	-Ashley
	_
	Ashley M. Gjøvik
	BS, JD, PMP
	Sent with Proton Mail secure email.

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APPLE INC.'S INITIAL DISCLOSURES OALJ CASE No.: 2024-CER-00001 OSHA CASE No.: 9-3290-22-051

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APPLE INC.'S INITIAL DISCLOSURES OALJ CASE NO.: 2024-CER-00001 OSHA CASE NO.: 9-3290-22-051

Respondent Apple Inc. ("Apple" or "Respondent") hereby submits the following Initial Disclosures to Complainant Ashley Gjovik ("Complainant"). Apple makes these Initial Disclosures based upon information currently available to it and reserves the right to supplement all disclosures as discovery progresses. Apple makes these disclosures concerning the claims and defenses properly at issue in OALJ Case No. 2024-CER-00001, which are limited to the Comprehensive Environmental Response, Compensation and Liability Act of 1980 ("CERCLA"), 42 U.S.C. § 9610 claim and defenses addressed in the Secretary of Labor's December 8, 2023 findings in OSHA Case No. 9-3290-22-051. See 29 C.F.R. § 24.100 et seq. (setting forth procedures required to make and appeal determinations regarding alleged whistleblower retaliation under CERCLA).

Pursuant to the January 19, 2024 Notice of Docketing and 29 C.F.R. § 18.50(c)(1),

These disclosures are made without waiving the right to object on the grounds of competency, privilege, relevancy and materiality, hearsay or any other proper ground and the right to object on any and all grounds, at any time, to any discovery request or proceeding involving or relating to the subject matter of these Initial Disclosures.

Category 1: The name and, if known, the address and telephone number of each individual likely to have discoverable information—along with the subjects of that information—that the disclosing party may use to support its claims or defenses, unless the use would be solely for impeachment.

The following are persons who may have information that Respondent may use to support its defenses in this case:

1. Complainant (information regarding complaints to Respondent regarding the issues raised in Complainant's August 29, 2021 (ECN76833) complaint that are properly

¹ The December 8, 2023 Findings addressed issues raised by Complainant regarding 825 Stewart Drive in complaints filed on August 29, 2021 (ECN76833) and November 2, 2021 (ECN78416). However, the November 2, 2021 complaint is not at issue in this appeal because it addressed only a claim under the Sarbanes-Oxley Act.

² Complainant also appears to seek review of claims under the Solid Waste Disposal Act, Resource Conservation and Recovery Act, and Clean Air Act, but any such claims were not timely raised in her initial complaint and are thus not properly at issue. *See* 29 C.F.R. §§ 24.103, 24.105. To the extent the ALJ decides to consider any of these claims, Respondent reserves the right to amend these initial disclosures accordingly.

1	Documents regarding Respondent's policies and procedures in effect from March
2	2021 to Complainant's termination of employment
3	Documents regarding the termination of Complainant's employment
4	To the extent these documents consist of electronically stored information, these documents are
5	located on servers, computers, and other electronic storage media, that are either (a) located a
6	Respondent's corporate headquarters or in the possession of Respondent's employees, or (b) in the
7	possession of Respondent's outside counsel. To the extent any tangible documents or things or
8	these subjects exist in the possession, custody or control of Respondent, they are located a
9	Respondent's headquarters and may be obtained through Respondent's outside counsel.
10	Category 3: A computation of each category of damages claimed by the disclosing
11	party—who must also make available for inspection and copying as under § 18.61 the
12	documents or other evidentiary material, unless privileged or protected from disclosure, or
13	which each computation is based, including materials bearing on the nature and extent or
14	injuries suffered.
15	Apple does not claim any damages at this time.
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17	Dated: February 9, 2024 By: /s/Jessica R. Perry
18	JESSICA R. PERRY Attorneys for Respondent Apple Inc.
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	Apple Inc.'s Initial Disclosure:

APPLE INC.'S INITIAL DISCLOSURES OALJ CASE No.: 2024-CER-00001 OSHA CASE No.: 9-3290-22-051

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- 9 Attorneys for Defendant Apple Inc.

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Pursuant to Federal Rule of Civil Procedure 26(a)(1), Defendant Apple Inc. identifies the following witnesses and documents, and makes the following initial disclosures. Defendant makes these disclosures based on information currently available to it at this time, following a good faith inquiry in accordance with Rule 26. Defendant reserves the right to amend and/or supplement these disclosures if and as any further information becomes available during discovery and to rely upon such information as evidence in this action. Defendant makes the following disclosures to expedite the discovery process and without waiving the Federal Rules of Evidence, including the protections of the attorney-client privilege, the work-product doctrine, and any other applicable privilege. Defendant expressly reserves its rights under those privileges and protections. By making these disclosures, Defendant does not concede that the disclosed evidence is relevant or admissible as evidence at trial, and reserves the right to assert any and all evidentiary objections.

Further, the only claims at issue in this lawsuit and not subject to Defendant's forthcoming motion to dismiss—due on July 15, 2024—are those directly related to the allegedly wrongful termination of Plaintiff's employment and/or alleged retaliation during the course of her employment. Thus, Defendant identifies individuals likely to have discoverable information regarding those factual allegations and claims clearly at issue, as well as documents, data compilations, and tangible things that Defendant may use to support its claims or defenses.

Rule 26(a)(1)(A): The name and, if known, the address and telephone number of each individual likely to have discoverable information that the disclosing party may use to

¹ Defendant does not believe it is required to provide initial disclosures under FRCP 26(a) because Northern District of California General Order No. 71: Initial Discovery Protocols For Employment Cases Alleging Adverse Action ("GO 71") applies. GO 71 "applies to all employment cases filed in this court after February 1, 2018, that challenge one or more actions alleged to be adverse." GO 71 by its terms is "intended to supersede the parties' obligations to make initial disclosures pursuant to F.R.C.P. 26(a)(1)" in applicable cases. Here, Plaintiff's case "challenge[s] one or more [employment] actions alleged to be adverse" in her wrongful termination and whistleblower retaliation claims. Defendant served its GO 71 disclosures on December 18, 2023 and supplemented those disclosures with additional document productions on April 5, 2024 and May 15, 2024; to date Defendant has produced 418 documents totaling 1,549 pages pursuant to GO 71. Plaintiff has indicated that she does not believe GO 71 applies to this case, and accordingly Defendant makes these FRCP 26(a)(1) disclosures without conceding that they are required in this matter. The parties met and conferred regarding topics set forth in the Standing Order for All Judges of the Northern District of California: Contents of Joint Statement Management Statement on June 25, 2024.

support its claims or defenses, unless solely for impeachment, identifying the subjects of the information.

Subject to the preliminary statement set forth above, Defendant responds:

- Plaintiff (information regarding complaints to Defendant, and termination of Plaintiff's employment)
- 2. Yannick Bertolus (Hardware Engineering Vice President) (information regarding termination of Plaintiff's employment)
- 3. Aleks Kagramanov (Employee Relations Business Partner) (information regarding termination of Plaintiff's employment)
- 4. Ekelemchi Okpo (Labor Relations Business Partner) (information regarding complaints to Defendant and Defendant's policies)
- 5. Michael Steiger (Environmental Health and Safety Manager) (information regarding complaints to Defendant and Defendant's policies)
- 6. Jenna Waibel (Employee Relations Business Partner) (information regarding complaints to Defendant and Defendant's policies)

Except for Plaintiff, all individuals identified above may only be contacted through Defendant's counsel. Following discovery and receipt of additional information regarding the nature of Plaintiff's claims, additional witnesses may be identified, and Defendant reserves the right to supplement this list accordingly.

Rule 26(a)(1)(B): A copy of, or a description by category and location of, all documents, data compilations, and tangible things that are in the possession, custody, or control of the party and that the disclosing party may use to support its claims or defenses, unless solely for impeachment.

Subject to the preliminary statement set forth above, Defendant responds:

 E-mail communications concerning Plaintiff, complaints she made to Defendant regarding perceived issues at 825 Stewart, and/or the termination of her employment between Plaintiff and Yannick Bertolus, Antone Jain, Aleks Kagramanov, Antonio

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lawsuit.

Dated: July 9, 2024 ORRICK, HERRINGTON & SUTCLIFFE LLP Melinda Riechert By: __ MELINDA S. RIECHERT Attorneys for Defendant APPLE INC.

1	(Additional counsel on following page)		
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17	ASHLEY GJOVIK,	Case No. 23-cv-4597-EMC	
18	Plaintiff,	DEFENDANT APPLE INC.'S	
19	V.	RESPONSES TO PLAINTIFF'S PART 1, REQUEST FOR	
20	APPLE INC.,	PRODUCTION OF PHASE 1 DISCOVERY	
21	Defendant.		
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Defendant Apple Inc. responds to Plaintiff Ashley Gjovik's Part 1, Request for Production of Phase 1 Discovery¹ ("Requests"), served on October 30, 2024, as follows:

RESPONSES TO REQUESTS FOR PRODUCTION

REQUEST FOR PRODUCTION NO. 1:

The case file from Defendant's Employee Relations Case Management Platform concerning Jenna Waibel's investigation into Gjovik starting at or before March 2021 (or whatever it was coded as when Waibel became involved in March 2021) through September 10 2021. (Including notes or comments from people other than Waibel).

RESPONSE TO REQUEST FOR PRODUCTION NO. 1:

Defendant does not have any documents responsive to this Request because Ms. Waibel never conducted an investigation into Plaintiff.

REQUEST FOR PRODUCTION NO. 2:

The case file from Defendant's Employee Relations Case Management Platform concerning Jenna Waibel's Investigation into Gjovik's concerns starting in March 2021 through September 10 2021. (Including notes or comments from people other than Waibel).

RESPONSE TO REQUEST FOR PRODUCTION NO. 2:

Defendant objects to this Request to the extent it seeks information outside of the claims properly at issue in the Fourth Amended Complaint ("4AC") as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant objects to this Request to the extent it seeks disclosure of a confidential internal

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¹ On October 1, 2024, the Court limited discovery to "Phase 1' discovery (*i.e.*, discovery needed for the settlement conference) on Counts 1 [wrongful termination] and 3 [retaliation] (which Apple did not contest at all in its motion to dismiss) and those parts of the retaliation claims above that were not challenged or otherwise survived." Dkt. 112 at 41. These Requests improperly seek information about a number of issues and claims outside of that scope, which is not relevant to Plaintiff's employment-related claims and for which discovery is thus not proper at this time.

Employee Relations investigation that includes sensitive and private information about third parties. *See* Fed. R. Civ. Proc. 26(c).

Subject to and without waiving the forgoing objections, Defendant did not maintain a case file concerning Ms. Waibel's investigation into concerns Plaintiff raised in an "Employment Relations Case Management Platform." Defendant interprets "case file" to mean the investigation documents maintained by Ms. Waibel relating to concerns raised by Plaintiff. Defendant has not identified any responsive, non-privileged documents as the investigation was conducted at the direction and under the supervision of counsel. Defendant will produce a categorical privilege log.

REQUEST FOR PRODUCTION NO. 3:

The case file from Defendant's Employee Relations Case Management Platform concerning Ekelemchi Okpo's investigation into Gjovik's concerns starting in July 2021 through September 10 2021. (Including notes or comments from people other than Okpo).

RESPONSE TO REQUEST FOR PRODUCTION NO. 3:

Defendant objects to this Request to the extent it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant objects to this Request to the extent it seeks disclosure of a confidential internal Employee Relations investigation that includes sensitive and private information about third parties. *See* Fed. R. Civ. P. 26(c).

Subject to and without waiving the forgoing objections, Defendant did not maintain a case file concerning Mr. Okpo's investigation into concerns raised by Plaintiff in an "Employment Relations Case Management Platform." Defendant interprets "case file" to mean the investigation documents maintained by Mr. Okpo relating to concerns raised by Plaintiff. Defendant has not identified any responsive, non-privileged documents as the investigation was conducted at the direction and under the supervision of counsel. Defendant will produce a categorical privilege log.

REQUEST FOR PRODUCTION NO. 4:

The case file from Respondent's Employee Relations Case Management Platform concerning any investigation into Gjovik starting on August 4 2021 through September 10 2021. (Including notes or comments from people other than Okpo).

RESPONSE TO REQUEST FOR PRODUCTION NO. 4:

Defendant objects to this Request to the extent it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant objects to this Request to the extent it seeks disclosure of a confidential internal Employee Relations investigation that includes sensitive and private information about third parties. *See* Fed. R. Civ. P. 26(c).

Subject to and without waiving the forgoing objections, Defendant did not maintain a case file regarding an investigation into Plaintiff from August 4, 2021 to September 10, 2021, in an "Employment Relations Case Management Platform." Defendant interprets "case file" to mean the investigation documents related to Aleks Kagramanov's investigation into Plaintiff posting confidential documents on the internet. Defendant does not have any responsive, non-privileged documents as the investigation was conducted at the direction and under the supervision of counsel. Defendant will produce a categorical privilege log.

REQUEST FOR PRODUCTION NO. 5:

All documents concerning the August 2021 "Issue Confirmation", document for Plaintiff [sic], and from document Plaintiff [sic], including drafts (with time stamps and/or metadata) and associated emails or other communications, through Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 5:

Defendant objects to this Request to the extent it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count

VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant objects to this Request to the extent it seeks disclosure of confidential, sensitive, and private information about third parties. *See* Fed. R. Civ. P. 26(c).

Subject to and without waiving the forgoing objections, Defendant understands this Request to seek all documents concerning the August 2021 Issue Confirmation sent between Plaintiff and Defendant, including drafts. Defendant will produce all responsive, non-privileged documents located after a reasonably diligent search as they are kept in the usual course of business subject to entry of an appropriate protective order in this matter.

REQUEST FOR PRODUCTION NO. 6:

The Business Conduct system record for Complainant's August 2021 Business Conduct Complaint including any notes, updates, status, and/or resolution. Also any emails were sent by Business Conduct about the Complaint through Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 6:

Defendant does not have any documents responsive to this Request because the Business Conduct complaint that Gjovik filed in August 2021 (regarding a separate alleged conflict of interest) is not related to Plaintiff's claims presently at issue in the 4AC and as defined in the Court's October 1, 2024 Order. *See* Dkt. 112 at 38-41.

REQUEST FOR PRODUCTION NO. 7:

All indoor air testing results and reports, air test plans, cracked slab repair plans, cracked slab inspection reports, cracked slab sealing reports, SSD/SSV maintenance reports, engineer certifications of work performed, invoices, work orders, related to the CERCLA engineering controls at 825 Stewart Drive from Jan 1 2021 through Sept 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 7:

Defendant objects to this Request because it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count

VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant also objects to this Request on the grounds that it is overbroad and unduly burdensome, and it seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

REQUEST FOR PRODUCTION NO. 8:

Any status report, meeting notes, or other type of summary sent to or from Gjovik's management chain and/or Helen Polkes, Jenna Waibel, Ekelemchi Okpo, or Tony Lagares concerning the August 19 2021 US EPA inspection of 825 Stewart Drive, from July 25 2021 through Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 8:

Defendant objects to this Request because it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it calls for information protected from disclosure by the attorney-client privilege and/or attorney work-product doctrine. Defendant also objects to this Request on the grounds that it is overbroad and unduly burdensome, and it seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

REQUEST FOR PRODUCTION NO. 9:

All documents in possession of Gjovik's management chain, Helen Polkes, Jenna Waibel, Ekelemchi Okpo, Tony Lagares, Atone Jain, Michael Seiger, or Tom Huynh that concern both the Plaintiff and the property at 3250 Scott Blvd, from Sept. 1 2020 through Spet. [sic] 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 9:

Defendant objects to this Request because it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41.

Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant also objects to this Request on the grounds that it is overbroad and unduly burdensome, and it seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

REQUEST FOR PRODUCTION NO. 10:

Any "safety plan" or "EHS plan" or "operations and maintenance plan" for 825 Stewart Drive or 3250 Scott Blvd in place between Jan. 1 2020 and Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 10:

Defendant objects to this Request because it seeks information outside of the claims properly at issue in the 4AC as explained in the Court's October 1, 2024 Order: Count I – Wrongful Discharge; Count II – Labor Code §1102.5 ¶168, lines 9-25; Count III – Labor Code §6310; Count VI – Labor Code §232.5 ¶182; and Count VII – Labor Code §96(k). *See* Dkt. 112 at 38-41. Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant also objects to this Request on the grounds that it is overbroad and unduly burdensome, and it seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

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Subject to and without waiving the forgoing objections, Defendant understands this Request					
	to seek documents regarding Defendant's voluntarily vapor intrusion mitigation program at 825				
	Stewart Dr. and/or 3250	Scott Blvd. Defendant has alrea	ady produced all responsive doc	uments	
	located after a reasonably	diligent search between Defenda	ant and Plaintiff during her emplo	oyment	
	regarding Defendant's vo	oluntary vapor intrusion mitigati	ion program at 825 Stewart Dr.	: APL-	
	GAELG_00000530,	APL-GAELG_00000556,	APL-GAELG_00000560,	APL-	
	GAELG_00000564,	APL-GAELG_00000567,	APL-GAELG_00000582,	APL-	
	GAELG_00000591,	APL-GAELG_00000621,	APL-GAELG_00000664,	APL-	
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GAELG_00000950,	APL-GAELG_00000955,	APL-GAELG_00000959,	APL-
GAELG_00001186,	APL-GAELG_00001190,	APL-GAELG_00001200,	APL-
GAELG_00001205,	APL-GAELG_00001208,	APL-GAELG_00001361,	APL-
GAELG_00001377, APL-GAELG_00001391, and APL-GAELG_00001509.			

Defendant is not producing any documents regarding the voluntary vapor intrusion mitigation program at 825 Stewart Dr. that were not provided to Plaintiff during her employment, as they are not responsive to Plaintiff's claims presently at issue in the 4AC and as defined in the Court's October 1, 2024 Order. *See* Dkt. 112 at 38-41. Nor is Defendant producing any documents regarding 3250 Scott Blvd., as they are also not relevant to the claims properly at issue in the 4AC and as defined in the Court's October 1, 2024 Order. *See id*.

REQUEST FOR PRODUCTION NO. 11:

All documents or communications with Gjovik's management chain, Helen Polkes, Jenna Waibel, Ekelemchi Okpo, or Tony Lagares, concerning Complainant's 2021 mid-year performance review, dated from Jan 1 2021 through Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 11:

Defendant does not have any documents responsive to this Request because Defendant did not conduct a mid-year performance review for Plaintiff in 2021.

REQUEST FOR PRODUCTION NO. 12:

All documents or communications with Gjovik's management chain, Helen Polkes, Jenna Waibel, Ekelemchi Okpo, or Tony Lagares, concerning Complainant's 2021 annual performance review and compensation determination dated from Jan 1 2021 through Sept. 10 2021. This includes the peer feedback received in the HR tool from Gjovik's peers, and any drafts of the review in that tool.

RESPONSE TO REQUEST FOR PRODUCTION NO. 12:

Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without waiving the forgoing objections, Defendant will produce all responsive, non-privileged documents located after a reasonably diligent search as they are kept in the usual course of business. Defendant will produce a categorical privilege log.

REQUEST FOR PRODUCTION NO. 13:

Any drafts, comments, or revisions of the termination letter and notice sent to Complainant on Sept. 9 2021. (Please include timestamps when possible).

RESPONSE TO REQUEST FOR PRODUCTION NO. 13:

Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without waiving the forgoing objections, Defendant has already produced all responsive, non-privileged documents located after a reasonably diligent search: APL-GAELG_00001143, APL-GAELG_00001176, APL-GAELG_00001166, APL-GAELG_00001144, APL-GAELG_00001513, APL-GAELG_00001548, APL-GAELG_00001538, and APL-GAELG_00001516. Defendant will produce a categorical privilege log.

REQUEST FOR PRODUCTION NO. 14:

Any email discussion, meeting notes, or other documents between Plaintiff's management chain, Human Resources, Employee Relations, or Global Security that involved planning whether or not they would terminate Plaintiff, and how they would terminate Plaintiff dated from Jan. 1 2021 through Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 14:

Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without waiving the forgoing objections, Defendant has already produced all responsive, non-privileged documents located after a reasonably diligent search: APL-GAELG_00001513, APL-GAELG_00001548, APL-GAELG_00001538, and APL-GAELG_00001516. Defendant will produce a categorical privilege log.

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REQUEST FOR PRODUCTION NO. 15:

Any documents, contracts, or agreements that Plaintiff supposedly signed, accepted, or otherwise responded to related to the "Gobbler"/"Glimmer" app between Jan. 1 2016 and Sept. 10 2021. This includes any Informed Consent Forms and/or NDAs.

RESPONSE TO REQUEST FOR PRODUCTION NO. 15:

Defendant objects to this Request to the extent it seeks disclosure of confidential and proprietary Apple product information.

Subject to and without waiving the forgoing objections, Defendant will produce all responsive documents located after a reasonably diligent search subject to appropriate protections.

REQUEST FOR PRODUCTION NO. 16:

Any emails or other documents, in possession of her management chain (David Powers, Dan West, Yannick Bertolus, John Ternus, Tim Cook) or Human Resources/Employee Relations (Helen Polkes and her management chain, Waibel and Okpo and their management chain) discussing the Aug. 31 2021 "Apple Cares About Privacy, Unless you Work at Apple," Verge article, dated from Aug. 20 2021 through Sept. 10 2021.

RESPONSE TO REQUEST FOR PRODUCTION NO. 16:

Defendant objects to this Request to the extent it seeks information protected from disclosure by the attorney-client privilege and/or attorney work product doctrine. Defendant objects to this Request as overbroad because it seeks documents from custodians who were not involved in the decision to terminate Plaintiff's employment. For that reason, Defendant also objects to this Request on the grounds that it seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving the forgoing objections, Defendant limits this Request to custodians that were involved in the decision to terminate Plaintiff's employment (who Defendant has already identified for Plaintiff), including Yannick Bertolus, Megan Bowman, Aleks Kagramanov, Ekelemchi Okpo, Joni Reicher, Jennifer Waldo, and Adelmise Warner. Defendant will produce responsive, non-privileged documents located after a reasonably diligent search as

they are kept in the ordinary course of business on a rolling basis. Defendant will produce				
categorical privilege log.				
;				
Any responses transmitted from Apple to The Verge and/or the reporter Zoe Schiffer, about				
the Apple Cares About Privacy, Unless you Work at Apple," Verge article, in response to the				
ablication. (Including any responses after publication,				
UCTION NO. 17:				
to seek communications from Defendant's PR team to				
does not have any documents that are responsive to this				
:				
ck referred to in their U.S. Dept. of Labor response in				
021, that Orrick says includes comments from Plaintiff				
/e.				
UCTION NO. 18:				
e responsive documents: APL-GAELG_00001451 and				
ORRICK, HERRINGTON & SUTCLIFFE LLP				
By: /s/ Melinda S. Riechert MELINDA S. RIECHERT Attorney for Defendant APPLE INC.				

RE: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for **Production, Set 1**

Riechert, Melinda <mriechert@orrick.com> From

To legal@ashleygjovik.com

Mantoan, Kathryn G. < kmantoan@orrick.com > , Perry, Jessica R. < jperry@orrick.com > , CC

Booms, Ryan < rbooms@orrick.com >, Juvinall, Kate < kjuvinall@orrick.com >

Wednesday, June 19th, 2024 at 8:45 PM Date

We objected to that list for the reasons stated in our objections. If there are specific documents you think are missing, let us what they are and which request you think they are responsive to.

"Apple's response" refers to Apple's response to your complaints.

Melinda Riechert

Partner

Orrick

Silicon Valley (V)



T 650/614-7423 M 6507591929 mriechert@orrick.com



From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Wednesday, June 19, 2024 5:38 PM

To: Riechert, Melinda <mriechert@orrick.com>

Cc: Mantoan, Kathryn G. <kmantoan@orrick.com>; Perry, Jessica R. <jperry@orrick.com>; Booms,

Ryan <rbooms@orrick.com>; Juvinall, Kate <kjuvinall@orrick.com>

Subject: RE: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for Production, Set 1

[EXTERNAL]

Hello,

I already provided the list of documents I am requesting. That list is in the request for production I filed May 15 2024.

Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Wednesday, June 19th, 2024 at 8:22 PM, Riechert, Melinda < mriechert@orrick.com> wrote:

Again we disagree. We are required to produce documents even if you already have them. We have produced the documents Apple relied on to terminate your employment. We have produced the personnel file. If there are specific documents you want that you did not receive in the 1549 pages of documents we produced, you should send document requests asking specifically for those documents.

Mel	ind	a R	iec	he	rt
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Partner

Orrick

Silicon Valley (v)



T 650/614-7423 M 6507591929 mriechert@orrick.com



From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Wednesday, June 19, 2024 5:14 PM

To: Riechert, Melinda < mriechert@orrick.com>

Cc: Mantoan, Kathryn G. < kmantoan@orrick.com; Perry, Jessica R. < jperry@orrick.com;

Booms, Ryan <<u>rbooms@orrick.com</u>>; Juvinall, Kate <<u>kjuvinall@orrick.com</u>>

Subject: RE: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for Production, Set 1

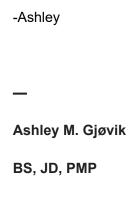


Hello,

I will be fling the motion to compel, will provide Apple's response, and note that Apple has said it will not meet/confer further until the Motion to Dismiss is decided upon.

Please note - I disagree with your summary of documents provided to me thus far. As noted previously, the majority of documents provided were documents I already had in my possession (such as email I sent or received, or HR paperwork I filled out).

Further, the documents provided are not all documents in Apple's possession related to my complaints, or my personnel file (the ER investigation records etc,; and Apple is still holding back NDAs and other documents requested), nor has Apple provided a single document "supporting the decision to terminate my employment." I am not certain what you mean when you say "Apple's response" but I am certain there are far more documents than the ~10 you shared with me that mostly only span from March-May 2021, and almost no documents from July-Sept, and none of which include EH&S deliberations between April-September.



Sent with Proton Mail secure email.

On Wednesday, June 19th, 2024 at 7:59 PM, Riechert, Melinda < mriechert@orrick.com> wrote:

Ashley,

We disagree with your email, including that Apple has violated the Court's order to participate in discovery and that Apple's responses are in bad faith or otherwise inappropriate.

While Judge DeMaio has declined to rule on Apple's motion to stay discovery pending a ruling on the motion to amend, we disagree that means Apple must produce all documents you seek in RFP Set One. Indeed, even though it is Apple's position that there are no claims at issue given the pending motion to dismiss, given the Court's request that the parties cooperate and as a showing of good faith, Apple produced 1,549 pages of documents showing the complaints you made to Apple during your employment beginning in March 2021, Apple's response, documents supporting the decision to terminate your employment, your personnel file, etc. These documents are responsive to your August 29, 2021 complaint and the December 10, 2021 case summary, which are the operative complaints while your motion to amend is under review.

Once there is certainty about the pleadings, Apple can better determine what additional documents are relevant and proportional to the needs to the case, and we can further meet and confer at that time.

Thank you,

Melinda Riechert

Partner

Orrick

Silicon Valley (7)



T 650/614-7423 M 6507591929 mriechert@orrick.com



From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Wednesday, June 19, 2024 4:14 PM

To: Riechert, Melinda < mriechert@orrick.com>

Cc: Mantoan, Kathryn G. < kmantoan@orrick.com; Perry, Jessica R. < jperry@orrick.com;

Booms, Ryan <<u>rbooms@orrick.com</u>>; Juvinall, Kate <<u>kjuvinall@orrick.com</u>>

Subject: RE: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for Production, Set 1

Hello, I have not received a response. Please provide an update or I will proceed with filing the motion to compel. -Ashley -Ashley M. Gjøvik BS, JD, PMP

Sent with Proton Mail secure email.

On Friday, June 14th, 2024 at 9:38 PM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com wrote:

Hello, Thank you for the meet/confer on this. Because each of Apple's objections to each of my requests appears to include mostly the exact same content (copy/paste), please consider the concerns noted to apply to requests 1-61. A) Court Order Specific to: "Respondent is unable to determine what nonprivileged matters are "relevant" to any claims or defenses that will proceed in this matter given the Court's May 23, 2024 Order. At this time, it is unclear what (if any) claims, and thus what defenses, will proceed and thus it is impossible to determine what matters would be "relevant to any party's claim or defense." If Complainant's Motion to Amend is denied and Respondent's Motion to Dismiss is granted, for example, there would be no claims before this Court and no discovery would be proper". - Apple's response the response violates the court's order:

"the litigation schedule as set out in the Notice of Hearing issued on March 27, 2024, has not changed. Until the Motion to Stay Discovery has been ruled upon, parties are expected to cooperate with each other in the discovery process. Judge DeMaio declines Respondent's request for a conference call at this time.... Respondent will supplement this Response pursuant to 29 C.F.R. § 18.53, if appropriate, following resolution of which claims, if any, are properly before this Court. Discovery is ongoing, and Respondent reserves the right to amend and/or supplement this response." - DOL OALJ

Apple is currently expected to respond to my discovery requests under the CERCLA claim, regardless of their motion to dismiss. As already noted, I have not requested anything related to 3250 Scott yet, and am waiting for the motion to amend to be ruled upon.

B) Vague, Overbroad, Unduly Burdensome, Not Proportional

Respondent objects to this Request on the grounds that "[term]" and "[term]" lacks particularity and is overbroad, unduly burdensome, and not proportional to the needs of the case. -Apple

This is a boilerplate objection. Blanket, unsupported objections that a discovery request is "vaque, overly broad, or unduly burdensome" are, by themselves, meaningless. A party objecting on these bases must explain the specific and particular ways in which a request is vague, overly broad, or unduly burdensome.

The "vague, ambiguous or confusing" objection. Here again, absent more, this "objection" is useless. The party objecting on these grounds "must explain the specific and particular way in which a request is vague." Heller, 303 F.R.D. at 491 (quoting Consumer Elec. Ass'n. v. Compras & Buys Magazine, Inc., 2008 WL 4327253, at 2 (S.D. Fla. Sep. 18, 2008)). Heller v. City of Dallas, 303 F.R.D. 466, 491 (N.D. Tex. 2014)

The "overly broad and unduly burdensome" objection. See Fischer v. Forrest, 2017 WL 773694 (S.D.N.Y. Feb. 28, 2017) at *3 ("[S]tating that the requests are 'overly broad and unduly burdensome' is meaningless boilerplate. Why is it burdensome? How is it overly broad? This language tells the Court nothing."); Heller v. City of Dallas, 303 F.R.D. 466, (N.D. Tex. 2014) at 490-91("the party resisting discovery [must] show how the requested discovery was overly broad, unduly burdensome, or oppressive by submitting affidavits or offering evidence revaling the nature of the burden").

"'reasonably' implies a requirement such categories be reasonably particularized from the standpoint of the party who is subjected to the burden of producing the materials. Any other interpretation places too great a burden on the party on whom the demand is made." When faced with this objection, the meet and confer process should be utilized to provide responding party with an understanding of what

documents the demand is seeking and, if necessary, narrow the scope of the specific category. Calcor Space Facility, Inc. v. Superior Court (1997) 53 CA4th 216

C) Not Relevant / Admissible Evidence

Respondent objects to this Request on the grounds that it seeks information not relevant nor reasonably calculated to lead to the discovery of admissible evidence. -Apple

§ 18.401 Definition of relevant evidence. Relevant evidence means <u>evidence having **any** tendency to make the existence of any fact that is of consequence to the determination of the action more probable or less probable than it would be without the evidence.</u>

An objection that a discovery request is not relevant must include a specific explanation describing why the request lacks relevance and/or why the requested discovery is disproportionate in light of the factors enumerated in Federal Rule of Civil Procedure. Further, Federal Rules provide that information within this scope of discovery "need not be admissible in evidence" to be discoverable.

D) Burden/Expense

Respondent objects to this Request to the extent that the burden or expense of the proposed discovery outweighs its likely benefit, considering the needs of the case the amount in controversy, the parties resources, the importance of the issues at stake in the action, and the importance of the discovery in resolving the issues. -Apple

Objections that requests are "overly broad and unduly burdensome" are "meaningless boilerplate" because that "language tells the Court nothing." Fischer v. Forrest, 2017 U.S. Dist. LEXIS 28102 (S.D.N.Y. Feb. 28, 2017),

Apple takes in \$383B annual revenue. It's hard to believe Apple would be burdened by these requests due to Apple's "resources."

E) Privilege

Respondent objects to this Request to the extent it calls for information protected from disclosure by the attorney-client privilege, attorney work-product doctrine, or any other applicable privileges. -Apple

Objections based upon privilege must identify the specific nature of the privilege being asserted, as well as identify such things as the nature and subject matter of the communication at issue, the sender and receiver of the communication and their relationship to each other, among others.

You need to tell me what material there is that is being withheld under any of these privileges, and which privilege you contend applies, so I may seek the assistance of the Court in resolving your claims of privilege.

§ 18.51 (e) Claiming privilege or protecting hearing-preparation materials—(1) Information withheld. When a party withholds information otherwise discoverable by claiming that the information is privileged or subject to protection as hearing-preparation material, the party must:

- (i) Expressly make the claim; and
- (ii) Describe the nature of the documents, communications, or tangible things not produced or disclosed—and do so in a manner that, without revealing information itself privileged or protected, will enable other parties to assess the claim.

"The existence of the privilege and the applicability of any exception to the privilege is a question of fact for the judge. The burden of proving that the attorney-client privilege applies to a communication rests on the party asserting the privilege. This burden extends not only to a showing of the existence of the attorney-client relationship but to all other elements involved in the determination of the existence of the privilege, including (1) the communications were received from a client during the course of the client's search for legal advice from the attorney in his or her capacity as such; (2) the communications were made in confidence; and (3) the privilege as to these communications has not been

waived." Commissioner of Revenue v. Comcast Corp., 453 Mass. 293, 303 (2009). Before asserting the privileges or stating the documents don't exist; counsel needs to review the documents ("diligent search") and speak to their client ("reasonable inquiry") to determine whether or not the privileges are applicable. See Scottsdale Ins. Co v. Superior Court (1997) 59 CA4th 263 Footnote 5.

Attorney client privilege is narrowly construed and not self-executing. Matter of the Reorganization of Elec. Mut. Liab. Ins. Co. (Bermuda), 425 Mass. 419, 421 (1997). See Attorney Gen. v. Facebook, Inc., 487 Mass. 109, 122 (2021); District Attorney for the Plymouth Dist. v. Board of Selectmen of Middleborough, 395 Mass. 629, 633–634 (1985).

Privileged material is already excluded from the scope of discovery under Civil Rule 26(b)(1) and a generic assertion of privilege is, in and of itself, useless under Civil Rule 26(b)(5)(A)(ii). See Schultz v. Sentinel Ins. Co., Ltd., 2016 WL 3149686, at*7 (D. S.D. Jun. 3, 2016) ("boilerplate 'general objections' fail to preserve any valid objection at all because they are not specific to a particular discovery request and they fail to identify a specific privilege or to describe the information withheld pursuant to the privilege"); Liguria Foods, 2017 WL 976626, at *11 (failure to provide privilege log renders privilege objections ineffective).

To assert a privilege or work product protection, a party must create a privilege log that identifies each document or communication being withheld, along with the basis for the claim. Mass. R. Civ. P. 26(b)(5). see also, C.C.P. §2031.240

"Simply mentioning a general category of privilege, without any further elaboration or any specific linkage with the documents does not satisfy the burden." (Kamakana v. City and County of Honolulu (9th Cir. 2006) 447 F.3d 1172, 1184.)

F) Privacy Rights of Third Parties

Respondent objects to this Request to the extent it seeks information protected from disclosure by the privacy rights of third parties. -Apple

The right of privacy is protected by Article I, Section 1 of the California Constitution and the U.S. Constitution [Griswold v. State of Connecticut (1965) 381 US 479] However, the protection is not

absolute. In each case, the court would carefully balance the interests involved—the claim of privacy vs. the public interest in obtaining just results in litigation. See California Civil Discovery Practice, 4th Edition, (CEB 2019) §3.157A citing Williamson v. Superior Court (1978) 21 Cal3d 829, 835; Hill v. National Collegiate Athletic Ass'n (1994) 7 C4th 1, 15; and Binder v. Superior Court (1987) 196 CA3d 893, 901 for the test that the court will use. Also, the court most likely will take the documents in camera for a determination. See California Practice Guide: Civil Procedure Before Trial (TRG 2019) §8:322 citing Schnabel v. Superior Court (Schnabel) (1993) 5 C4th 704, 714.

"Even when Sefic offered to accept redacted materials however, the company nevertheless consistently failed to produce any documents in response. When objection is made only to part of an item, the objecting party

should specifically identify that part, and make production of the remainder of the document; Marconi's response to the motion instead continued to assert the same blunderbuss objection without acknowledgment of Sefic's offer to accept redacted documents and without reference to legal authority in support of its position." SEFIC v Marconi Wireless, US DOJ, Office of Chief Administrative Hearing officer, OCAHO Case No. 06B000 (2007).

G) Trade Secret, Proprietary, Confidential

Respondent objects to this Request to the extent it seeks disclosure of confidential, trade secret, or proprietary business information. -Apple

Which records do you contend are proprietary and confidential and why? You must at least tell me if any responsive materials exist, so that I may seek the assistance of the Court in resolving your objection.

Trade secrets are already protected from disclosure through the IPA & NDAs I already signed. Trade secrets and other truly confidential information can also be redacted.

The mere fact that documents are proprietary is not necessarily justification for confidentiality. For example, a federal court in Kentucky rejected Home Depot's argument that its training manuals and standard operating procedures should be protected. (Mitchell v. Home Depot U.S.A. (W.D. Ky. Jun. 14, 2012) No. 3:11-CV-332, 2012 WL 2192279, *5.)

"Courts are generally unwilling to seal business information where the parties fail to explain why the specific, urgent need for confidentiality overrides the public's right of access, or where the business records are outdated or limited in scope" Tourangeau v. Nappi Distribs., 2:20-cv-00012-JAW, 14 (D. Me. Mar. 14, 2022)

H) Overbroad

Respondent objects to this Request because it is overbroad as to time. -Apple

If there is an objection based upon an unduly broad scope, such as time frame or geographic location, discovery should be provided as to those matters within the scope that are not disputed.

Generalized objections to an opponent's discovery requests are insufficient. See, e.g., Mancia v. Mayflower Textile Servs. Co., 253 F.R.D. 354, 358 (D. Md. 2008) ("Boilerplate objections that a request for discovery is overbroad and unduly burdensome . . . are improper unless based on particularized facts.") (citations and quotation marks omitted); Walker v. Lakewood Condo. Owners Ass.n,186 F.R.D. 584, 587 (C.D. Cal. 1999) (" Boilerplate, generalized objections are inadequate and tantamount to not making any objection at all.") Autoridad de Carreteras y Transportacion v. Transcore Atl., Inc., 319 F.R.D. 422, 427 (D.P.R. 2016)

if an item were overbroad — I find that no item is overbroad — that fact would be no excuse for he failure to produce those responsive documents that do concern the subject matter of the adversary proceeding and would fall within the scope of a properly limited request." In re E.J. Sciaba Contracting Company, Inc., Case No. 03-20344-RS, Adversary Proceeding No. 04-1357, 4 (Bankr. D. Mass. Jan. 17, 2006)

I) Boilerplate Responses

Judge Bennett observes that no judicial jurisdiction in the United States "authorizes, condones, or approves of this practice[.]" According to Judge Bennett, boilerplate objections are "obstructionist" and this obstructionist discovery practice is a firmly entrenched "culture" in some parts of the country,

notwithstanding that it involves practices that are contrary to the rulings of every federal and state court to address them. Liguria Foods, Inc. v. Griffith Labs, Inc., 2017 U.S. Dist. LEXIS 35370 (N.D. Iowa Mar. 13, 2017)

Cafaro v. Zois, 2016 WL 903307, at *1 (S.D. Fla. Mar. 9, 2016) ("Boilerplate objections may also border on a frivolous response to discovery requests").

Heller v. City of Dallas, 303 F.R.D. 466, 482-85 (N.D. Tex. 2014) ("Counsel should cease and desist from raising these free-standing and purportedly universally applicable 'general objections' in responding to discovery requests.");

Boilerplate general objections are sanctionable in California per Korea Data Systems Co. Ltd. v. Superior Court (1997) 51 Cal.App.4th 1513 and may result in waivers of privilege per Burlington Northern & Santa Fe Ry Co. v. U.S. Dist. Court 408 F.3d 1142, 2005 WL 1175 922 (9th Cir.2005) [trial court affirmed in holding boilerplate objection without identification of documents is not the proper assertion of a privilege.]

J) Supplement Response

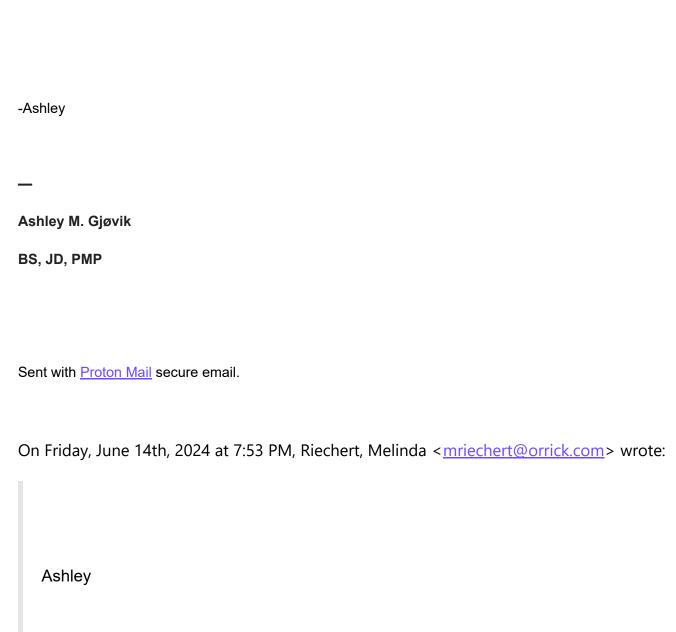
Objections that reserve the "right" to supplement responses. Parties are required to supplement their responses under Civil Rule 26(e)(1). This "objection" is pointless. See Heller, 303 F.R.D. at 484.

K) Partial Responses

§ 18.61 (b)(2)(iii) Objections. An objection to part of a request must specify the part and permit inspection of the rest.

L) Truthfulness

Counsel must be careful not to assert objections to requests for production of documents (such as through boilerplate objections) that do not exist or not in the attorney or party's possession, custody or control. Such a response violates an attorney's ethical duty under Bus & Prof Code §6068(d) to act truthfully and, therefore, constitutes bad faith. See Bihun v. AT&T Info. Sys. (1993) 13 CA4th 976, 991. The point of Bihun is that by asserting a privilege to a document the attorney impliedly represents that the responding attorney has reviewed the document and contends that the privilege applies; if the document does not exist or is not in the possession of the attorney, those implied representations are made in bad faith.



We disagree that our discovery responses are in bad faith, that we have violated the court's order to participate in discovery, and that our objections are not well taken. Nevertheless, we are willing to meet and confer regarding your concerns. Please send us a list of your specific concerns about each of our responses and we will provide you with our response to them.

Melinda Riechert

Partner

Orrick

Silicon Valley (v)



T 650/614-7423 M 6507591929 mriechert@orrick.com



From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Friday, June 14, 2024 4:42 PM

To: Riechert, Melinda < <u>mriechert@orrick.com</u>>

Cc: Mantoan, Kathryn G. < kmantoan@orrick.com>; Perry, Jessica R.

<jperry@orrick.com>; Booms, Ryan <<u>rbooms@orrick.com</u>>; Juvinall, Kate

< kjuvinall@orrick.com >

Subject: RE: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for Production, Set 1

[EXTERNAL]

Hello,

The discovery response send today is clearly sent in bad faith and I believe it directly violates the courts order that Apple participate in discovery and cooperate with my requests.

The documents actually shared today appear to be just resending all of the documents Apple previously sent me in the fake civil discovery.

The objections to overbroad or vague terms, even if true, are also in bad faith because I volunteered numerous times to help clarify and narrow, and Apple never bothered to engage in that process.

I will be filing a § 18.57 motion to compel - probably Monday after I submit the amended civil complaint. If Apple would like to avoid the motion to compel, I'm happy not to file it if Apple will actually cooperate and produce documents by the deadline already in place.

-Ashley

Ashley M. Gjøvik

BS, JD, PMP

Sent with <u>Proton Mail</u> secure email.

On Thursday, June 13th, 2024 at 6:17 PM, Ashley M. Gjovik (Legal Matters) < <u>legal@ashleygjovik.com</u>> wrote:

Thank you!

Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Thursday, June 13th, 2024 at 6:15 PM, Riechert, Melinda < mriechert@orrick.com> wrote:

Ashley,

Apple will timely respond to your Requests for Production, Set One.

Thank you

Melinda Riechert

Partner

Orrick

Silicon Valley 🕟

T 650/614-7423 M 6507591929

mriechert@orrick.com

From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Thursday, June 13, 2024 12:04 PM

To: Riechert, Melinda < mriechert@orrick.com>

Cc: Mantoan, Kathryn G. < kmantoan@orrick.com>; Perry, Jessica R.

<jperry@orrick.com>; Booms, Ryan <<u>rbooms@orrick.com</u>>; Juvinall, Kate

< kjuvinall@orrick.com >

Subject: RE: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for Production, Set 1

[EXTERNAL]

Hello,

I never saw a response to this message, or the message from DOL OALJ about my pending request for production / discovery.

I sent you my request on 5/15. 30 days after 5/15, starting 5/16, would be 6/15 (pushing to Monday 6/17) for the deadline.

I just wanted to check in an ensure you're still working towards that deadline and plan to respond to the request on time?

Again, let me know if you have any questions, or if there's anything I can do to clarify requests.

3:23-cv-04597-EMC D@வெருகளிஞ்சிழ்களின் இனிக்கிக்கிக்கிக்கிகள் Mailage 69 01 334 Thank you,
-Ashley
-
Ashley M. Gjøvik
BS, JD, PMP
Sent with <u>Proton Mail</u> secure email.
On Wednesday, June 5th, 2024 at 3:11 PM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com wrote:
Hello!
I've never done discovery before so maybe this is a weird offer, but I do want to make things easier on Apple if I can and I know my request included some stuff that will probably include content Apple may consider 'confidential.' I believe the NDAs and IPA I signed prior would cover my responsibilities with that kind of information, however, if it would be less effort for Apple, I'd be open to visiting some Apple location or Orrick office around here where I could directly review the more sensitive documents (ie that include content about unreleased new customer products, budgets, etc) and then if there's specific documents I decide I need copies of, then I can ask for those specifically.
I assume you'll want to do this sort of thing for the security camera footage too. I'm okay with watching it on site, or over a screenshare, and then designating which sections (start/end) I would like copies of, and I don't expect those EHS-related sections to contain anything terribly confidential.

Let me know what Apple would prefer and the easiest way for me to get access to the

Т	hai	nks!
	ııaı	IINO:

-Ashley

Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Wednesday, May 29th, 2024 at 8:54 PM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com wrote:

Hello,

As mentioned several times in email, and in the NLRB charge, General Order 71 does not apply to our civil lawsuit.

For the US DOL OALJ case, Apple filed a motion to stay on May 22nd and it was accepted to the docket.

The next day, on May 23rd, in an order dated May 23rd, Judge DeMaio wrote that "<u>all</u> <u>other pending matters</u> before the court in this matter" "will be held in <u>abeyance</u> <u>until</u> Complainant's Motion to Amend has been f<u>ully ruled on</u>."

Your motion to stay is a "other pending matter" as it is a matter, and it is pending.

Please provide me more detail on what definitions you disagree with. Do you not view the motion to stay as *pending*? and/or you do not see it as a *matter*?

Judge DeMaio also wrote that "Any <u>changes</u> to the litigation <u>schedule</u> can be addressed <u>after</u> any amended Complaint is submitted and <u>responded to</u>."

The March 27 2024 Order from Judge DeMaio started discovery under § 18.50 ("any party may seek discovery at any time after a judge issues an initial notice or order..."), and the schedule in Judge DeMaio's Order is still in effect, and your motion to stay is requesting a **change** to the litigation **schedule** (to stop/pause/delay already scheduled and initiated discovery).

The other events in the "*litigation schedule*" per the 3/27 Order would be the end of discovery, the prehearing statement, preparation of exhibits, and the trial. But neither of us have filed a request to modify the *schedule* of any of these things. The only event in the "*litigation schedule*" that one of us has requested to *change* the *schedule* of is the start of discovery (requested by Apple).

Please provide more detail on why you believe this does not apply to Apple. Do you not believe starting/stopping a phase of litigation is a change to the "*schedule*"? Do you question whether staying/pausing a phase of litigation is a "*change*" from the original plan?

Full quote: "the Court will allow Complainant to submit proposed amendments t....Furthermore, all other pending motions before the Court in this matter, including Respondent's Motion to Dismiss and Motion for Judicial Notice, will be held in abeyance until Complainant's Motion to Amend has been fully ruled on. Any changes to the litigation schedule can be addressed after any amended Complaint is submitted and responded to." (5/23/24 Order)

If you feel confident in your prior assessment, I suggest we email the OALJ staff and ask them to confirm your interpretation of the Judge's terminology. They have been very responsive and it would save us all time to ask them directly.

-Ashley

Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Wednesday, May 29th, 2024 at 8:29 PM, Riechert, Melinda < mriechert@orrick.com> wrote:

Ashley,

We disagree that Apple's motion to stay discovery is no longer at issue; it is still properly before the ALJ.

Additionally, the ND Cal case and the OALJ case are two separate cases with separate issues. If you would like to engage in a meet and confer about Apple's GO71 production in the ND Cal case, we are happy to do that.

As for the OALJ case, any discussion about Apple's document production is premature because Apple has not yet produced any documents. We can address any concerns you have after Apple has produced responsive documents and you have had a chance to review them.

Thank you,

Melinda	Riechert
---------	----------

Partner

Orrick

Silicon Valley (v)

T 650/614-7423 M 6507591929 mriechert@orrick.com

From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Tuesday, May 28, 2024 7:55 AM

To: Mantoan, Kathryn G. <<u>kmantoan@orrick.com</u>>; Perry, Jessica R. <<u>jperry@orrick.com</u>>; Riechert, Melinda <<u>mriechert@orrick.com</u>>; Booms, Ryan <<u>rbooms@orrick.com</u>>; Juvinall, Kate <<u>kjuvinall@orrick.com</u>>

< <u>Kjuvinaii@ornck.com</u>>

Subject: Re: Ashley Gjovik v Apple Inc 2024-CER-00001 Request for Prouduction, Set 1

[EXTERNAL]

Hello Apple's lawyers,

I just wanted to close the loop on our prior email from last week. Last week I said I planned to file an opposition to your motion to stay discovery, however, per Judge DeMario's May 23rd order (quoted below), its my understanding that he is

not considering your motion to stay, and any changes to his prior order (that already started discovery) will not be made until after he decides on the amended complaint.

"....Furthermore, all other pending motions before the Court in this matter, including Respondent's Motion to Dismiss and Motion for Judicial Notice, will be held in abeyance until Complainant's Motion to Amend has been fully ruled on. Any changes to the litigation schedule can be addressed after any amended Complaint is submitted and responded to." (5/23/24 Order)

Thus, I will not be filing an opposition because your 30 day deadline from 5/15 for this request is still in place -- instead I will file a short notice about this when I file the amended complaint.

I also wanted to sync on redactions. You already tried to start discovery in the civil case (which I still object to), and after reviewing the documents you voluntarily shared with me, I want to express my concerns about some of the redactions. A few examples are below. These are just some examples - all of the documents should be reviewed:

- APL-GAELG_00001248 | There are two large redactions labeled as "Attorney Client Privilege" however neither Polkes (HR) or Waibel (ER) are attorneys so ACP is not applicable
- APL-GAELG_00001285 | First names are redacted on several pages and the names are important context. (there are a bunch of docs with this issue)
- APL-GAELG_00001262 | The subject of a calendar invite between Polkes (HR) and Waibel (ER) is partially redacted despite it being about my safety concerns. This seems unjustified.
- APL-GAELG_00001254 | There is a 2-3 line redaction from an iMessage exchange between Polkes (HR) and Waibel (ER) labeled Attorney Client Privilege but there are no attorneys in the text message exchange.
- APL-GAELG_00001380 | An entire paragraph is redacted in an email from Waibel (ER0 to Lagares (ER) about me.
- and so on

If it is more efficient for Apple, Apple does not need to generally re-send me documents it already sent in formal discovery for other jurisdictions - but for this first US DOL round, I do ask that Apple resend the documents it send prior in its

pseudo-civil-discovery so we have them established as formal discovery documents for authentication. Hopefully you can review and correct the prior redactions as needed prior to resending.

As noted in my request for production, I'm also asking for a redaction/privilege log. There are many redactions of text that simply say "redacted'. If there's a standard way to classify Intellectual Property, Attorney-Client Privilege, etc. please us that, or if not we can agree on some terms for clarification. If you insist on redacting all email addresses and phone numbers, I ask that you notate that its an email address or phone number in the redaction. I'm willing to compromise on project code names and you can use a term like "project code name" or "NPS" or something, but its not IP. I'd also prefer the reason for redaction be noted on the document's redaction and also included in a log (like the US gov does with FOIA), but at least having it in a log will help.

Thanks,
-Ashley
_
Ashley M. Gjøvik
BS, JD, PMP
Sent with Proton Mail secure email.
On Wednesday, May 15th, 2024 at 9:03 PM, Ashley M. Gjovik (Legal
Matters) < legal@ashleygjovik.com > wrote:

Attached is my first request for production from Apple for the US Dept. of

Labor OALJ CERCLA case.

Hello Apple's lawyers,

I look forward to a response within 30 days, as required by law.
-Ashley
_
Ashley M. Gjøvik
BS, JD, PMP

Sent with Proton Mail secure email.

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Ехнівіт В

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Case 3:23-cv-04597-EMC Document 174 Filed 02/24/25 Page 81 of 334

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Defendant Apple Inc. responds to Northern District of California General Order No. 71: Initial Discovery Protocols For Employment Cases Alleging Adverse Action ("Gender Order 71") as follows:

Defendant provides these responses based on the information and documents currently available to it, given that discovery in this action is ongoing. Nothing contained in these responses shall in any way limit Defendant's ability to make all uses at trial or otherwise of the information or documents referenced herein or of any information, documents or other evidence that may be discovered in the future. Defendant has made its best effort to respond accurately to each category, but reserves the right to amend its objections and responses upon completion of its search for responsive documents.

Defendant objects to each and every category to the extent that it seeks information protected from disclosure by the attorney-client privilege, the work-product doctrine, or any other applicable privilege. If supplying any of the requested documents would result in waiving any applicable privilege or objection based on any such privilege, Defendant objects to providing the documents and will not do so. If Defendant inadvertently produces any documents falling within any applicable privilege, Defendant does not intend to waive the applicable privilege.

Defendant objects to each and every category to the extent that it seeks documents and/or information that is protected from disclosure by the rights of privacy of third-party non-litigants.

Defendant objects to each and every category to the extent that it seeks electronically stored information ("ESI") from sources that are not reasonably accessible because of undue burden and expense. To the extent that any categories call for ESI from sources that are not reasonably accessible without undue burden or cost within the meaning Federal Rule of Civil Procedure 26(b)(2)(B), or for which the burden or cost of retrieval and review would not be proportional to the needs of the case (including where the burden or expense would outweighs its likely benefit), Defendant will not supply or render such ESI.

Subject to and without waiving any of the above objections, and incorporating each of them by this reference into each response below, Defendant responds to General Order 71 as follows:

///

1. Production of Documents By Defendant

Category A:

All communications concerning the factual allegations or claims at issue in this lawsuit among or between: (i) The plaintiff and the defendant; (ii) The plaintiff's manager(s), and/or supervisor(s), and/or the defendant's human resources representative(s).

Response to Category A:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. After a diligent search and reasonable inquiry Defendant will produce, pursuant to a protective order regarding the treatment of confidential information ("the Confidentiality Order"), non-privileged communications concerning Plaintiff and the factual allegations or claims at issue in this lawsuit directly related to her alleged wrongful termination, between Plaintiff and Yannick Bertolus, Aleks Kagramanov, Ekelemchi Okpo, Dave Powers, Michael Steiger, Jenna Waibel, and/or Dan West from March 1, 2021 to September 9, 2021. After a diligent search and reasonable inquiry Defendant will also produce non-privileged correspondence concerning Plaintiff and the factual allegations or claims at issue in this lawsuit directly related to her alleged wrongful termination, between or among Yannick Bertolus, Aleks Kagramanov, Ekelemchi Okpo, Dave Powers, Michael Steiger, Jenna Waibel, and/or Dan West from March 1, 2021 to September 9, 2021.

Category B:

Responses to claims, lawsuits, administrative charges, and complaints by the plaintiff that rely upon any of the same factual allegations or claims as those at issue in this lawsuit.

Response to Category B:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. The only complaints made by Plaintiff of which Defendant is aware that may be related to her

wrongful termination claims in this lawsuit are certain administrative charges she filed with the National Labor Relations Board ("NLRB") and with the United States Department of Labor ("DOL"). Defendant will not be producing its responses to the NLRB Charges because the NLRB cases are still open, and as a result the responses are not available to Plaintiff nor are they subject to a FOIA request. *See* NLRB Freedom of Information Act Manual, https://www.nlrb.gov/sites/default/files/attachments/basic-page/node-1727/march2008foiamanual.pdf, at 87 (stating that "[t]he FOIA is not intended to function as a

1727/march2008foiamanual.pdf, at 87 (stating that "[t]he FOIA is not intended to function as a private discovery tool," and citing *NLRB v. Robbins Tire & Rubber Co.*, 437 U.S. 214, 242 (1978) and *Coastal States Gas Corp. v. U.S. Dep't of Energy*, 644 F.2d 969, 982 (3d Cir. 1981)). As for Plaintiff's case before the DOL, to the extent that any factual allegations overlap, Defendant will not be producing its response because on December 8, 2023, the DOL issued a finding of no cause on Plaintiff's claims, and Plaintiff communicated to Defendant that same day her intent to appeal. As of the date of this filing, Plaintiff's time to appeal the DOL Secretary's Findings has not yet run.

Category C:

Documents concerning the formation and termination, if any, of the employment relationship at issue in this lawsuit, irrespective of the relevant time period.

Response to Category C:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, Defendant will produce Plaintiff's personnel file, which includes her offer letter and termination letter.

Category D:

The plaintiff's personnel file, in any form, maintained by the defendant, including files concerning the plaintiff maintained by the plaintiff's supervisor(s), manager(s), or the defendant's human resources representative(s), irrespective of the relevant time period.

Response to Category D:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, Defendant will produce Plaintiff's personnel file. No other files identified in Category D exist.

Category E:

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The plaintiff's performance evaluations and formal discipline.

Response to Category E:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce, pursuant to a Confidentiality Order, Plaintiff's performance reviews. Defendant will also produce the termination letter related to Plaintiff.

Category F:

Documents relied upon to make the employment decision(s) at issue in this lawsuit.

Response to Category F:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce, pursuant to a Confidentiality Order, non-privileged documents relied on to make the decision to terminate Plaintiff's employment.

Category G:

Workplace policies or guidelines relevant to the adverse action in effect at the time of the adverse action. Depending upon the case, those may include policies or guidelines that address: (i) Discipline; (ii) Termination of employment; (iii) Promotion; (iv) Discrimination; (v) Performance reviews or evaluations; (vi) Misconduct; (vii) Retaliation; and (viii) Nature of the employment relationship.

Response to Category G:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce policies relevant to Plaintiff's alleged wrongful termination of employment (the only issues/claims not presently subject to a pending motion to dismiss), including the Confidentiality and Intellectual Property Agreement that Plaintiff signed, and relevant portions of Defendant's Business Conduct Policy and EEO Policy. Defendant will produce its internal facing Misconduct and Discipline Policy pursuant to a Confidentially Order.

Category H:

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The table of contents and index of any employee handbook, code of conduct, or policies and procedures manual in effect at the time of the adverse action.

Response to Category H:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. After a diligent search and reasonable inquiry, Defendant will produce the table of contents of its Business Conduct Policy effective October 2020, which was in effect at the time of the alleged adverse action at issue in this lawsuit (Plaintiff's termination).

Category I:

Job description(s) for the position(s) that the plaintiff held.

Response to Category I:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: Defendant will produce job descriptions for the particular position(s) that Plaintiff held if it is able to locate any after a diligent search and reasonable inquiry.

Category J:

Documents showing the plaintiff's compensation and benefits. Those normally include retirement plan benefits, fringe benefits, employee benefit summary plan descriptions, and summaries of compensation.

Response to Category J:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry Defendant will produce, pursuant to a Confidentiality Order, non-privileged documents showing Plaintiff's compensation and benefits from during her employment with Defendant.

Category K:

Agreements between the plaintiff and the defendant to waive jury trial rights or to arbitrate disputes.

Response to Category K:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, no responsive documents exist.

Category L:

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Documents concerning investigation(s) of any complaint(s) about the plaintiff or made by the plaintiff, if relevant to the plaintiff's factual allegations or claims at issue in this lawsuit and not otherwise privileged.

Response to Category L:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: The only claims at issue in this lawsuit and not presently subject to a pending motion to dismiss are those directly related to the alleged wrongful termination of Plaintiff's employment. After a diligent search and reasonable inquiry, Defendant will produce, pursuant to a Confidentiality Order, responsive, non-privileged, non-work-product documents that are directly related to Plaintiff's alleged wrongful termination.

Category M:

Documents in the possession of the defendant and/or the defendant's agent(s) concerning claims for unemployment benefits unless production is prohibited by applicable law.

Response to Category M:

Subject to the preliminary statement and foregoing objections set forth above, Defendant responds: After a diligent search and reasonable inquiry, Defendant does not have any responsive documents.

Category N:

Any other document(s) upon which the defendant relies to support the defenses, affirmative defenses, and counterclaims, including any other document(s) describing the reasons for the adverse action.

Response to Category N:

There is no operative answer on file. Defendant will produce non-privileged documents in support of its defenses and affirmative defenses at the appropriate time after its answer is filed.

Defendant's investigation of Plaintiff's claims is ongoing and Defendant reserves the right to amend this response, to supplement documents produced in support of this response, and to rely on such information and documents as evidence in this action.

2. Production of Information By Defendant

Defendant has provided, to the best of its knowledge and ability at this time, complete and accurate information required by Rule 26(a)(1)(A) and General Order 71. As set forth below, Defendant reserves its right to supplement or delete from the responses below as more information is obtained through the discovery process.

Category A:

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Identify the plaintiff's supervisor(s) and/or manager(s).

Response to Category A:

Linda Keshishoglou, Evan Buyze, and David Powers.

Category B:

Identify person(s) presently known to the defendant who were involved in making the decision to take the adverse action.

Response to Category B:

Defining "adverse action" as the termination of Plaintiff's employment, Yannick Bertolus made the decision to terminate Plaintiff's employment.

Category C:

Identify persons the defendant believes to have knowledge of the facts concerning the claims or defenses at issue in this lawsuit, and a brief description of that knowledge.

Response to Category C:

Defendant believes the following individuals are likely to have discoverable information relevant to facts regarding Plaintiff's claims for alleged wrongful termination of employment—the only issues/claims not presently subject to a pending motion to dismiss—as alleged with particularity in the pleadings:

1. Plaintiff (information regarding complaints to Defendant, and termination of Plaintiff's employment)

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2. Yannick Bertolus (Hardware Engineering Vice President) (information regarding 2 termination of Plaintiff's employment) 3 3. Aleks Kagramanov (Employee Relations Business Partner) (information regarding 4 termination of Plaintiff's employment) 5 4. Ekelemchi Okpo (Employee Relations Business Partner) (information regarding 6 complaints to Defendant and Defendant's policies) 7 5. David Powers (SW Development Engineer Director) (information regarding 8 complaints to Defendant) 9 6. Michael Steiger (Environmental Health and Safety Manager) (information 10 regarding complaints to Defendant and Defendant's policies) 11 7. Jenna Waibel (Employee Relations Business Partner) (information regarding 12 complaints to Defendant and Defendant's policies) 13 8. Dan West (Hardware Development Engineer Senior Director) (information 14 regarding complaints to Defendant) 15 Except for Plaintiff, all individuals identified above may only be contacted through 16 Defendant's counsel. Following discovery and receipt of additional information regarding the 17 nature of Plaintiff's claims, additional witnesses may be identified, and Defendant reserves the right 18 to supplement this list accordingly. 19 Category D: 20 State whether the plaintiff has applied for disability benefits and/or social security disability 21 benefits after the adverse action. State whether the defendant has provided information to any third 22 party concerning the application(s). Identify any documents concerning any such application or any 23 such information provided to a third party. 24 Response to Category D: 25 To Defendant's knowledge, Plaintiff has not applied for disability benefits or social security 26 disability benefits. As a result, Defendant has not provided information to any third party 27 concerning any such application.

From: Juvinall, Kate <kjuvinall@orrick.com>
Sent: Tuesday, December 19, 2023 1:29 PM
To: Ashley M. Gjovik (Legal Matters)

Cc: Booms, Ryan; Riechert, Melinda; Mantoan, Kathryn G.; Perry, Jessica R.; Mahoney, Brian;

harry.johnson; kelcey.phillips@morganlewis.com; mark.stolzenburg@morganlewis.com;

crystal.carey@morganlewis.com

Subject: RE: Gjovik v Apple | Requesting signed instruments under CLC § 432

Ashley,

As you know, the federal rules of civil procedure allow you to request these types of documents during discovery after the Rule 26(f) conference occurs.

We are not aware of any statute governing contracts that allows you to seek these types of documents outside of the formal discovery process. If you are referring to a specific statute, please send us the citation.

Best, Kate

From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Friday, December 15, 2023 3:09 PM **To:** Juvinall, Kate <kjuvinall@orrick.com>

Cc: Booms, Ryan <rbooms@orrick.com>; Riechert, Melinda <mriechert@orrick.com>; Mantoan, Kathryn G.

<kmantoan@orrick.com>; Perry, Jessica R. <jperry@orrick.com>; Mahoney, Brian <bri>brian.mahoney@morganlewis.com>;

harry.johnson <harry.johnson@morganlewis.com>; kelcey.phillips@morganlewis.com;

mark.stolzenburg@morganlewis.com; crystal.carey@morganlewis.com

Subject: RE: Gjovik v Apple | Requesting signed instruments under CLC § 432

[EXTERNAL]

FYI, I talked with two people at CalDOL DIR today about Section 432 and both said it applies to ex-employees of California employers. I'm now waiting for written confirmation from the California Labor Commissioner's Office.

Ashley M. Gjøvik BS, JD, PMP

Sent with Proton Mail secure email.

On Thursday, December 14th, 2023 at 8:06 PM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com> wrote:

Thank you for the update. I received your email about Section 432. I find the legal analysis in the cases cited questionable, and neither of the two cases are controlling, so I will be researching the topic further. I also plan to call the CalDOL office to discuss it with them as well, so I will get back you later on my challenge.

I will also request a copy of the Face Gobbler ICF from Apple once more, now under contract law. Apple has cited that alleged Gobbler ICF in their defense, and claim it is evidence supporting their decision to terminate me. However, Apple has not provided me a copy of that document, and when I attempted to access that document prior to my termination, I could not -- the prior link said it no longer existed. If I was to sign such a thing, it assumably had

obligations that lasted longer than 1 year, and thus statute of frauds is implicated. If there is no proof of signed document, then there is no contract. Further, I will be arguing bad faith conduct by Apple, and further evidence of lack

of consent and lack of mutual assent, if they continue to refuse to provide a copy of the document they claim is symbolic of my 'consent'. Finally, without providing me even confirmation the document does even exist still, I will also be treating the document as assumed to be non-existent in my amended complaint and opposition.	
If my request is denied again, I will of course also be requesting the document in discovery.	

Thanks, -Ashley

Ashley M. Gjøvik BS, JD, PMP

Sent with Proton Mail secure email.

On Thursday, December 14th, 2023 at 7:18 PM, Juvinall, Kate <kjuvinall@orrick.com> wrote:

Hi Ashley – confirming receipt of your email. We separately responded today regarding your LC section 432 request and will follow-up regarding your request under the CPRA.

Thank you,

Kate

From: Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com>

Sent: Friday, December 8, 2023 6:35 PM

Cc: Booms, Ryan <rbooms@orrick.com>; Riechert, Melinda <mriechert@orrick.com>; Mantoan, Kathryn G. < kmantoan@orrick.com; Juvinall, Kate < kjuvinall@orrick.com;

Perry, Jessica R. < jperry@orrick.com>; Mahoney, Brian <<u>brian.mahoney@morganlewis.com</u>>; harry.johnson

<harry.johnson@morganlewis.com>; kelcey.phillips@morganlewis.com; mark.stolzenburg@morganlewis.com; crystal.carey@morganlewis.com

Subject: RE: Gjovik v Apple | Requesting signed instruments under CLC § 432

[EXTERNAL]

Removing OMM who confirmed they're no longer on this either

Hello,

Contracts

First, since Gobbler is the crux of Apple's legal defense in this matter, I'd think that the alleged Gobbler NDA would be easily on hand and it should only take a couple days at most to send over. Its been 10 days now. Please send or confirm it no longer exists.

CPRA

Second, the US DOL decision today (which I am appealing on both claims) appears to make direct reference to my personal information that was on my personal iPhone and personal iCloud account, including my discussions with non-employees on their iPhones and my personal iPhone, which must have been collected by Apple, and shared by Apple. Prior filings also reference my personal text messages (copied by Global Security) and my social media posts. All of this is personal information.

Considering this, under the CPRA, I am requesting copies of my personal information held by Apple including "where it came from, retention policies, and third-party disclosures." Among more standard types of data, personal information also includes "geolocation, biometrics, and internet activity" data. [see Morgan Lewis article]. This also includes but is not limited to: "information collected and analyzed concerning a consumer's health... and sex life." [see Orrick article].

If Apple would like to deny the request for certain types of data, please provide information on what exception they relied on to deny the request and for what category of data they applied it.

I also opt out of the sale or sharing of that data, automated decision making based on that data, and ask to limit the use/disclosure of that information.

That information includes, but is not limited to: "The CPRA also grants employees a new right to limit use and disclosure of "sensitive personal information," which is defined to include (1) precise geolocation data, (2) racial or ethnic origin, (3) union membership, (4) the contents of certain employee email and text messages, and (5) biometric information." [see Morgan Lewis article].

Finally, I reserve my right to also request a deletion of that data.

I am filing this request as an ex-Apple employee, but also as someone with an active business based in California who owns and uses Apple products, thus a standard 'consumer.'

A business cannot discriminate against a consumer because the consumer exercised any of the consumer's California rights, unless the price or service difference is reasonably related to the value provided to the business by the consumer's data. [see Orrick article].

Thank you.
<u> </u>
Ashley M. Gjøvik
BS, JD, PMP
Sent with <u>Proton Mail</u> secure email.
On Sunday, December 3rd, 2023 at 11:08 PM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com > wrote:
Thank you for the update, MWE. Moving MWE to bcc.
Morgan Lewis - I now assume you are assigned to all five NLRB charges (including the two open cases). You guys should fix up your notices of appearance - it still shows MWE on most of the NLRB case webpages.
If Morgan Lewis is not retained for some of the NLRB charges, please confirm, and let me know if I should ask Apple who is, or if Apple is handling them directly or if you know who else is handling them if not you.
Thank you.
-Ashley
Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Saturday, December 2nd, 2023 at 5:17 PM, Foster, Christopher < Cfoster@mwe.com > wrote:

MWE does not represent any party in these referenced matters.

Thank you,

Chris

CHRISTOPHER FOSTER

Partner

Biography | Website | vCard | Twitter | LinkedIn

From: Ashley M. Gjovik (Legal Matters)

< legal@ashleygjovik.com >

Sent: Saturday, December 2, 2023 9:18 AM

To: Foster, Christopher < Cfoster@mwe.com>;
McConnell, Julie < Jmcconnell@mwe.com>; Booms,
Ryan < rbooms@orrick.com>; Riechert, Melinda
< mriechert@orrick.com>; Mantoan, Kathryn G.

<<u>kmantoan@orrick.com</u>>; Juvinall, Kate

< kjuvinall@orrick.com >; jperry < jperry@orrick.com >;
Mahoney Prian < brian mahoney@morganlowis.com >

Mahoney, Brian < brian.mahoney@morganlewis.com;

harry.johnsonharry.johnson@morganlewis.com;

kelcey.phillips@morganlewis.com;

mark.stolzenburg@morganlewis.com;

<u>crystal.carey@morganlewis.com</u>; Eberhart, David R.

<deberhart@omm.com>

Subject: Re: Gjovik v Apple | Requesting signed

instruments under CLC § 432

^{*} Admitted to practice law in California, Washington, and Idaho

Some people who received this message don't often get email from legal@ashleygjovik.com. Learn why this is important

[External Email]

+ OMM if they're still on this too

Removing Sayed at MWE who per OoO apparently left in Feb '23 (@Chris, Ron left around that time too, and Julie is on leave, so if there are others on your firm now on my NLRB cases, please loop them in).

Can someone from one of these firms please at least confirm receipt of my request? I sent this Tuesday and haven't heard anything back from any of you.

If this request needs to be submitted directly to Apple, please let me know - however y'all are representing Apple and I'm supposedly supposed to go through you with my requests and questions during litigation/adjudication.

It's unclear to me if the NLRB NDA adjudication is now represented by both MWE and Morgan Lewis, or if Morgan Lewis is only on the Cook email and my 1/10/22 witness intimidation and witness retaliation charge, and MWE is still on the NDAs and employment policies and 8/26 & 9/16/21 unfair labor/retaliation charges. If someone can clear that up too please, it would be appreciated.

I also don't know who Apple hired to represent them on the California Dept of Labor cases but I assume that is moot now that I rolled them into the federal civil suit.

Can we also do a roles and responsibilities matrix, maybe?

Thank you.

-Ashley

Ashley M. Gjøvik

BS, JD, PMP

Sent with Proton Mail secure email.

On Tuesday, November 28th, 2023 at 12:25 AM, Ashley M. Gjovik (Legal Matters) < legal@ashleygjovik.com wrote:

Hello Apple lawyers,

I am requesting copies of all instruments I signed (physical and electronic signatures) relating to my obtainment and holding of employment at Apple Inc.

This request is governed by California Labor Code, Article 3, § 432. The litigation exception to § 1198.5 does not apply to § 432.

I am requesting that the Face Gobbler related instrument(s) be released first and expeditiously, and not saved for a bulk release with the others. If Apple does not have a copy of any signed Face Gobbler related instruments, I would like confirmation of that fact and swiftly.

For all instruments, I am requesting to receive records digitally, which should not be an issue as almost all of them were signed digitally. You can share the documents via email attachments or via a shared document repository as long as it allows me to download them without alteration.

As we are over two years into this litigation, I'd expect these records should already be on hand, and as such I do not expect any prolonged delays.

Thank you for your cooperation. Please let me know if you have any questions.

-Ashley	
_	
Ashley M. Gjøvik	
BS, JD, PMP	

Sent with Proton Mail secure email.

This message is a PRIVATE communication. This message and all attachments are a private communication sent by a law firm and may be confidential or protected by privilege. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the information contained in or attached to this message is strictly prohibited. Please notify the sender of the delivery error by replying to this message, and then delete it from your system. Our Privacy Policy explains how we may use your personal information or data and any personal information or data provided or made available to us. Thank you.

Please visit http://www.mwe.com/ for more information about our Firm.

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16	A CHILEN CLONIII	1 0 A I I C N 2024 CED 00001
17	ASHLEY GJOVIK,	OALJ Case No.: 2024-CER-00001 OSHA Case No.: 9-3290-22-051
18	Complainant,	RESPONDENT APPLE INC.'S INITIAL
19	V.	DISCLOSURES PURSUANT TO JANUARY 19, 2024 NOTICE OF
20	APPLE INC.,	DOCKETING AND 29 C.F.R. § 18.50(c)(1)
21	Respondent.	
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APPLE INC.'S INITIAL DISCLOSURES OALJ CASE NO.: 2024-CER-00001 OSHA CASE NO.: 9-3290-22-051 Case 3:23-cv-04597-EMC Document 174 Filed 02/24/25 Page 101 of 334

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4134-7275-2462

APPLE INC.'S INITIAL DISCLOSURES OALJ CASE No.: 2024-CER-00001 OSHA CASE No.: 9-3290-22-051 Pursuant to the January 19, 2024 Notice of Docketing and 29 C.F.R. § 18.50(c)(1), Respondent Apple Inc. ("Apple" or "Respondent") hereby submits the following Initial Disclosures to Complainant Ashley Gjovik ("Complainant"). Apple makes these Initial Disclosures based upon information currently available to it and reserves the right to supplement all disclosures as discovery progresses. Apple makes these disclosures concerning the claims and defenses properly at issue in OALJ Case No. 2024-CER-00001, which are limited to the Comprehensive Environmental Response, Compensation and Liability Act of 1980 ("CERCLA"), 42 U.S.C. § 9610 claim and defenses addressed in the Secretary of Labor's December 8, 2023 findings in OSHA Case No. 9-3290-22-051. See 29 C.F.R. § 24.100 et seq. (setting forth procedures required to make and appeal determinations regarding alleged whistleblower retaliation under CERCLA). These disclosures are made without waiving the right to object on the grounds of

These disclosures are made without waiving the right to object on the grounds of competency, privilege, relevancy and materiality, hearsay or any other proper ground and the right to object on any and all grounds, at any time, to any discovery request or proceeding involving or relating to the subject matter of these Initial Disclosures.

Category 1: The name and, if known, the address and telephone number of each individual likely to have discoverable information—along with the subjects of that information—that the disclosing party may use to support its claims or defenses, unless the use would be solely for impeachment.

The following are persons who may have information that Respondent may use to support its defenses in this case:

1. Complainant (information regarding complaints to Respondent regarding the issues raised in Complainant's August 29, 2021 (ECN76833) complaint that are properly

APPLE INC.'S INITIAL DISCLOSURES OALJ CASE No.: 2024-CER-00001 OSHA CASE No.: 9-3290-22-051

¹ The December 8, 2023 Findings addressed issues raised by Complainant regarding 825 Stewart Drive in complaints filed on August 29, 2021 (ECN76833) and November 2, 2021 (ECN78416). However, the November 2, 2021 complaint is not at issue in this appeal because it addressed only a claim under the Sarbanes-Oxley Act.

² Complainant also appears to seek review of claims under the Solid Waste Disposal Act, Resource Conservation and Recovery Act, and Clean Air Act, but any such claims were not timely raised in her initial complaint and are thus not properly at issue. *See* 29 C.F.R. §§ 24.103, 24.105. To the extent the ALJ decides to consider any of these claims, Respondent reserves the right to amend these initial disclosures accordingly.

the relevant Superfund site cleanup

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1	Documents regarding Respondent's policies and procedures in effect from March
2	2021 to Complainant's termination of employment
3	Documents regarding the termination of Complainant's employment
4	To the extent these documents consist of electronically stored information, these documents are
5	located on servers, computers, and other electronic storage media, that are either (a) located at
6	Respondent's corporate headquarters or in the possession of Respondent's employees, or (b) in the
7	possession of Respondent's outside counsel. To the extent any tangible documents or things on
8	these subjects exist in the possession, custody or control of Respondent, they are located at
9	Respondent's headquarters and may be obtained through Respondent's outside counsel.
10	Category 3: A computation of each category of damages claimed by the disclosing
11	party—who must also make available for inspection and copying as under § 18.61 the
12	documents or other evidentiary material, unless privileged or protected from disclosure, on
13	which each computation is based, including materials bearing on the nature and extent of
14	injuries suffered.
15	Apple does not claim any damages at this time.
16	
17	Dated: February 9, 2024 By: /s/Jessica R. Perry
18	JESSICA R. PERRY Attorneys for Respondent Apple Inc.
19	
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	APPLE INC.'S INITIAL DISCLOSURES

APPLE INC.'S INITIAL DISCLOSURES OALJ CASE NO.: 2024-CER-00001 OSHA CASE NO.: 9-3290-22-051

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12	LINUTED CTAT	
13		TES DISTRICT COURT
14		TRICT OF CALIFORNIA NCISCO DIVISION
15 16	SAN FRAI	NCISCO DI VISION
17	ASHLEY GJOVIK,	Case No. 23-cv-4597-EMC
18	Plaintiff,	DEFENDANT APPLE INC.'S INITIAL
19	V.	DISCLOSURES PURSUANT TO FED. R CIV. P. 26(A)(1)
20	APPLE INC.,	
21	Defendant.	
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DEF.'S FRCP 26(A)(1) INITIAL DISCLOSURES

[23-cv-4597-EMC]

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9	Attorneys for Defendant
10	Apple Inc.
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Pursuant to Federal Rule of Civil Procedure 26(a)(1), Defendant Apple Inc. identifies the following witnesses and documents, and makes the following initial disclosures. Defendant makes these disclosures based on information currently available to it at this time, following a good faith inquiry in accordance with Rule 26. Defendant reserves the right to amend and/or supplement these disclosures if and as any further information becomes available during discovery and to rely upon such information as evidence in this action. Defendant makes the following disclosures to expedite the discovery process and without waiving the Federal Rules of Evidence, including the protections of the attorney-client privilege, the work-product doctrine, and any other applicable privilege. Defendant expressly reserves its rights under those privileges and protections. By making these disclosures, Defendant does not concede that the disclosed evidence is relevant or admissible as evidence at trial, and reserves the right to assert any and all evidentiary objections.

Further, the only claims at issue in this lawsuit and not subject to Defendant's forthcoming motion to dismiss—due on July 15, 2024—are those directly related to the allegedly wrongful termination of Plaintiff's employment and/or alleged retaliation during the course of her employment. Thus, Defendant identifies individuals likely to have discoverable information regarding those factual allegations and claims clearly at issue, as well as documents, data compilations, and tangible things that Defendant may use to support its claims or defenses.

Rule 26(a)(1)(A): The name and, if known, the address and telephone number of each individual likely to have discoverable information that the disclosing party may use to

¹ Defendant does not believe it is required to provide initial disclosures under FRCP 26(a) because Northern District of California General Order No. 71: Initial Discovery Protocols For Employment Cases Alleging Adverse Action ("GO 71") applies. GO 71 "applies to all employment cases filed in this court after February 1, 2018, that challenge one or more actions alleged to be adverse." GO 71 by its terms is "intended to supersede the parties' obligations to make initial disclosures pursuant to F.R.C.P. 26(a)(1)" in applicable cases. Here, Plaintiff's case "challenge[s] one or more [employment] actions alleged to be adverse" in her wrongful termination and whistleblower retaliation claims. Defendant served its GO 71 disclosures on December 18, 2023 and supplemented those disclosures with additional document productions on April 5, 2024 and May 15, 2024; to date Defendant has produced 418 documents totaling 1,549 pages pursuant to GO 71. Plaintiff has indicated that she does not believe GO 71 applies to this case, and accordingly Defendant makes these FRCP 26(a)(1) disclosures without conceding that they are required in this matter. The parties met and conferred regarding topics set forth in the Standing Order for All Judges of the Northern District of California: Contents of Joint Statement Management Statement on June 25, 2024.

support its claims or defenses, unless solely for impeachment, identifying the subjects of the information.

Subject to the preliminary statement set forth above, Defendant responds:

- 1. Plaintiff (information regarding complaints to Defendant, and termination of Plaintiff's employment)
- 2. Yannick Bertolus (Hardware Engineering Vice President) (information regarding termination of Plaintiff's employment)
- 3. Aleks Kagramanov (Employee Relations Business Partner) (information regarding termination of Plaintiff's employment)
- 4. Ekelemchi Okpo (Labor Relations Business Partner) (information regarding complaints to Defendant and Defendant's policies)
- 5. Michael Steiger (Environmental Health and Safety Manager) (information regarding complaints to Defendant and Defendant's policies)
- 6. Jenna Waibel (Employee Relations Business Partner) (information regarding complaints to Defendant and Defendant's policies)

Except for Plaintiff, all individuals identified above may only be contacted through Defendant's counsel. Following discovery and receipt of additional information regarding the nature of Plaintiff's claims, additional witnesses may be identified, and Defendant reserves the right to supplement this list accordingly.

Rule 26(a)(1)(B): A copy of, or a description by category and location of, all documents, data compilations, and tangible things that are in the possession, custody, or control of the party and that the disclosing party may use to support its claims or defenses, unless solely for impeachment.

Subject to the preliminary statement set forth above, Defendant responds:

1. E-mail communications concerning Plaintiff, complaints she made to Defendant regarding perceived issues at 825 Stewart, and/or the termination of her employment between Plaintiff and Yannick Bertolus, Antone Jain, Aleks Kagramanov, Antonio

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lawsuit.

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APPLE INC.'S OPPOSITION TO MOTION TO COMPEL OALJ CASE NO.: 2024-CER-00001 OSHA CASE NO.: 9-3290-22-051

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APPLE INC.'S OPPOSITION TO MOTION TO COMPEL OALJ CASE NO.: 2024-CER-00001 OSHA CASE NO.: 9-3290-22-051

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27 28	29 C.F.R. § 18.51(b)

I. INTRODUCTION¹

On May 15, 2024, Complainant served sixty-one (61) separate requests for production ("Requests") on Apple in this putative whistleblower retaliation case, seeking a sweeping range of materials untethered to the needs of this case (if any claims survive the current round of motion practice)—for example, all security footage from an office building where Apple rents space (825 Stewart) for a two-week period in August 2021 (Request No. 43); all badge access records for a five-week period for that same building (Request No. 44); and every document concerning certain maintenance issues for that building for a period of over nine years (Request No. 47). On June 5, 2024, this Court advised the parties (*via* email) that it was holding all motions other than Complainant's Motion to Amend "in abeyance," and directed the parties to "cooperate with each other in the discovery process" in the interim.

Apple has done so. It produced 418 documents spanning 1,549 pages in response to Complainant's requests for production despite its currently pending and potentially dispositive Motion to Dismiss. Apple's initial document production included a full range of documents that *might* become relevant to Complainant's CERCLA whistleblower retaliation claim *if* that claim survives Apple's Motion to Dismiss. Presently, Apple's production exceeds what is proportional to the needs of the case because the pleadings are not yet settled. Moreover, if the Court grants the Motion to Dismiss, this case—along with Apple's discovery obligations—would end.

Despite this good faith production, Complainant complains Apple has somehow "refused to cooperate" by failing to immediately produce all the documents she seeks through *sixty-one* sweeping requests. But it was Complainant who has refused to meet and confer in good faith regarding the reasonableness and proportionality of her requests. Many of the Requests are not relevant to Complainant's CERCLA retaliation claim even if it survives. Others provide either no time limits or unreasonable time limits wholly untethered to the alleged internal complaints on which Complainant's CERCLA retaliation claim is premised.

¹ On June 27, 2024, the United States Supreme Court issues its decision in *Securities and Exchange Commission v. Jarkesy*, Case No. 22-859, 2024 WL 3187811. Apple is still evaluating the potential relevance of that decision to this proceeding and submits this opposition without prejudice to any rights or remedies *Jarkesy* may afford it.

Discovery in this matter is not a free-for-all, open-ended opportunity for Complainant to demand any and every document she may want from Apple; it should be conducted with an eye towards the needs of this case and adjudication of the particular claims (if any) that would proceed to hearing in this matter. Apple's responses give due consideration to the discoverability of the documents sought through each request and are proportional to the needs of this case at this stage, especially given that the pleadings are still not settled and thus it remains uncertain to what claims and allegations the assessment of relevance and proportionality must be tethered. This Court should deny Complainant's premature and unnecessary motion to compel. RELEVANT PROCEDURAL BACKGROUND

II.

The procedural posture of this case—and the claims that currently are and are not at issue, and the status of motion practice regarding the pleadings—is important framing within which to consider the present motion. As this Court's May 23, 2024 order made clear, "[b]ased on the regulations found at 29 C.F.R. Part 24, the operative complaint in this matter is the August 29, 2021, online complaint filed before OSHA." Order on Operative Complaint (May 23, 2024) (the "May 23 Order") at 1. On April 2, 2024, Complainant filed a motion to amend, seeking to add additional legal claims, alleged protected activity, and alleged adverse actions beyond those in the operative complaint; on April 16, 2024, Apple filed a Motion to Dismiss the lone claim that exists in the currently operative complaint (i.e., a CERCLA whistleblower claim premised on alleged retaliation for Gjovik's various complaints related to alleged safety issues at Apple's office location in a building at 825 Stewart). Specifically, Apple moved this court for an order dismissing the operative OALJ Complaint on the grounds that Complainant fails to state a claim upon which relief can be granted because (1) Apple is not a "Covered Person" under CERCLA with respect to the site at issue; and (2) Complainant does not allege protected activity under CERCLA.² Both motions are fully briefed and pending before the Court.

On May 15, 2024, Complainant served her sixty-one Requests on Apple, seeking wide-

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² On April 30, 2024, Complainant filed a brief in opposition to Apple's Motion to Dismiss. On May 10, 2024, Apple filed a motion for leave to file a reply in support of its Motion to Dismiss (along with a copy of Apple's proposed reply brief), and Complainant filed a motion for leave to file a sur-reply.

ranging information about not only her alleged complaints and the reasons for her termination but also about various individuals whose relation to her is unclear and about myriad site inspections dating back nearly a decade, over six years before she made the complaints that she alleges prompted the termination of her employment. *See* Mot., Ex. A. On May 22, 2024, Apple filed a motion to stay discovery pending resolution of its Motion to Dismiss. The Court then issued the May 23 Order, permitting Complainant to "submit proposed amendments to the August 29, 2021, online complaint" and Apple to respond, and stating that all pending motions would be "held in abeyance until Complainant's Motion to Amend has been fully ruled on." *Id.* at 2.

On June 3, 2024, Apple sought clarity from this Court whether it would consider and rule on Apple's motion to stay discovery pending resolution of its Motion to Dismiss and requested a conference to discuss the issue given that Complainant would soon be filing a new amended complaint. This Court clarified *via* email on June 5, 2024, that "the litigation schedule as set out in the Notice of Hearing issued on March 27, 2024, **has not changed**" (emphasis in original) and directed both parties to "cooperate with each other in the discovery process" until the motion to amend had been decided. The Court also directed Complainant to file her proposed amended complaint (consistent with the May 23 Order) by the next day. Complainant missed her deadline and instead filed her new proposed amended complaint the following day on June 7, 2024.

Consistent with the Court's June 5, 2024 instructions, Apple responded to Complainant's Requests on June 14, 2024, and produced 418 documents. *See* Mot., Ex. B; Riechert Decl. ¶2. While it is Apple's position that discovery is not appropriate until a decision is made as to whether any of Gjovik's claims may proceed (and if so, which ones), Apple has produced documents of potential relevance to the only claim in the operative complaint before this Court—a CERCLA whistleblower retaliation claim. These documents include Complainant's personnel file, payroll records, stock records, leave of absence records, benefits records, job descriptions, performance reviews, agreements and policies, termination letter and related emails, calendar files, documents regarding Complainant's complaints about vapor intrusion testing and exposure at 825 Stewart and 825 Stewart's status as a Superfund site, documents regarding the decision to terminate Complainant's employment and communications with the custodians that notified

Complainant of her termination, communications between HR, communications between Apple's HR custodians and Apple's EH&S custodians, communications between Complainant and all of these custodians, communications between Complainant and the EPA, communications between Complainant and her direct and skip-level managers, communications between these managers and HR custodians, and communications between Complainant and other Apple employees. *See* Riechert Decl. ¶3. Apple further agreed to meet and confer with Complainant as appropriate regarding the scope of the requests following the Court's ruling on Complainant's motion to amend and Apple's Motion to Dismiss.

Upon receipt of Apple's responses and production of documents, Complainant notified Apple that she believed she was entitled to full discovery on all sixty-one Requests. Apple attempted in good faith to engage with Complainant regarding specific documents she contended were missing from the initial production, but Complainant refused. Instead, she repeatedly referred back to her original Requests, dismissed all of Apple's objections and proportionality concerns out of hand, and filed the instant motion on June 19, 2024. *See* Mot., Ex. C (correspondence between the parties between June 14, 2024 and June 19, 2024).

III. <u>LEGAL STANDARD</u>

29 C.F.R. section 18.50, *et seq.* set forth rules about discovery for administrative hearings before the OALJ. Parties may obtain discovery concerning any nonprivileged matter that is "relevant to any party's claim or defense," subject to an ALJ's limitations. 29 C.F.R. § 18.51(a). However, a request for production "must describe with reasonable particularity each item or category of items to be inspected," and the receiving party is permitted in response to "either state that inspection and related activities will be permitted as requested or state an objection to the request, including the reasons." *Id.* §§ 18.61(b)(1)(ii), (2)(ii).

The Court "must" limit discovery when "[t]he discovery sought is unreasonably cumulative or duplicative, or can be obtained from some other source that is more convenient, less burdensome, or less expensive," as well as when "[t]he burden or expense of the proposed discovery outweighs its likely benefit, considering the needs of the case, the amount in controversy, the parties' resources, the importance of the issues at stake in the action, and the

importance of the discovery in resolving the issues." 29 C.F.R. § 18.51(b)(4)(i), (iii). Discoverability under the operative rules is therefore expressly tethered to and governed by the claims (and related defenses) at issue in the matter, which in turn inform "the needs of the case" and the "issues" that would need to be resolved. "ALJs have wide discretion to limit the scope of discovery and will be reversed only when such evidentiary rulings are arbitrary or an abuse of discretion." *McNiece v. Dominion Nuclear Connecticut, Inc.*, ARB Case No. 15-083, 2016 WL 7212569, at *5 (Nov. 30, 2016).

While the regulations generally address the scope and limits of discovery, they do not specifically address motions to compel. See 29 C.F.R. §§ 18.50 et seq. "The Federal Rules of Civil Procedure (FRCP) apply to situations not controlled by Part 18 or rules of special application." Blanton v. Biogen IDEC, Inc., ARB Case No. 2006-SOX-4, 2006 WL 3246815, at *2 (Apr. 18, 2006). Under the FRCP, the moving party bears the initial burden of showing that the requested information is relevant and that the opposing party's response is inadequate or incomplete. See, e.g., Campaign Legal Ctr. v. Iowa Values, 2024 WL 81278, at *5 (D.D.C. Jan. 8, 2024); Mi Familia Vota v. Hobbs, 343 F.R.D. 71, 80 (D. Ariz. 2022); Servicios Funerarios GG, S.A. de C.V. v. Advent Int'l Corp., 2023 WL 7332836, at *2 (D. Mass. Nov. 7, 2023), aff'd, 2024 WL 2748348 (D. Mass. May 29, 2024); Packman v. Chicago Trib. Co., 267 F.3d 628, 647 (7th Cir. 2001) (denial of discovery proper unless moving party can show "actual and substantial prejudice resulting from the denial of discovery"). Only if the moving party establishes a need for the requested discovery would the burden then shift to the party opposing discovery to show why it should not be permitted. See id.; accord In re: Jiminez & Sons Landscaping, Inc., ARB Case No. 2021-TNE-00019, 2021 WL 11714734, at *1 (Sept. 8, 2021) (only if moving party makes "threshold showing of relevance" must opposing party and Court consider, inter alia, "whether the burden outweighs the probative value"). Such a showing would be informed by the considerations of proportionality and burden that the regulations outline, all of which tie back to the needs of a particular case in light of the particular claims at issue.

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IV. LEGAL ARGUMENT

A. <u>Complainant Has Not Demonstrated the Relevance of the Documents She Seeks Through Her Requests.</u>

Complainant's motion to compel makes no effort to satisfy her burden to show that any or all of the documents she sought in her sixty-one Requests but has not received are relevant to this matter. She likewise made no such effort in the meet and confer process, instead simply reiterating her entitlement to everything and her contention that every one of Apple's tailored objections to particular requests must be improper. *See* Mot., Ex. C. Because Complainant has failed to demonstrate the relevance of any particular document(s) sought, her motion fails even absent consideration of Apple's objections. *See In re: Jiminez & Sons Landscaping, Inc.*, 2021 WL 11714734, at *1.

B. Apple Has Cooperated in Discovery, Producing Hundreds of Documents Even Though their Discoverability is Unclear at This Stage of the Matter.

Complainant contends that Apple has "refuse[d] to engage in properly scheduled discovery." Mot. at 5. But Apple has engaged in a manner appropriate and proportional to the needs of the case at this stage. The set of claims that will go forward in this matter—if any—remains unsettled. Unless Complainant's Motion to Amend is granted, the only claim at issue will be a CERCLA whistleblower retaliation claim regarding alleged complaints about 825 Stewart; but Apple has argued that claim should be dismissed on the pleadings because Complainant has not stated a claim under CERCLA's particular whistleblower provision. When the pleadings are unsettled, the scope of the claims and issues is unclear and a party responding to discovery cannot reasonably determine whether the information sought is relevant or proportional to the needs of the cases. *See, e.g., Palmer v. Cognizant Tech. Sols. Corp.*, 2019 WL 9359775, at *1 (C.D. Cal. Feb. 4, 2019) (limiting discovery ordered with pending motion to where the parties

³ As noted in prior submissions to this Court, Complainant separately filed an OSHA whistleblower retaliation claim (on which DOL/OSHA found no merit following administrative review); a SOX whistleblower retaliation claim (which Judge Chen of the Northern District of California dismissed with prejudice); and state law whistleblower retaliation claims (at least some of which will go forward in her federal case).

could "mutually agree to engage in certain aspects of the discovery process," because otherwise "permitting discovery at this juncture may lead to premature and needless disputes regarding Defendants' discovery responses"). This is why courts routinely stay discovery pending resolution of a motion to dismiss. *See, e.g., Ware v. BNSF Railway Co.*, ALJ Case No. 2020-FRS-00063 (Mar. 5, 2021) (granting respondent's motion to stay written discovery pending resolution of motion to dismiss); *Simkus v. United Airlines, Inc.*, ALJ Case Nos. 2018-SOX-00035, 2018-SWD-00003 (Oct. 16, 2018) (same). Requiring the parties to engage in comprehensive discovery at this early juncture would not be an efficient use of resources, including both those of the parties and this Court. *See, e.g., Cima v. Wellpoint Health Networks, Inc.*, 2006 WL 1064054, at *4 (S.D. Ill. Apr. 20, 2006) ("A ruling on [the pending motion to dismiss] will necessarily result in greater certainty of what claims remain pending. It would be wasteful for the parties to engage in extensive discovery prior to a ruling on the motion.").

Here, the Court has not stayed discovery and has directed the parties to "cooperate with each other in the discovery process" while the initial round of motions are pending. Apple has done so. It produced 418 documents spanning 1,549 pages in response to Complainant's Requests that would be relevant to the operative complaint should it survive Apple's Motion to

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⁴ See also Hilliard v. Cnty. of Henrico, ALJ Case No. 2018-NTS-00006 (Aug. 17, 2018) (staying discovery because "if the Court grants Respondent's Motion to Dismiss, the discovery requests will be moot"); Duran v. City of Porterville, 2013 WL 12430031, at *1-2 (E.D. Cal. Jan. 17, 2013) ("[W]hen a case is still in the pleadings stage and a motion to dismiss is pending, a motion to compel discovery is considered premature absent extraordinary circumstances."); Morris v. Barra, 2012 WL 4900203, at *2 (S.D. Cal. 2012) ("Given that this case is still in the pleading stage, the Court finds that merits-based discovery is inappropriate at this time, as its relevancy is outweighed by the burden and expense of production[.]"); Thomas v. Felker, 2011 WL 2225133 (E.D. Cal. June 7, 2011) ("Plaintiff is apprised that any motion to compel will be found premature until the court has issued its final ruling on the motion to dismiss[.]"); Duggan v. Astrue, 2010 WL 2035285 (N.D. Cal. May 19, 2010); Nieves v. Just Energy New York Corp., 2020 WL 6720871, at *4 (W.D.N.Y. Nov. 16, 2020) (granting motion to stay pending motion to dismiss because discovery was "premature" and if motion to dismiss were granted "then discovery would not occur"); Thaht Sin v. Mass. Dept. of Corr., 2012 WL 1570810, at *7 (D. Mass. May 2, 2012) ("Because the stay was ordered pending resolution of the motion to dismiss, discovery will proceed following the resolution of that motion in this order[.]"); Mayfield v. Bank of America, N.A., 2010 WL 11647218, at *2 (N.D. Ga. Nov. 4, 2010) (denying motion to compel discovery based on pending motion to dismiss and no answer on file).

Dismiss.⁵ See, e.g., Nieman v. Southeastern Grocers, ARB Case. No. 2018-0058, 2020 WL 6117907, at *6 (Oct. 5, 2020) (1,100 pages of documents produced sufficient to demonstrate reasons for termination); Powers v. Pinnacle Airlines, ARB Case No. 2005-SOX-65, 2005 WL 4889053, at *9-10 (July 19, 2005) (limiting discovery in whistleblower case under certain environmental acts to employee's complaints and termination and not the underlying acts themselves). These documents include Complainant's personnel file, payroll records, stock records, leave of absence records, benefits records, job descriptions, performance reviews, agreements and policies, termination letter and related emails, calendar files, communications between Complainant and her managers and/or Apple's HR and EH&S representatives, and communications between these representatives regarding Complainant's complaints spanning from the time Complainant made inquiries through her date of termination. See Riechert Decl. ¶3. These documents reveal witnesses and dates, as well as Apple's and other individual's responses to Complainant's concerns. Id. Not only does the production include communications with Complainant, but it also includes communications about her internal complaints among other individuals. Id. There are also documents explaining the termination of Complainant's employment. Id. Given that it is unclear whether Complainant can even plead a viable cause of action in this forum, Apple's production is more than proportional to the needs of the case as it currently stands. 29 C.F.R. § 18.51(b)(4)(iii); see also Nieman, 2020 WL 6117907, at *6.

C. Apple's Objections Were Appropriate and Properly Tailored.

Complainant also contends that Apple's objections are "boilerplate, non-specific, and in bad faith." Mot. at 5. As argued above, absent a threshold showing of relevance as to particular

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That Apple has already produced these documents to Complainant in other proceedings also alleging whistleblower retaliation (albeit under different statutes) is irrelevant to whether Complainant's motion to compel should be granted at this stage of this matter. Indeed, given that Complainant has put her termination at issue in multiple different forums—alleging she was a whistleblower, and that her termination was a result of protected activity, in each forum—it is entirely unsurprising that documents that would be potentially relevant (and thus discoverable) in one matter would be in another as well. Similarly, Complainant laments that Apple's production is deficient in part because (*inter alia*) it includes "communications she directly sent or received" and thus "already has in her possession." Mot. at 11. But Complainant's decision to retain documents related to her employment with Apple post-termination likewise has nothing to do with Apple's discovery obligations.

documents sought, Complainant has not made even an initial showing of an entitlement to relief. Nor does she make any effort to argue that any particular objections are improper as to any specific request, instead broadly attacking any response that contained (*inter alia*) a relevance or proportionality objection without meeting that objection as to particular categories of documents (for example, Request Nos. 43, 44, and 47 cited above).

If this Court were to consider Apple's objections and subsequent attempts to meet and confer, it would see that Apple made good faith objections targeted to the specific Requests at issue. There are no general objections in Apple's response. Instead, Apple specifically addressed each of Complainant's Requests and asserted objections appropriate to the particular Request, as well as noting where it was producing documents (and which documents) as well as its willingness to meet and confer with Complainant including regarding "discovery limitations set forth in 29 C.F.R. § 18.51(b)(4)."

Complainant broadly argues that everyone of Apple's objections is "boilerplate." Mot. at 5. They are not. "[C]opying and pasting an objection, by itself does not render that objection a boilerplate objection"—particularly if multiple requests suffer from the same deficiencies and are subject to the same objections. *VeroBlue Farms USA Inc. v. Wulf*, 345 F.R.D. 406, 419 (N.D. Tex. 2021) (citing *Amos v. Taylor*, 2020 WL 7049848, at *7 (N.D. Miss. Dec. 1, 2020)). Rather "[o]bjections are typically deemed 'boilerplate' when they are identical and *not tailored to the specific discovery request*." *Id.* (emphasis added); *see also Amazing Ins., Inc. v. DiManno*, 2020 WL 5440050, at *5 (E.D. Cal. Sept. 10, 2020); *Makaeff v. Trump Univ., LLC*, 2013 WL 990918, at *5 (S.D. Cal. Mar. 12, 2013). Here, Apple's objections are tailored to each specific discovery Request.

For example, Apple asserted relevance objections where appropriate given that the claims (if any) that will go forward in this matter are indeterminate at present, as well where the documents sought plainly bear no relation to the claims that could even conceivably be a part of this action. For example, Request No. 61 seeks "[a]ll documents concerning 825 Stewart Drive with Ronald Sugar between April 1 2021 through December 31 2021." But Mr. Sugar was the subject of Complainant's complaint about conduct she characterizes as violative of SOX (and

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thus as related to her SOX whistleblower retaliation claim). See Riechert Decl. ¶4, Ex. A (Dec. 10, 2021 Case Activity Worksheet). Complainant elected to "kick out" that claim to federal court (where it was subsequently dismissed with prejudice); there is no legitimate basis to continue to seek documents regarding Mr. Sugar in this forum.

As concerns privilege, Apple asserted that objection only as to those Requests that it believes may seek the discovery of information protected by the attorney client privilege and/or work product doctrine; for the remainder; Apple did not assert the objection. See Mot. Ex. B, Responses to Request Nos. 43-55.

Apple likewise did not assert a third-party privacy objection to those Requests that did not appear to seek third party information. See id., Responses to Request Nos. 1, 43. But as to others, their express language appears designed to seek confidential information regarding and communications with third parties. See, e.g., Mot. Ex. A, Request No. 31 (seeking "all documents concerning" Apple and Northrup Grumman), 32 (seeking "all documents concerning" Apple and Oaktree Capital, 33 (seeking "all documents concerning" Apple and Hines), 34 (seeking "all documents concerning" Apple and GI Partners), 35 (seeking "all documents concerning" Apple and CalSTRS).

Many other Requests could be understood to seek confidential information regarding third-party Apple employees, such that Apple appropriately objected. See, e.g., id., Request Nos. 2, 4, 8, 21-25. The California Constitution protects an individual's privacy rights, and an employer has a legal duty to protect its employees' private information. See Belaire-West Landscape, Inc. v. Superior Ct., 149 Cal. App. 4th 554, 558 (2007); Pioneer Electronics (USA), Inc. v. Superior Ct., 40 Cal.4th 360, 370 (2007); Hill v. Nat'l Collegiate Athletic Assn., 7 Cal.4th 1, 20, 40 (1994); see also Cal. Civ. Code § 1798.140(v)(1) (employee personal information including "professional or employment-related information protected under the California Consumer Privacy Act of 2018"). That is precisely what Apple is doing here. Apple's assertion of that objection as to particular Requests was entirely appropriate.

Apple also appropriately objected to those Requests that appear to seek documents that may contain confidential, proprietary, and/or trade secret information. See, e.g., Mot. Ex. B,

Response to Request Nos. 40 ("All documents sent by or received by Antone Jain and concerning 825 Stewart Drive"), 41 ("All documents concerning EHS records and reports for 825 Stewart Drive"); 42 ("All documents concerning indoor air test plans, testing, and results for 825 Stewart Drive"); 49 ("All documents concerning the 'Gobbler' / 'Glimmer' Informed Consent Forms and/or NDAs"); 53 ("All documents concerning IRB review of the practice of scanning employee ears"). Complainant has to date failed to engage in discussions regarding appropriate measures to protect Apple's confidential information in this matter, including entry of an appropriate protective order. Particularly given that it is unclear what if any claims will go forward, Complainant's wholesale insistence on discovery of Apple's confidential information without a willingness to meet and confer regarding Apple's concerns as to these specific Requests is not well taken.

Apple did not object on vagueness grounds to those Requests that described with sufficient particularity the information sought. *See* Mot. Ex. B, Responses to Request Nos. 1, 43, 44, 45, 55. For the others, Apple objected and identified the vague and ambiguous language contained in the Request in its response and objected to the extent it was intended to impose a disproportionate burden on Apple, which Complainant could have attempted to address through the meet and confer process had she chosen to engage. *See, e.g., id.*, Responses to Request Nos. 10 ("[a]ll documents" and "off-boarding"), 24 ("[a]ll documents" and "about Complainant and Jenna Waibel"), 26 ("[a]ll documents" and "about Complainant"), 39 ("all documents" and "concerning 825 Stewart Drive").

Finally, Apple appropriately limited its objections regarding scope and overbreadth to those Requests that are objectionable on those bases. For those Requests that are not overbroad as to time, Apple does not assert an objection on that basis. *See id.*, Responses to Request Nos. 1, 4, 43, 44, 45. Other Requests are overbroad because they have no time restriction whatsoever and are "unlimited in time." *See id.*, Responses to Request Nos. 2, 8, 10, 12, 16-18 32-35, 49-54. Other Requests are overbroad because they seek information from after Complainant's employment ended, which bear no obvious relevance to evaluating whether she was terminated for CERCLA-protected activities during her employment. *See id.*, Responses to Request Nos. 3,

1 5, 6, 7, 9-11, 13-15, 29. And still other Requests are overbroad because they seek documents pre-2 dating when Complainant alleges in the operative August 29, 2021 complaint that she first made 3 protected complaints (i.e., before March 17, 2021). See id., Responses to Request Nos. 19-28, 30-4 31, 36, 37, 38, 39, 40, 41, 42, 45, 46, 47, 48, 56-61. 5 In sum, Apple's objections were appropriately selected for and tailored to the specific 6 Request to which Apple was responding. Complainant's refusal to acknowledge the validity of 7 any of those objections—while also failing to make any showing as to the relevance or 8 proportionality of the documents she seeks—is not a sound basis on which to grant her motion. 9 V. CONCLUSION 10 For the foregoing reasons, Apple respectfully requests that the Court deny Complainant's 11 motion to compel. 12 13 Dated: July 3, 2024 By: MELINDA S. RIECHERT 14 Orrick, Herrington & Sutcliffe LLP Attorneys for Respondent Apple Inc. 15 16 17 18 19 20

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Ехнівіт С

Meet and Confer April 01, 2024

Ashley Gjovik vs. Apple Inc.



Meet and Confer Ashley Gjovik vs.
Apple Inc.

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                  UNITED STATES DISTRICT COURT
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                 NORTHERN DISTRICT OF CALIFORNIA
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                     SAN FRANCISCO DIVISION
 4 ASHLEY GJOVIK,
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                  Plaintiffs,
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                                      Case No. 23-cv-4597-EMC
    vs.
   APPLE INC.,
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                  Defendants.
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                   OALJ 26(f) MEET AND CONFER
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                        TELEPHONIC MEETING
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    REPORTED BY:
    ANGELA KOTT, CSR 7811
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    JOB NO: 10139539
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Meet and Confer Ashley Gjovik vs.
Apple Inc.

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Ashley Gjovik vs.

Meet and Confer

Apple Inc.

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                    PROCEEDINGS
                                               10:33 a.m.
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            MS. RIECHERT: We're ready to go on the
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   record.
            We have a court reporter here and we are here
    to talk about the 26(f) rules for the DOL.
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            And I thought it would be good if we started.
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            Do you have them in front of you, Ashley?
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            MS. GJOVIK: Yeah, I do.
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            MS. RIECHERT: All right. Great.
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             So the first one talks about initial
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    disclosures. And I think we agree that we're done
12
    with that, right? We've each done our initial
13
   disclosures.
14
                         And, yeah, I was going to look
            MS. GJOVIK:
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    at it again and see. I might need to amend a couple
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    details on the damages, but it looks like we have five
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    days after today to potentially do that.
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            MS. RIECHERT: And then the next one, the
   basis of the claims and defenses.
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             I thought it would be helpful if you started
   by telling us what you think the issues are that the
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   DOL is going to decide.
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            MS. GJOVIK: Yeah, so I didn't know before
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    this meeting -- I'm sorry. We're on the record?
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            MS. RIECHERT:
                           Yeah.
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Meet and Confer

MS. GJOVIK: I didn't know before this 1 2 meeting of some of the details of my request for amendment was the basis. I'm also unaware of the 3 4 details of OSHA's complaint, what they included and what they did not. 5 6 So I'm also going to be motioning -- which might be unnecessary -- but to add the adverse 7 employment actions I had previously complained of as 8 well as specific protected activity under CERCLA that 9 10 was not addressed in the determination. 11 The real center of my complaints are around 12 the cracked slab. The reporting the cracks to the 13 EPA, the EPA conducting the inspection on August 19th 14 due to my reports -- in their official 15 documentation it was because of my reports. But there 16 were a number of issues. And Apple apparently sealing 17 the cracks right after I was put on leave. So that whole timeline of events I think is 18 19 the primary claims -- really goes to the CERCLA 20 claims, but there's also, as noted in the brief, a number of other issues. 21 22 There was a the sub-slat de-pressurization 23 system concerns, the sub-slat ports. A number of issues to do with the vapor intrusion mitigation 24 25 system, with very specific technical inquiries and

Meet and Confer

1 feedback I gave Apple, and also then shared with EPA 2 and then forwarded back to Apple. The EPA then agreed 3 with me during the inspection. 4 So the protected activity under CERCLA, you know, it started in, you know, mid-March, but what 5 6 seems like the -- the most critical time seems to be in July, June and July, once the cracks were 7 identified and I started raising concerns specific to 8 9 the cracks and a duty to ensure there's oversight, and 10 being told there's not, and then us back and forth, 11 and again, leading to the inspection. 12 And then I definitely will also be raising 13 that Apple, I'm going to argue, went out of their way 14 to make sure I never found out there was an 15 inspection. And even in the responses to OSHA there 16 was no mention of the inspection, which is clearly 17 material. So I'm going to add that as an argument 18 under pretext for the adverse employment actions. 19 These are all things that I had in my claims 20 with OSHA. We have the hostile work environment, 21 which I complained of directly, and counsel addressed 22 in their answer even. 23 And the change in work -- losing projects 24 that were good for review, increase of projects that 25 were destined to fail. High increase in workload

Ashley Gjovik vs.

Meet and Confer

Apple Inc.

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1
    overall.
              The leave with the not being able to go to a
 2
    training. Not being able to come back.
 3
             Then of course the -- I'm going to definitely
 4
    raise the two outreach attempts by employee relations,
 5
    Ekelemchi Okpo.
 6
                            I'm sorry. I didn't get that.
             THE REPORTER:
 7
                          Ekelemchi Okpo is his name.
             MS. GJOVIK:
 8
             MS. RIECHERT:
                            Can you spell that?
 9
             MS. GJOVIK: Are you guys new?
10
             MS. RIECHERT:
                            The court reporter is new, and
11
    she doesn't know all the names here. So she needs
12
    to --
13
             MS. GJOVIK: Oh, right.
                                      Say Mr. Okpo,
14
    0-k-p-o.
15
             THE REPORTER:
                            Thank you.
16
             MS. GJOVIK: Sorry. You're welcome.
17
             So Mr. Okpo reaching out to me on September
18
    3rd and September 7th, what appeared to be under the
19
   pretext of giving me an update on my concerns, but
20
    then come to find out that was after Apple started
21
    investigating me.
22
             So I'll definitely be interested in any kind
23
    of documentation around what he was attempting to do.
24
    My assumption was something negative against me while
25
   pretending to be addressing my concerns. So I'm
```

Meet and Confer

1 interested in any documentation around that.

And then of course, the events leading up to the outreach from -- I can't say his last name -- the workplace violence investigator, Cragaminov something, just the workplace violence.

The, you know, the events leading up to that. The decision behind it, who made the decision, when they made the decision.

And then also for pretext, of course, I'll be very interested in any documentation around the things I heard that were occurring as soon as I was put on leave, including all the staff meetings where they talked about "the Ashley issue."

Dan West apparently going around -- D-a-n W-e-s-t, supervisor Dan West -- saying he's planning on talking about "the Ashley issue" at the October all-hands, which is when I knew I was never coming back in my opinion. And other communication that was happening very early on in that period.

And also for -- I assume Apple is sticking with their original defense. And if so, rebutting, of course, the failure to cooperate. We're arguing I was trying to cooperate and there's ample precedent of requesting written communications in situations such as that.

Meet and Confer

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1
             But then also pointing out the Gobbler stuff
 2
    is not only protected, but other employees had already
 3
    spoken out in more detail and were not disciplined,
 4
   were promoted. Same thing for the ear thing. That
   was already public.
 5
 6
             So pointing out that that clearly wasn't --
 7
   you know, would have been fired anyways.
 8
             I think that's pretty much my summary.
 9
             THE REPORTER: I'm sorry. The "ear thing"?
10
    E-a-r, thing?
11
                          The ear thing, e-a-r. Yeah,
             MS. GJOVIK:
12
    Apple wanted to scan my ear canals and kept asking.
13
   And I said please stop. And they said we fired you
14
    because you told people we wanted to scan your ear
15
    canals.
16
             And I said, "That's weird."
17
             THE REPORTER: And one more thing.
                                                 The
    "Gobbler stuff"?
18
19
             MS. GJOVIK: So Gobbler, G-o-b-b-l-e-r.
20
              It was an app that was taking pictures and
    Gobbler.
21
    biometrics of faces whenever they were in front of an
22
    iPhone camera.
23
             And I complained about it and put pictures it
24
    took of me without me like triggering it in my home
25
    and complained about it. And Apple said that was
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Meet and Confer

1 secret, too. 2 Okay. That sounds like that's MS. RIECHERT: 3 pretty thorough. We, of course -- our defenses are 4 set forth in the decision of the investigator that 5 you're appealing from, so I think you pretty much 6 understand what our defenses are. 7 And with respect to your request to amend, we would -- you know, I'm still looking into the e-mail 8 that you sent this morning, which I haven't had a full 9 10 chance to review yet, but we do think that you should 11 not be allowed to amend because it's barred by the 12 statute of limitations. 13 And you know, our belief that this case is 14 all about -- is retaliation. Was there a basis -- you know, did the company retaliate, did the company fire 15 16 you because it retaliated against you because of your 17 complaints. 18 That's the only issue that we see is the 19 issue in the case. 20 MS. GJOVIK: Okay. So I encourage you to 21 look at the e-mails I sent and hopefully get back to 22 I'm planning on filing it tomorrow. For now me soon. I'll put opposed, unless I hear otherwise. 23 24 But the precedence is extremely clear on 25 these cases once they're referred to the Office of

Meet and Confer

Administrative Law judges, that the statute of 1 2 limitations applies to the adverse employment actions, 3 not the motive. So there is a ton of precedent of people 4 5 adding additional claims later, especially when 6 there's new knowledge of a new motive they weren't aware of at that time, sometimes during discovery and 7 later. 8 So there's no statute of limitations of the 9 10 protected activity. You know, employers always will argue, you know, maybe it's too much temporal 11 12 proximity, or something like that. But ample 13 precedent of adding it on and allowing an 14 investigation during the OALJ proceedings. 15 MS. RIECHERT: So do you have any questions 16 about what our defenses are or the positions that 17 we're taking? 18 As I say, I think they are pretty clear from 19 the decision below and with respect to the statute of 20 limitations, and that the new claims are under -- that 21 you're trying to raise, we don't believe it's 22 appropriate to raise those new claims at this stage 23 and it would be barred by the statute of limitations. 24 MS. GJOVIK: You're saying that for the Clean Air Act and the RCRA? 25

Meet and Confer

9

22

23

24

25

MS. RIECHERT: 1 Yes. And also, you know, we 2 also claim that you had an obligation to exhaust your 3 administrative remedies and that you did not timely 4 exhaust your administrative remedies under these new 5 claims you're trying to add. 6 MS. GJOVIK: Tell me more about that. 7 MS. RIECHERT: Yeah. So you're supposed to file a claim, I think it's within 30 days of learning 8 of the basis for the retaliation. And we believe that 10 you knew that in February of 2023 and you didn't file 11 a claim within 30 days. 12 So that's one of the defenses asserted. 13 MS. GJOVIK: You're welcome to whatever 14 defenses you would like to, but again, I'm going to 15 encourage you to go read some of the notes I sent you. 16 The statute of limitations has nothing to do with 17 motive, other than retaliation. 18 So once you're aware of an adverse employment 19 action, that's when you file. It doesn't matter the 20 reasons why, other than you thought it was 21 retaliation. You can figure out the rest later.

Sometimes people just have like a feeling it was retaliation. They go through discovery, they find out, oh, it was a bunch -- so you're welcome to arque I don't think it will be successful. it.

Ashley Gjovik vs.

Meet and Confer

Apple Inc.

1 I do have a lot of questions about Apple's 2 defense, actually. 3 Would you mind walking me through, like I 4 just did with my claims, to walk me through Apple's 5 plan on the defense. 6 MS. RIECHERT: Yeah, I mean, I think as I said, I don't want to repeat everything that was said 7 in the Judge's order below. 8 But if you want to know what our defenses 9 10 are, we agree with the decision of the Administrative Law Judge below -- yeah, of the investigator, excuse 11 12 me, below, that for all the reasons that he denied 13 your claim, those are our defenses to the claim. 14 the claims that you had asserted before OSHA that we 15 knew about. 16 And then we have additional defenses to the 17 potential new claims, including the lack of exhaustion and the statute of limitations. 18 19 MS. GJOVIK: Okay. I'm going to ask again, 20 one more time, if you wouldn't mind -- I mean, the 21 determination from OSHA now is moot and completely 22 irrelevant in the Office of Administrative Law Judge 23 case. 24 The decision was also very brief and 25 confusing and you probably read my response. So I was

Ashley Gjovik vs.

Meet and Confer Apple Inc.

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1
    transparent with you and I walked you through an
 2
    overview of my claims.
 3
             I would appreciate it if you could please
 4
   walk me through your claims, not just saying "whatever
 5
    the investigator said."
 6
             MS. RIECHERT: Yeah, but that is our claim,
    right?
 7
 8
             So if you want to know what our claims are,
    just read the investigator's opinion. That's a
 9
10
    summary of our claims. It doesn't -- we only have
   half an hour today and it doesn't seem fruitful for us
11
12
    to -- for me to just read through what the
13
    investigator said.
14
             MS. GJOVIK: So the rules in, you know,
    reference to the Judge's order was for us to meet and
15
16
    confer and discuss our claims and defenses.
17
             Because that decision is irrelevant here, I
18
    feel like you have not yet walked me through your
19
    defenses for my CERCLA claim.
20
                            Right. But the point is, our
             MS. RIECHERT:
21
    defenses are what's in the order below. I realize
22
    this is a de novo hearing, but you can just look at
23
    what the investigator below found. That's what our
24
    defenses are.
25
             Why do you need me to read the opinion of the
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Meet and Confer

1 investigator below to tell you what our defenses are? That doesn't seem like it would be a fruitful use 2 3 of --4 MS. GJOVIK: I'm asking you on behalf of 5 Apple to meet and confer with me and explain to me 6 Apple's defense plans related to this case, which so far has just been "Go look at that order," which I'm 7 going to say just one more time, I don't think that's 8 sufficient. 9 10 I would appreciate a good-faith effort to just -- you don't have to go as thorough as I did, but 11 12 at least summarize, I would appreciate. 13 MS. RIECHERT: Yes. Well, obviously, one of 14 the defenses is that you -- that was not the reasons 15 that you were fired from your job, the fact that you'd 16 made complaints. 17 And as you've said in your claim, the reasons you were fired is for disclosing confidential 18 information that was, we believe, was confidential and 19 20 that you had no right to disclose and you did disclose. 21 22 And so the primary defense of the claim is 23 that you were not in fact retaliated against for 24 filing your letters with the EPA about the cracked 25 slab.

Ashley Gjovik vs.

Meet and Confer

Apple Inc.

1 Is Apple going to acknowledge or MS. GJOVIK: 2 reference or say anything about the EPA inspection in 3 the --4 MS. RIECHERT: The issue in the case is 5 whether you were retaliated against. So what action 6 did Apple take against you and why did it take those That's what the issue is in a retaliation 7 actions. 8 case. You know, did you make a complaint? Were you 9 10 terminated? Was there a connection between the two? 11 Would you have been terminated anyway? 12 And then, of course, the other reason that we 13 said you were terminated is for failure to cooperate 14 in the investigation. And I know you covered that in 15 your discussion as well. You think you did cooperate 16 and we think you didn't cooperate. 17 So those are really the two primary defenses 18 that we have to the claim, to why you were let go. 19 And again, I don't want to repeat everything that was 20 in the order below from the investigator. 21 Okay. So it sounds like you are MS. GJOVIK: 22 saying to me, "We would have fired her anyways," but 23 not addressing any of the prima facie elements, 24 including the protected activity. And I'm aware of how retaliation claims work. 25

Meet and Confer

Ashley Gjovik vs. Apple Inc.

And this is a -- you know, we have discovery. 1 We can 2 do depositions. We get to really dig into this stuff. 3 And these are topics I'm going to be 4 interested in. I'm kind of just interested, you know, 5 what is Apple's stance, if Apple knows, or am I 6 basically going to be asking these questions and presenting to the ALJ during the hearing and Apple's 7 just going to sit there and say, "This is irrelevant. 8 9 We refuse to answer, because that's what I'm getting 10 from your answer right now? 11 I'm not -- you shouldn't MS. RIECHERT: No. 12 be getting that from my answer. 13 I agree that the question is, did you engage 14 in protected activity under CERCLA? And we either 15 will agree on that or we won't agree on that. 16 But that is one of the issues: Did you 17 engage in protected activity? And then the second issue is: Were you 18 19 terminated? And the answer to that is yes, so we're 20 not -- don't need to dispute that issue. 21 And then were you terminated because you 22 engaged in protected activity? 23 And we say no, that's not why you were 24 terminated. You were terminated for revealing 25 confidential information and for not cooperating in

Meet and Confer

1 the investigation. 2 And then the question is, you know, even 3 though you engaged in protected activity, would you 4 have been terminated anyway, even though you didn't --5 you had engaged in protected activity? 6 So those we see are the legal issues or the -- maybe legal and factual issues in the case. 7 Did you engage in protected activity? 8 9 adverse action taken in the sense that you were 10 terminated? And then, were you terminated because you 11 engaged in protected activity? 12 Or were there other reasons --13 MS. GJOVIK: I'm sorry. The inspection I 14 think is absolutely key to two prongs of that test, which is protected activity, and then the next is in 15 16 causation. As you must be aware, the timing is 17 18 incredibly suspect of when I was removed from the 19 workplace shortly after Apple was notified of the 20 inspection. All of the EHS work that occurred 21 immediately after, starting the day I was removed. 22 And the EPA coming in and inspecting, making note of 23 freshly sealed cracks and citing a number of issues. 24 And then despite my direct inquiries as to 25 what the status of the office was, never ever being

Meet and Confer

1 told that there was any kind of inspection and only 2 found out myself through FOIA to EPA. 3 So I think that is just like drenched in 4 pretext, in my opinion. And I will be leaning heavily 5 into that for the causation argument. 6 I'm interested if Apple has any kind of 7 defense related to that at all? And then especially why it was omitted from the messages to OSHA during 8 that investigation. 9 10 Even, I'm going to argue, misleading statements were made to make it sound like the EPA was 11 12 just gone after, like, June. And there was nothing 13 else. There were no issues. 14 When in fact, EPA said, "We're going to do 15 a -- you know, a federal inspection based on actual 16 disclosures. We're going to be there." 17 So it's very contrary to the position 18 statement that was filed, which is also, again, 19 another example of pretext. 20 MS. RIECHERT: Right. But the issue is, did you make a complaint and was that complaint the reason 21 22 that you were let go? 23 And so we will either admit that you made the 24 complaint or not, and then that's just a factual 25 issue. Did you make a complaint? Was that the reason

Meet and Confer

1 you were let qo? 2 And so if you are saying the complaint you 3 made is the one you made to the EPA, then we can just take that as your fact. And then we will show why you 4 5 were let go and that whether you made a complaint to 6 the EPA or not was not the reason. 7 So it's a very simple -- as you said, I think 8 during the hearing with the Judge, it's a really 9 simple issue. It's a really simple case. And as I 10 think you said, it would just take less than a week or a week to five days to get it tried. And we agree. 11 12 It's a very simple case. 13 MS. GJOVIK: Okay. So I'm just going to be 14 Based on the things you're telling me now, and 15 based on the prior initial disclosures, if Apple later 16 decides they want to add some brand-new defense or 17 witness, I'm going to argue it should have been in the initial disclosures and try to fight the introduction 18 of that evidence, the introduction of that witness. 19 20 Because the position I've been given right now, which you're assuring me is in good faith and 21 22 what you plan to do, to me sounds unfeasible for an 23 actual -- so we can put a pin in that. 24 We do have a few more things to talk about. 25 So the motion -- yeah, it sounds like you are

Meet and Confer

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1
          You're going to oppose my motion, so I will note
 2
    "Opposed."
 3
             MS. RIECHERT: Just to be clear, I just got
   your e-mail this morning. I haven't reviewed it in
 4
   detail and all the authorities that you cited in it.
 5
 6
             But we've -- and I understand you're going to
    file your motion tomorrow. So if we agree to your
 7
   motion, we'll let you know by tomorrow. And if not,
 8
 9
   you should go ahead and file your motion.
10
             MS. GJOVIK:
                          Okay. I will plan to file at
    5:00 p.m. Eastern Standard Time tomorrow if I don't
11
12
   hear from you earlier, if that works for you.
13
             MS. RIECHERT:
                            Okay.
14
             MS. GJOVIK: And then for your motion to
15
    dismiss, I also sent a note at the end of that e-mail
16
    just reminding, the statutory language is very clear
17
    that the CERCLA statute covers all employees and all
18
    employers for any proceeding.
19
             And the only carve-out you can see when you
20
    look at the desk aid and the additional OSHA materials
21
    is sometimes public employees do not have coverage
22
    depending on it, but it specifically says "all private
23
    employers."
24
             So I'm arguing -- or I'm interested what
25
    Apple's argument is as to why CERCLA does not apply to
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Meet and Confer

1 them. 2 MS. RIECHERT: Yeah, so we just disagree with 3 your contention that it applies to all employers and 4 all employees. So I think that you'll see that our position 5 6 is set forth in our motion to dismiss where we're going to detail all of that. 7 8 Okay. Again, not very MS. GJOVIK: 9 straightforward or transparent on that. But assume, 10 unless you give me more information that is 11 compelling, that I also oppose that. So we oppose and 12 oppose. 13 And then the next step will be discovery Per the rules, discovery is going to start 14 after this call. And there's definitely a lot of 15 16 stuff I want to request, but I'm also very familiar 17 with the way Apple sometimes handles discovery in 18 So I want to work with Apple on this one. 19 I think it might be best if I start with some 20 very targeted requests, you know, stuff that should 21 not be confidential. Should not be complicated. It's 22 probably already on-hand, instead of some kind of 23 broad request. 24 And then from those items, I'm planning on, 25 you know, requesting specific things off of them,

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depending on how relative they are, how relevant, all
 1
 2
    of that.
 3
             Does Apple have any position on their
 4
   preferred way?
             Would they prefer a big bulk request
 5
 6
   would you be appreciative if I try to be kind of
    strategic so there's less burden?
 7
             MS. RIECHERT: We will definitely be
 8
 9
    appreciative if you're strategic. Just because,
10
    obviously, discovery has to be focused on the issues
    in this case, which is we have already gone through --
11
12
    you've gone through them. We've gone through them.
13
    So we know what the issues in the case are.
14
             And so it needs to be super targeted on, did
15
   you make a complaint and is that why you were fired.
16
             And so as long as you can target those
17
   particular issues, we will look at the discovery that
18
   you send and we'll respond appropriately.
             So you're talking about document requests,
19
20
    are you?
21
             MS. GJOVIK: Yes. For initial -- I would
22
    like to delay my request for depositions until I have
23
    a better idea of the evidence through discovery.
24
             Again, to be thoughtful of people's time and
25
    strategic.
```

1 MS. RIECHERT: So we think that it's better 2 that we know what the issues in the case are going to 3 be before we do any of this discovery. 4 So for example, if our motion to dismiss is 5 granted, there's no need for discovery. 6 If your motion to amend is granted, then the topics of the discovery will be much broader than they 7 would be if it's just the claims that were brought 8 before the investigator. 9 10 So it seems to make sense to us that we delay until we get the issues in the case resolved before 11 12 doing discovery. 13 Does that make sense to you? 14 MS. GJOVIK: I understand what you're saying, 15 but I do not agree. 16 You know, if we add Clean Air Act and RCRA, 17 we're adding a whole other facility, a different timeframe in addition to the current one. And my 18 19 argument is it was all together. All that retaliation 20 was about all three of them. 21 So anything related to that investigation 22 they were supposedly doing into my concern, any 23 investigation into me. Any of the weird 24 communications going on with legal and EHS and all 25 those folks, like all of that, I expect to cover all

Meet and Confer

1 the categories.

Once the -- if my amendment or request is granted, I expect to have additional targeted questions specific to that facility that might not

5 have been included in the Superfund request, probably

6 stuff that occurred before the Superfund stuff started

7 | going.

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I also expect, again, for your motion to dismiss to be denied. You could also -- as he mentioned, the Judge mentioned, you can file that motion at any time.

So basically, that would just be saying no discovery at all because we might feel like filing -- you know, there's lots of things you could do, and I don't want to pause discovery for that.

I've been waiting a very long time for this to finally get going. I'm very excited to get going. And as I mentioned, I want to act in good faith and try to keep things strategic and work with Apple on this one. So I'm excited to get going.

MS. RIECHERT: Right. But if the motion to dismiss is granted -- and I know you don't think it will be and we think it will be -- then there will be no need for any discovery.

And so why does each side waste time on

1 discovery on something that may never need to happen? 2 MS. GJOVIK: There is zero legal precedent 3 for your argument for the motion to be dismissed. 4 MS. RIECHERT: And I totally disagree. 5 MS. GJOVIK: I'm very confident that it will 6 not be granted. 7 MS. RIECHERT: Right. But we're confident that it will be granted. So the Judge will decide 8 which of our confidences is best placed. 9 10 But there's no point in spending time on 11 discovery when, if we're right, the motion is granted, 12 and then there would be no need for discovery. 13 MS. GJOVIK: Again, you could say a lot to 14 drag it out and drag this whole process out. I think, 15 you know, to stick to our schedule and ensure we're 16 ready for the hearing and trial, starting discovery 17 early, there's no real, you know, harm. 18 I assume, again, if I'm doing very strategic 19 small things, this is stuff that's probably already 20 on-hand. It's probably already applicable to other 21 cases anyways. It doesn't seem like a huge burden. 22 And just pausing the basic procedures within 23 the case at any time Apple thinks it wants to file 24 some motion would be incredibly disruptive. 25 So I'm okay, again, like not doing any kind

1 of broad, big request anyways at the beginning. 2 I'm going to hold adamant that we stick to the 3 schedule and the rules as published and start our 4 discovery right away. MS. RIECHERT: Okay. Well, our time is up 5 6 and both of us have other things to talk about. 7 Do you think we've covered the things we need It seems like we covered the things in the 8 9 Rule 26(f) report. And we'll disagree on discovery. 10 And we disagree so far on the motion to amend. 11 And we'll just let the Judges decide 12 unless -- I'll let you know by tomorrow whether we've 13 changed our mind on your motions. 14 MS. GJOVIK: Okay. You said we have more 15 things to talk about? Did you mean you and me or --16 MS. RIECHERT: No, I think we covered all the 17 things in the 26(f) report, is what I'm saying. 18 MS. GJOVIK: Okay. So with you disagreeing 19 on discovery, I believe, if you want to pause it, you 20 need to file a motion. Are you planning on filing a 21 motion on that? 22 I don't know. We'll have to MS. RIECHERT: 23 decide that. That's what -- that's the point of our 24 call today, was to find out if that was going to be an 25 issue or not. And it sounds like it is going to be an

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1
    issue.
 2
             And so then we'll have to decide what we want
 3
    to do about it.
 4
             MS. GJOVIK: Okay. And again, I want to work
 5
    cooperatively with Apple on discovery. I know they
 6
    can get kind of contested. And, you know, I want --
    there's information I need. So if there's feedback
 7
    about how to do the narrow request and what kind of
 8
 9
    formatting.
10
             Oh, and then is Apple going to request any
11
    discovery from me? I didn't see anything listed that
12
    seemed like it would be relevant.
13
             MS. RIECHERT: Well, at this time I don't --
             MS. MANTOAN: I'm sorry. This is --
14
15
    (inaudible).
16
                            I'm sorry, I can't hear you.
             THE REPORTER:
17
             (Inaudible)
18
             MS. RIECHERT:
                            I'm late for my call, but we
   haven't decided because we don't think there should be
19
20
    any discovery until after the issues are decided.
21
             So I don't have any discovery planned right
22
          But obviously, we'll look at your discovery and
23
    then we'll make a decision on what to do about it.
24
             MS. GJOVIK: All right. Sounds good.
25
    should probably have a follow-up call after the
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Ashley Gjovik vs.

Meet and Confer

Ashley Gjovik vs.

Apple Inc.

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motions, assuming nothing is kicked out. I don't
 1
 2
    think it will be.
             Thank you for the talk today.
 3
 4
             MS. RIECHERT: Thanks a lot. Bye.
 5
       (Whereupon, the proceedings adjourned at 11:03 a.m.)
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                              --000--
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1 2 3 CERTIFICATE OF REPORTER 4 5 I, ANGELA T. KOTT, a Certified Shorthand 6 Reporter, hereby certify that the foregoing 7 proceedings were taken in shorthand by me, at the time and place therein stated, and that the said 8 9 proceedings were thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 I further certify that I am not of counsel or 12 attorney for either or any of the parties nor in any 13 way interested in the event of this cause, and that I 14 am not related to any of the parties thereto. 15 16 DATED: April 2, 2024. 17 angele Hotel 18 19 20 ANGELA T. KOTT, CSR #7811 21 22 23 24 25

Ехнівіт D



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DOCUMENT: PART OF SCARLETT'S EVIDENCE FOR DISTRICT COURT 22CIV01704KCX SUBMITTED BY SCARLETT FEB 15 2022 AS EVIDENCE AGAINST GJOVIK (UNKNOWN / UNCLEAR REASONING BY SCARLETT FOR INCLUDING)

December 20, 2021

VIA EMAIL

Aleksandr L. Felstiner Levy Ratner 80 Eight Avenue, Floor 8 New York, NY 10011 Email: afelstiner@levyratner.com

Re: Cher Stewart Settlement – Breach

Dear Alek:

As we discussed last week, it is Apple's position that Ms. Stewart has breached the Confidential Settlement Agreement and General Release ("Settlement Agreement") reached between the parties in Case 32-CA-282396. As you are aware, Ms. Stewart indicated a desire to leave Apple and requested severance. Apple negotiated in good faith to facilitate her departure. Apple took Ms. Stewart at her word when entering into this Agreement, with no knowledge that she was upon execution already in breach of her commitments.

Prior to executing the Agreement, and unbeknownst to Apple at the time, Ms. Stewart had already disclosed draft provisions of the Agreement to Nia Impact Capital. Thus, Ms. Stewart breached the Agreement at the time of execution by having already improperly disclosed the parties' negotiations leading to the Agreement in violation of Paragraph 14. She also breached the Agreement at the time of execution by falsely warranting that she had not previously disclosed any of the Agreement terms, provisions or negotiations leading to the Agreement. Apple learned of Ms. Stewart's breach the day after she received the first settlement payment and notified you accordingly. Subsequently, Ms. Stewart publicly admitted to breaching the Agreement, as Reuters reported on November 25, 2021: "Scarlett, who left Apple last week, said she decided to go public with that information this week, in violation of the terms of her settlement with Apple." Since then, Ms. Stewart has continued to breach the Agreement, including, but not limited to, repeatedly disclosing the Agreement's terms, provisions, and amount of the settlement payments. Most recently, she again breached her agreement on December 14, 2021 when she commented on the amount of the settlement on Twitter. The above examples of breach are an illustrative list of Ms. Stewart's breaches and not intended to be exhaustive.



Case 3:23-cv-04597-EMC Document 174 Filed 02/24/25 Page 161 of 334 DOCUMENT: PART OF SCARLETT'S EVIDENCE FOR DISTRICT COURT 22CIV01704KCX SUBMITTED BY SCARLETT FEB 15 2022 AS EVIDENCE AGAINST GJOVIK (UNKNOWN / UNCLEAR REASONING BY SCARLETT FOR INCLUDING)

Aleksandr L. Felstiner December 20, 2021 Page 2

You are aware that the parties, through their respective counsel, mutually drafted Paragraph 4 of the Agreement (Timing of Settlement Payment), which expressly conditioned settlement payments on Ms. Stewart's continued compliance with the Agreement's terms and conditions and that any breach by Ms. Stewart would result in nonpayment by Apple. Given that Ms. Stewart breached the Agreement before any settlement payment was due, Apple was released of any obligation to make continued payments to Ms. Stewart or her attorneys. Notwithstanding Ms. Stewart's continuing breaches, the Agreement continues to be in effect and Apple is preserving its right to seek liquidated damages for each separate breach.

Sincerely,

Ronald Holland

Lonald & Holland

McDermott Will & Emery

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 32

APPLE INC.

and

Cases 32-CA-282396 32-CA-287038 32-CA-290101

CHER SCARLETT, an Individual

AMENDED CONSOLIDATED COMPLAINT AND NOTICE OF HEARING

On October 30, 2024 an Order Consolidating Cases, Consolidated Complaint and Notice of Hearing issued in Cases 32-CA-282396, 32-CA-287038, 32-CA-290101, based on charges filed by Cher Scarlett, an Individual (Charging Party or Scarlett), alleging that Apple, Inc. (Respondent), is engaged in unfair labor practices that violate the National Labor Relations Act (the Act), 29 U.S.C. § 151, et seq. This Amended Consolidated Complaint and Notice of Hearing, which is based on these charges, is issued pursuant to Section 10(b) of the National Labor Relations Act (the Act), 29 U.S.C. § 151, et seq., and Sections 102.15 and 102.17 of the Board's Rules and Regulations, and alleges that Respondent has violated the Act as described below.

1.

- (a) The charge in Case 32-CA-282396 was filed by Charging Party on September 1, 2021, and a copy was served on Respondent by U.S. mail on September 2, 2021.
- (b) The charge in Case 32-CA-287038 was filed by Charging Party on November 22, 2021, and a copy was served on Respondent by U.S. mail on December 2, 2021.

¹ Cases 32-CA-282396 and 32-CA-287038 were filed under a former name of the Charging Party and therefore is corrected herein.

(c) The charge in case 32-CA-290101 was filed by Charging Party on February 4, 2022, and a copy was served on Respondent by U.S. mail on February 4, 2022.

2.

- (a) At all material times, Respondent has been a California corporation with its headquarters located in Cupertino, California (Respondent's facility), and retail facilities located throughout the United States, and is engaged in the development, manufacture, and retail sale of consumer electronics and software and provision of customer service and support for those electronics and software.
- (b) In conducting its operations during the 12-month period ending May 31, 2022, a representative period, Respondent, in conducting its operations described above in paragraph 2(a), derived gross revenues in excess of \$500,000.
- (c) During the time period described above in paragraph 2(b), Respondent, in conducting its operations described above in paragraph 2(a), purchased and received at its Cupertino, California facility products, goods, and materials valued in excess of \$5,000 directly from points outside the State of California.

3.

At all material times, Respondent has been an employer engaged in commerce within the meaning of Sections 2(2), (6), and (7) of the Act.

4.

At all material times, the following individuals held the positions set forth opposite their respective names and have been supervisors of Respondent within the meaning of Section 2(11) of the Act or agents of Respondent within the meaning of Section 2(13) of the Act:

Tim Cook — Chief Executive Officer

Deirdre O'Brien — Senior Vice President

Jeannie Wong — Manager

Ali Stapleton — Manager/Slack Administrator

Mark Miller — Manager

Vu Vo — Director of Design for Manufacturing

Peter Mitchell — Manager

Meg Richardson — Manager

Michael Rennaker — Manager

RESPONDENT VIOLATES SECTION 8(a)(1) OF THE ACT BY MAINTENAINING AN OVERBROAD RULE

5.

Since at least May 22, 2021, Respondent has maintained a policy titled, "Sales Incentive Compensation Plan ("SICP")," which outlines financial incentives for reaching specific sales goals and states, *inter alia*:

- (a) in the footer of each page: "Apple Proprietary and Confidential;" and
- (b) under the section heading "Other Provisions," "Apple considers this Plan to be confidential and proprietary information."

RESPONDENT VIOLATES SECTION 8(a)(1) OF THE ACT BY SELECTIVELY AND DISPARATELY ENFORCING ITS SLACK² TERMS OF USE POLICY TO INTERFERE WITH PAY EQUITY DISCUSSIONS

6.

- Since at least June 2, 2021, Respondent has maintained a policy titled, "Terms (a) of Use" (TOU) that governs employees' use of Slack, which states, *inter alia*:
- (i) "Slack is a collaboration and productivity tool provided to [Respondent] users to conduct [Respondent] business. Slack channels are created for the sole purpose of advancing the work, deliverables, or mission of [Respondent] departments and teams."
- (ii) Under the heading "Channel Use," the TOU further states: "Slack channels for approved [Respondent] Employee clubs and Diversity Network Associations (DNAs) are permitted. Slack channels for activities and hobbies not recognized as [Respondent] Employee clubs or DNAs aren't permitted and shouldn't be created."
- (b) About August 31, 2021, Respondent, through Slack Administrator Ali Stapleton, via Slack, enforced its TOU selectively and disparately by denying an employee request to create a Slack channel called #community-pay-equity, stating that Slack was to be used for business purposes only, while permitting other employees to use Slack for nonbusiness purposes.

²Slack is a business communication platform that allows individuals within a company to collaborate in an organized manner via a virtual "workspace." Within a workspace, Slack organizes conversations into

dedicated spaces called "channels."

4

RESPONDENT VIOLATES SECTION 8(a)(1) OF THE ACT BY INTERFERING WITH EMPLOYEES' SECTION 7 ACTIVITIES BY PROHIBITING WAGE SURVEYS AND OTHER PROTECTED ACTIVITES AND BY CREATING AN IMPRESSION OF SURVEILLANCE OF EMPLOYEES' SECTION 7 ACTIVITIES

7.

- (a) About March 2021, Respondent, by Manager Vu Vo, over a video call, threatened an employee with unspecified reprisals if the employee discussed a performance bonus that the employee had received.
 - (b) Respondent, through Manager Peter Mitchell:
- (i) About May 12, 2021, told an employee not to speak to the press after the employee communicated on social media about the employee's workplace concerns and after the employee was quoted in the press about those workplace concerns.
- (ii) About May 13, 2021, told the employee that the employee must notify him (Mitchell) in advance if the employee wishes to speak to the press.
- (iii) About July 1, 2021, told an employee that he was upset that the employee had not told him in advance that the employee would be talking to the press about employees' working conditions, including a group concern about remote work.
- (c) About July 19, 2021, Respondent, through Employee Relations Representative Meg Richardson:
- (i) told an employee to remove the employee's Tweet regarding how to request continued remote work arrangements at Respondent's facility; and
- (ii) sought the names of other employees that the employee had spoken with concerning remote work.
- (d) About August 10, 2021, Respondent, by Manager Mark Miller, in a telephone call to an employee:

- (i) created an impression of surveillance saying that he (Miller) and others in Respondent's senior leadership had seen the employee's posts about pay equity on Slack and that Respondent was monitoring those discussions;
- (ii) told the employee not to participate in the wage and pay discussions on Slack or fill out the online wage survey that Scarlett had posted; and
- (iii) impliedly threatened the employee with unspecified reprisals if the employee continued to participate in discussions about wages on Slack or participate in Scarlett's online pay equity survey.
- (e) About August 15, 2021, Respondent, by Manager Michael Rennaker, in a telephone call, told an employee that Respondent did not want employees talking about wages and/or pay equity issues.
- (f) In emails dated August 24, 25, and 30, 2021, Respondent, by Manager Jeannie Wong, refused to meet with employees collectively about their concerns regarding compensation and group concerns regarding data revealed by employee wage surveys and insisted on meeting with employees in individual meetings.
- (g) About August 26, 2021, Respondent, by Manager Jeannie Wong, in a WebEx video conference meeting:
- (i) interrogated an employee about why and how the employee got involved with Scarlett's pay equity survey and who else was involved; and
- (ii) impliedly threatened the employee with unspecified reprisals by warning the employee that Slack was intended for Respondent's business use only and that the employee should be careful with what the employee shared over Slack.

- (h) About August or September 2021, Respondent, by Manager Mark Miller, in a video call, impliedly threatened termination and/or other reprisals by telling an employee to track the hours the employee spent on the wage survey project and to engage in protected activities only outside of work hours, and specifically on weekends, in case Respondent tried to discipline or discharge the employee for a drop in performance.
- (i) About early-September 2021, Respondent, by Senior Vice President Deirdre O'Brien, in a video posted to Respondent's intranet, told employees to talk to their managers or HR/People Business Partner if they had concerns about their pay.

RESPONDENT CONSTRUCTIVELY FIRES SCARLETT BY MAKING CONTINUED EMPLOYMENT CONTINGENT UPON HER CEASING HER SECTION 7 ACTIVITIES IN VIOLATION OF SECTION 8(a)(1) OF THE ACT

8.

- (a) Since at least May 2021, and continuing to date, Scarlett engaged in concerted activities, with or on behalf of Respondent's other employees for the purposes of mutual aid and protection, by, among other things, engaging in the following conduct:
- (i) Scarlett Tweeted, spoke to media reporters, and engaged in Slack discussions about workplace issues including, but not limited to, pay equity and Respondent's remote work policy.
- (ii) Scarlett participated in a conversation thread in Respondent's Slack channel called "Women in Software Engineering," where other employees were discussing concerns about sexism and gender discrimination in the workplace.

- (iii) In about the summer of 2021, Scarlett helped found the "Apple Too" movement, an effort modeled after the "Me Too" movement,³ to encourage her fellow employees to share stories and create transparency around incidents of discrimination, inequity, racism, and sexism they experienced in the course of their employment with Respondent.
- (iv) About August 4, 2021, Scarlett participated in an online pay survey that a fellow employee of Respondent had created.
- (v) About August 7, 2021, Scarlett created and posted an online pay equity survey where Respondent's employees could anonymously share information about their wages, job levels, years of experience, and personal demographics in order to identify potential pay disparities, and then posted the wage survey on her personal Twitter⁴ account, where some of Respondent's employees followed her.
- (vi) About August 7, 2021, Scarlett posted a link to the pay equity survey in one of Respondent's Slack channels, #talk-benefits, that some of Respondent's employees had previously attempted to use to discuss pay equity issues and conduct wage surveys.
- (vii) About August 24, 2021, Scarlett and other Respondent employees requested a group meeting with Respondent's management to share group concerns regarding data revealed by the wage surveys.
- (viii) About August 26, 2021, Scarlett and other employees showed Respondent's HR Representative Jeannie Wong a presentation regarding the wage survey's

³ The "Me Too" movement is an awareness campaign centered on addressing sexual harassment and sexual abuse of women in the workplace that grew to prominence in the fall of 2017.

8

⁴ "X", formerly "Twitter" (2006–2023), is an online social media platform and microblogging service that distributes short messages of no more than 280 characters.

methodology and results and the group's finding that there was possible gender-based pay disparity in some of Respondent's departments.

Case 3:23-cv-04597-EMC

- (b) Since at least September 1, 2021, to about November 15, 2021, Respondent made it known that Respondent was conditioning continued employment of Scarlett on her abandoning Section 7 activities by:
 - (i) telling employees not to participate in Scarlett's online pay survey;
- (ii) telling employees their participation in Scarlett's online pay survey was not condoned;
- (iii) telling employees that participation in Scarlett's pay survey could lead to demotion and/or harm their careers and/or violate their employment agreements;
- (iv) telling Scarlett's former legal representative that Respondent's executives were having a headache from Scarlett's Tweeting about them and therefore telling Scarlett to stop; and
- (v) repeatedly telling Scarlett to take medical leave and offering her a severance agreement rather than addressing her requests for: a company-wide statement clarifying employees' right to discuss pay, to engage in protected concerted activities, to freely speak to the press about workplace issues, and to access Slack to discuss pay and workplace issues; and for a formal platform for Respondent to receive employee group concerns.
- (c) By the conduct described above in paragraph 8(b), Respondent caused the termination of employee Scarlett.

(d) Respondent engaged in the conduct described above in paragraphs 8(b) and (c) because Scarlett engaged in the conduct described above in paragraph 8(a), and to discourage employees from engaging in these or other protected concerted activities.

9.

By the conduct described above in paragraphs 5, 6, 7, and 8, Respondent has been interfering with, restraining and coercing employees in the exercise of their rights guaranteed in Section 7 of the Act in violation of Section 8(a)(1) of the Act.

10.

The unfair labor practices of Respondent described above affect commerce within the meaning of Sections 2(6) and (7) of the Act.

REMEDIES

11.

WHEREFORE, as part of the remedy for the unfair labor practices described above in paragraphs 5 through 8, the General Counsel seeks an Order requiring Respondent to: (1) physically and electronically post the Notice to Employees at all its facilities including, but not limited to, posting on Respondent-sponsored Slack communication channels, intranet portals, and by e-mail; (2) email a copy of the Notice to Employees to all its supervisors and managers; (3) physically and electronically post the Explanation of Employee Rights poster in the same manner as the posting of the Notice to Employees; (4) have a Board Agent conduct a training session for its managers and supervisors on their obligations under the Act, on work time, scheduled so as to ensure the widest possible attendance (by videoconference or in person, at the discretion of the Regional Director); and (5) have a Board Agent conduct a training session for its employees on their rights under the Act, on

work time, scheduled so as to ensure the widest possible attendance (by videoconference or in person, at the discretion of the Regional Director).

WHEREFORE, as part of the remedy for the unfair labor practices described above in paragraph 8 the General Counsel seeks an Order requiring Respondent to: (1) offer employee Scarlett reinstatement to her former job position or, if that job no longer exists, to a substantially equivalent position, without prejudice to Scarlett's seniority or any other rights or privileges previously enjoyed; (2) send a letter to Scarlett apologizing for constructively terminating her, expunge all Respondent's records of such termination, and inform her, in writing, that her termination has been expunged from Respondent's records and will not be used against her in any way; (3) make employee Scarlett whole for all losses incurred as a result of the unfair labor practices described above, including for all pecuniary losses incurred as a result of her unlawful termination; and (4) provide a neutral job reference to all prospective employers with the correct job titles and positions of employee Scarlett.

WHEREFORE, as part of the remedy for the unfair labor practices described above in paragraph 5 the General Counsel seeks an Order requiring Respondent to rescind the rules described in all their forms, or revise them in all their forms, to make clear to employees, in writing, that these rules do not interfere with employees' right to engage in Section 7 activities for mutual aid and protection; to rescind all disciplines or terminations issued to all employees pursuant to the unlawful rules; and to make all employees whole for losses incurred as a result of being suspended or terminated pursuant to the unlawful rules.

The General Counsel further seeks all other relief as may be just and proper to remedy the unfair labor practices alleged.

ANSWER REQUIREMENT

Respondent is notified that, pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations, it must file an answer to the Amended Consolidated Complaint. The answer must be <u>received by this office on or before November 14, 2024.</u>

An answer must be filed electronically through the Agency's website. To file electronically, go to www.nlrb.gov, click on E-File Documents, enter the NLRB Case Number, and follow the detailed instructions. The responsibility for the receipt and usability of the answer rests exclusively upon the sender. Unless notification on the Agency's website informs users that the Agency's E-Filing system is officially determined to be in technical failure because it is unable to receive documents for a continuous period of more than 2 hours after 12:00 noon (Eastern Time) on the due date for filing, a failure to timely file the answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was off-line or unavailable for some other reason. The Board's Rules and Regulations require that an answer be signed by counsel or non-attorney representative for represented parties or by the party if not represented. See Section 102.21. If the answer being filed electronically is a pdf document containing the required signature, no paper copies of the answer need to be transmitted to the Regional Office. However, if the electronic version of an answer to a Complaint is not a pdf file containing the required signature, then the Efiling rules require that such answer containing the required signature continue to be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing. Service of the answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The answer may not be filed by facsimile transmission. If no answer is filed, or if an answer is filed untimely, the Board may find, pursuant to a Motion for Default Judgment, that the allegations in the Amended Consolidated Complaint are true.

NOTICE OF HEARING

PLEASE TAKE NOTICE THAT on June 24, 2025, at 9:00 a.m., at the Oakland Regional Office of the National Labor Relations Board located at 1301 Clay Street, Suite 1510N, Oakland, California 94612, at a conference room to be determined, and on consecutive days thereafter until concluded, a hearing will be conducted before an administrative law judge of the National Labor Relations Board. At the hearing, Respondent and any other party to this proceeding have the right to appear and present testimony regarding the allegations in this Amended Consolidated Complaint. The procedures to be followed at the hearing are described in the attached Form NLRB-4668. The procedure to request a postponement of the hearing is described in the attached Form NLRB-4338.

DATED AT Oakland, California this 31st day of October 2024.

Christy Kwon

Regional Director

National Labor Relations Board

Region 32

1301 Clay Street, Suite 1510N Oakland, CA 94612-5224

Attachments

Form NLRB-4338 (2-90)

UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

NOTICE

Cases: 32-CA-282396 32-CA-287038 32-CA-290101

The issuance of the notice of formal hearing in this case does not mean that the matter cannot be disposed of by agreement of the parties. On the contrary, it is the policy of this office to encourage voluntary adjustments. The examiner or attorney assigned to the case will be pleased to receive and to act promptly upon your suggestions or comments to this end. An agreement between the parties, approved by the Regional Director, would serve to cancel the hearing.

However, unless otherwise specifically ordered, the hearing will be held at the date, hour, and place indicated. Postponements *will not be granted* unless good and sufficient grounds are shown *and* the following requirements are met:

- (1) The request must be in writing. An original and two copies must be filed with the Regional Director when appropriate under 29 CFR 102.16(a) or with the Division of Judges when appropriate under 29 CFR 102.16(b).
- (2) Grounds thereafter must be set forth in *detail*;
- (3) Alternative dates for any rescheduled hearing must be given;
- (4) The positions of all other parties must be ascertained in advance by the requesting party and set forth in the request;

and

(5) Copies must be simultaneously served on all other parties (*listed below*), and that fact must be noted on the request.

Except under the most extreme conditions, no request for postponement will be granted during the three days immediately preceding the date of hearing.

Tim Cook, Chief Executive Officer

Apple, Inc.

One Apple Parkway Cupertino, CA 95014

Email: tcook@apple.com

SERVED VIA E-ISSUANCE

Harry I. Johnson III, Attorney Morgan, Lewis & Bockius LLP 2049 Century Park East, Suite 700 Los Angeles, CA 90067-3109

Email: harry.johnson@morganlewis.com

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Tim Cook, Chief Executive Officer

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Brian J. Mahoney, Attorney Morgan Lewis & Bockius LLP

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SERVED VIA E-ISSUANCE

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 32

APPLE INC.

and

CHER SCARLETT, an Individual

Cases: 32-CA-282396 32-CA-287038

32-CA-290101

Date: October 31, 2024

AFFIDAVIT OF SERVICE OF AMENDED CONSOLIDATED COMPLAINT AND NOTICE OF HEARING

I, the undersigned employee of the National Labor Relations Board, being duly sworn, depose and say that on the date indicated above I served the above-entitled document(s) upon the persons at the addresses and in the manner indicated below. Persons listed below under "E-Service" have voluntarily consented to receive service electronically, and such service has been effected on the same date indicated above.

Tim Cook, Chief Executive Officer

Apple Inc.

One Apple Parkway Cupertino, CA 95014

Email: tcook@apple.com

SERVED VIA E-ISSUANCE

Harry I. Johnson III, Attorney Morgan, Lewis & Bockius LLP 2049 Century Park East, Suite 700 Los Angeles, CA 90067-3109

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Washington, DC 20004

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SERVED VIA E-ISSUANCE

EXHIBIT E

RE: status

From: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov>

Ashley Gjovik <ashleymgjovik@protonmail.com> To:

Date: Wednesday, January 19th, 2022 at 2:00 PM

Ashley,

Thank you for providing the three attachments. I also received the zip folder you sent.

Below are responses to your questions:

 A) Are you able to let me know if Apple has responded yet? And if so, are you able to share their response so I can respond to it if applicable/needed?

Apple has requested an extension to submit their position statement and supporting documentation. Once I receive it, you'll be provided a copy. Then you will have an opportunity to do a rebuttal to respond to Apple's response. You'll have the option to provide a written rebuttal or we can do a rebuttal interview via phone.

B) Am I able to request anything from Apple (or to you to request from Apple) as part of a discovery-type process?

The OSHA investigation doesn't have a formal discovery process similar to court proceedings. Instead of directly requesting documents from Apple, you can send me a list of items you believe are pertinent to your complaint. It will be reviewed and a determination will made if the document(s) are necessary for the investigation.

After your complaint has been pending with OSHA for more than 60 days, you have the option of requesting an Expedited Case Process (ECP). ECP allows you to request that OSHA terminate its investigation and issue a determination on your complaint. You will then receive a letter for your appeal rights which enables you to take your complaint directly to an Administrative Law Judge (ALJ). The ALJ procedure has a formal quasi-judicial setting which includes processes such as discovery. Please let me know if you're interested in ECP or would like more information about the process. Note this process is only applicable for your CERCLA and SOX complaints.

Regards,

Andrea Diangco (she/her)

Investigator Whistleblower Protection Program U.S. Department of Labor - OSHA

From: Ashley M. Gjøvik <ashleymgjovik@protonmail.com>

Sent: Tuesday, January 18, 2022 1:54 AM

To: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov>

Subject: RE: status

CAUTION - The sender of this message is external to the DOL network. Please use care when clicking on links and responding with sensitive information. Send suspicious email to spam@dol.gov.

Hi.

Thank you! Hope you had a nice long weekend.

I'm attaching:

- 1) CERCLA memo v2 (amended & expanded)
- 2) Retaliation & pretext memo v1
- 3) Timeline v5

I'm working on a remedies memo, but maybe it's too early for that. I *think* that's it. Please do let me know if you need anything else from me & I'll be right on it.

Questions:

- A) Are you able to let me know if Apple has responded yet? And if so, are you able to share their response so I can respond to it if applicable/needed?
- B) Am I able to request anything from Apple (or to you to request from Apple) as part of a discovery-type process?

Thank you!

Ashley M. Gjøvik, B.S., PMP Santa Clara University School of Law

Juris Doctor Candidate & Public International Law Certificate Candidate, Class of 2022 Santa Clara University ACLU Club I&D Director & Environmental Law Society Outreach Chair Human Rights & International Law Author: https://muckrack.com/ashleygjovik ashleygjovik.com l +1`415-964-6272 (Signal.app please)

Sent with ProtonMail Secure Email.

Original Message

On Thursday, January 13th, 2022 at 8:58 AM, Diangco, Andrea - OSHA < <u>Diangco.Andrea@dol.gov</u>> wrote:

Thank you for the update. I also received your email regarding complaint of unsafe work conditions.

Andrea Diangco (she/her)

Investigator Whistleblower Protection Program U.S. Department of Labor - OSHA

From: Ashley M. Gjøvik <ashleymgjovik@protonmail.com>

Sent: Tuesday, January 11, 2022 8:06 PM

To: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov >

Subject: status

CAUTION - The sender of this message is external to the DOL network. Please use care when clicking on links and responding with sensitive information. Send suspicious email to spam@dol.gov.

I'm working on two things for you still.

I'm writing up a memo on the retaliation campaign and pre-text for the termination.

I'm also revising the CERLCA memo to note specific evidence and i'm extending to include events starting in Sept 2020 with Apple legal about another Superfund site that I think may have been relevant to all this.

Law school started up again & I'm swamped, but my goal is to get you both these by next Monday.

If you need anything else from me, please let me know!

Ashley M. Gjøvik, B.S., PMP

Santa Clara University School of Law

Juris Doctor Candidate & Public International Law Certificate Candidate, Class of 2022 Santa Clara University ACLU Club I&D Director & Environmental Law Society Outreach Chair Human Rights & International Law Author: https://muckrack.com/ashleygjovik ashleygjovik.com l +1`415-964-6272 (Signal.app please)

Sent with **ProtonMail** Secure Email.

RE: status

From: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov>

To: Ashley Gjovik <ashleymgjovik@protonmail.com>

Date: Monday, January 24th, 2022 at 3:51 PM

Apple is being represented by Orrick and their attorneys are Kathryn Manotoan and Jessica Perry.

Apple has requested an extension until February 9, 2022. Please keep in mind while there are timelines, investigations vary in length of time depending on the complexity of the case.

The information you have provided is being reviewed. I will follow up if there any guestions regarding the information.

The investigation is still in the initial phase as OSHA is still waiting to receive Apple's position statement.

Andrea Diangco (she/her)

Investigator Whistleblower Protection Program U.S. Department of Labor - OSHA

From: Ashley M. Gjøvik <ashleymgjovik@protonmail.com>

Sent: Wednesday, January 19, 2022 2:29 PM

To: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov>

Subject: RE: status

CAUTION - The sender of this message is external to the DOL network. Please use care when clicking on links and responding with sensitive information. Send suspicious email to spam@dol.gov.

Hi Andrea! Thank you!

If Apple uses the same position statement they used for the NLRB case, then my retaliation/pretext memo should cover most of their response, but I'll look forward to seeing their formal US DOL response and responding as needed.

Are you able to share which lawyer(s) are representing Apple for this?

Also I see there's a deadline for for investigations to complete. Are you able to share how long of an extension Apple asked for? And share if there's a point where the timer runs out and its default judgment if Apple's lawyers don't respond?

Thank you for the info on evidence and the ALJ process. I'll think through for evidence -- but I think I want to see the OSHA investigation process through, at least for now. I'm sure Apple will appeal to the ALJs anyways if you decide in my favor so we can save that for later.

Also can you please confirm that you / your team are reading through the memos I send to review and consider next to Apple's statements? Is there anything else I can do / provide to ensure OSHA WPP understand my position and the events? Will y'all send any follow up questions so i can answer/expand if needed?

Can you please also confirm the stage we're in? It sounds like i already met prima facie with evidence for all four statutes (i participated in protected activities under the three statutes, Apple did adverse actions to me, and there's enough evidence to infer causation that the adverse actions were due to the protected activities). if so, it sounds like Apple now needs to offer you some sort of non-discriminatory, legitimate reason(s) for all the adverse actions i noted and provide enough evidence to support that "legitimate" reason was the primary/only reason for the adverse actions

- yeah? My pretext memo tried to counter that already, showing their reason is pretext, and that contributing factor (SOX/CERCLA) & but for (OSHA) causation is met for the discriminatory factor leading to the adverse actions.

Hope all that makes sense. Thanks!

Thank you!

Ashley M. Gjøvik, B.S., PMP Santa Clara University School of Law

Juris Doctor Candidate & Public International Law Certificate Candidate, Class of 2022 Santa Clara University ACLU Club I&D Director & Environmental Law Society Outreach Chair ashleygjovik.com | +1`415-964-6272

Sent with **ProtonMail** Secure Email.

Original Message

On Wednesday, January 19th, 2022 at 2:00 PM, Diangco, Andrea - OSHA < Diangco. Andrea @dol.gov > wrote:

Ashley,

Thank you for providing the three attachments. I also received the zip folder you sent.

Below are responses to your questions:

• A) Are you able to let me know if Apple has responded yet? And if so, are you able to share their response so I can respond to it if applicable/needed?

Apple has requested an extension to submit their position statement and supporting documentation. Once I receive it, you'll be provided a copy. Then you will have an opportunity to do a rebuttal to respond to Apple's response. You'll have the option to provide a written rebuttal or we can do a rebuttal interview via phone.

 B) Am I able to request anything from Apple (or to you to request from Apple) as part of a discovery-type process?

The OSHA investigation doesn't have a formal discovery process similar to court proceedings. Instead of directly requesting documents from Apple, you can send me a list of items you believe are pertinent to your complaint. It will be reviewed and a determination will made if the document(s) are necessary for the investigation.

After your complaint has been pending with OSHA for more than 60 days, you have the option of requesting an Expedited Case Process (ECP). ECP allows you to request that OSHA terminate its investigation and issue a determination on your complaint. You will then receive a letter for your appeal rights which enables you to take your complaint directly to an Administrative Law Judge (ALJ). The ALJ procedure has a formal quasi-judicial setting which includes processes such as discovery. Please let me know if you're interested in ECP or would like more information about the process. Note this process is only applicable for your CERCLA and SOX complaints.

Regards,

Andrea Diangco (she/her)

Investigator Whistleblower Protection Program U.S. Department of Labor - OSHA

From: Ashley M. Gjøvik <ashleymgjovik@protonmail.com>

Sent: Tuesday, January 18, 2022 1:54 AM

To: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov>

Subject: RE: status

CAUTION - The sender of this message is external to the DOL network. Please use care when clicking on links and responding with sensitive information. Send suspicious email to spam@dol.gov.

Hi,

Thank you! Hope you had a nice long weekend.

I'm attaching:

- 1) CERCLA memo v2 (amended & expanded)
- 2) Retaliation & pretext memo v1
- 3) Timeline v5

I'm working on a remedies memo, but maybe it's too early for that. I *think* that's it. Please do let me know if you need anything else from me & I'll be right on it.

Questions:

- A) Are you able to let me know if Apple has responded yet? And if so, are you able to share their response so I can respond to it if applicable/needed?
- B) Am I able to request anything from Apple (or to you to request from Apple) as part of a discovery-type process?

Thank you!

Ashley M. Gjøvik, B.S., PMP Santa Clara University School of Law

Juris Doctor Candidate & Public International Law Certificate Candidate, Class of 2022 Santa Clara University ACLU Club I&D Director & Environmental Law Society Outreach Chair Human Rights & International Law Author: https://muckrack.com/ashleygjovik ashleygjovik.com l +1`415-964-6272 (Signal.app please)

Sent with **ProtonMail** Secure Email.

Original Message

On Thursday, January 13th, 2022 at 8:58 AM, Diangco, Andrea - OSHA < Diangco, Andrea @dol.gov > wrote:

Thank you for the update. I also received your email regarding complaint of unsafe work conditions.

Andrea Diangco (she/her)

Investigator Whistleblower Protection Program U.S. Department of Labor - OSHA

From: Ashley M. Gjøvik <ashleymgjovik@protonmail.com>

Sent: Tuesday, January 11, 2022 8:06 PM

To: Diangco, Andrea - OSHA < Diangco. Andrea@dol.gov>

Subject: status

CAUTION - The sender of this message is external to the DOL network. Please use care when clicking on links and responding with sensitive information. Send suspicious email to spam@dol.gov.

I'm working on two things for you still.

I'm writing up a memo on the retaliation campaign and pre-text for the termination.

I'm also revising the CERLCA memo to note specific evidence and i'm extending to include events starting in Sept 2020 with Apple legal about another Superfund site that I think may have been relevant to all this.

Law school started up again & I'm swamped, but my goal is to get you both these by next Monday.

If you need anything else from me, please let me know!

Ashley M. Gjøvik, B.S., PMP Santa Clara University School of Law

Juris Doctor Candidate & Public International Law Certificate Candidate, Class of 2022 Santa Clara University ACLU Club I&D Director & Environmental Law Society Outreach Chair Human Rights & International Law Author: https://muckrack.com/ashleygjovik ashleygjovik.com | +1`415-964-6272 (Signal.app please)

Sent with **ProtonMail** Secure Email.

U.S. Department of Labor

Occupational Safety and Health Administration San Francisco Federal Building 90 7th Street, Suite 2650 San Francisco, CA 94103



Via UPS

December 10, 2021

Human Resources/Legal Department Apple Inc. One Apple Park Way Cupertino, CA 95014

Complainant(s): Ashley Gjovik Respondent(s): Apple Inc.

Case Number: Apple Inc./Gjovik/9-3290-22-051

Law/Statute 1: Section 11(c) of the Occupational Safety and Health Act (OSHA), 29 U.S.C. §660

Law/Statute 2: Comprehensive Environmental Response, Compensation and Liability Act

(CERCLA), 42 U.S.C. §9610

Law/Statute 3: Sarbanes-Oxley Act (SOX), 18 U.S.C.A. §1514A Regulation 1: OSHA 11(c) Complaint Procedures: 29 CFR Part 1977

Regulation 2: EPA Complaint Procedures: 29 CFR Part 24 SOX Complaint Procedures: 29 CFR Part 1980

Dear Sir/Madam:

This office has received a complaint filed by the above-named Complainant(s) against the above-named Respondent(s). The complaint alleges retaliatory employment practices in violation of the Law(s)/Statute(s) cited above. A copy of the complaint allegation is enclosed.

The Occupational Safety and Health Administration's Whistleblower Protection Program (WPP) is responsible for enforcing the anti-retaliation provisions of the law(s) cited above and will conduct its investigation following the procedures outlined in the regulation(s) cited above. You may obtain a copy of the law(s) and regulation(s) at: www.whistleblowers.gov. Upon request, a printed copy of these materials will be mailed to you.

You have the right to be represented in this matter. If you choose to have a lawyer or someone else represent you, please have that person complete and email to the investigator the enclosed Designation of Representative form.

WPP will send most, if not all, future correspondence by email - this includes final disposition letters that include time sensitive appeal rights.

WPP policy encourages the voluntary resolution of complaints. If you are interested in settlement discussions and/or Alternative Dispute Resolution (ADR), please contact the assigned investigator.

This letter will confirm that Respondent(s) are responsible for retaining and maintaining all records, documents, computer files, e-mail, correspondence, memoranda, reports, notes, tools, equipment, objects, photographs, videotapes, digital video, tape recordings, digital recordings, voicemails and

recordings of radio and telephone conversations, and telephone and cellular telephone records, and all other evidence relating to the above-captioned case. The failure of Respondent(s) to maintain and retain such materials may result in court-imposed sanctions. As a party responsible for preserving relevant evidence, you may wish to instruct all individuals with potentially relevant documentation to affirmatively preserve and retain such documentation. You also may wish to suspend any routine document retention/destruction policy that you may have, including email retention policies. Please provide within 20 days a written account of the facts and a statement of your position with respect to the allegation that you have retaliated against Complainant in violation of the law. Please note that a full and complete initial response, supported by appropriate documentation, may help to achieve early resolution of this matter. Your cooperation is critical so that all facts of the case may be considered.

Please send documents to WPP electronically, if possible, using the investigator's email address below and also send a copy to all named parties listed below:

ASSIGNED INVESTIGATOR:

(b) (7)(C)

Whistleblower Protection Program U.S. Department of Labor, OSHA 300 Fifth Avenue, Room 1280 Seattle, Washington 98104

COMPLAINANT(S): Ashley Gjovik

Santa Clara, CA 95050 ashleymgjovik@protonmail.com

If the information provided contains personal, identifiable information about individuals other than Complainant, or business sensitive information, please remove this information before sending it to Complainant.

Please be advised that any request of confidential or proprietary privilege in your submissions to WPP must specifically identify each such privilege for which protection from disclosure under the Freedom of Information Act (FOIA) is made.

All communications and submissions should be made to the investigator, identified above.

Sincerely,





For Ryan Himes Assistant Regional Administrator

Enclosures:

- (1) Designation of Representative Form
- (2) Alternative Dispute Resolution FAQ
- (3) Copy of Complaint Allegation

EXHIBIT F

Form NLRB-4701 (1-03)

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

APPLE, INC.				
Employer.	CASE NO. 32-CA-282142			
And				
ASHLEY GJOVIK				
Charging Party.				
 ⊠ REGIONAL DIRECTOR Region 32 1301 Clay Street, Room 300N Oakland, CA 94612-5211 □ EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS B 	GENERAL COUNSEL OARD NATIONAL LABOR RELATIONS BOARD			
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS RECAPTIONED MATTER.	PRESENTATIVE APPLE, ICN. <u>I</u> N THE ABOVE-			
CHECK THE APPROPRIATE BOX(ES) BELOW:				
□ REPRESENTATIVE IS AN ATTORNEY				
☐ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SECTIONS 102.14 AND 102.113 OF THE BOARD'S RULES AND REGULATIONS.				
(REPRESENTATIVE INFO	ORMATION)			
NAME: Ronald J. Holland, Christopher Foster, Syed H. Mannan	1			
MAILING ADDRESS: 415 Mission Street, Suite 5600, San Francisco, California 94105				
E-MAIL ADDRESS: rjholland@mwe.com; cfoster@mwe.com; smannan@mwe.com				
OFFICE TELEPHONE NUMBER: 628-218-3800				
CELL PHONE NUMBER: 415-999-4833 (Holland) FAX: 628-8	377-0107			
SIGNATURE: (Please sign in ink.)				
DATE: August 31, 2021				



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224

Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

NLRB Mobile App

August 30, 2021

Apple Inc. One Apple Park Way Cupertino CA 95014

Re: Apple Inc.

Case 32-CA-282142

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner ALEXANDER M. HAJDUK whose telephone number is (510)671-3024. If this Board agent is not available, you may contact Supervisory Attorney CATHERINE VENTOLA whose telephone number is (510)671-3049.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <u>www.nlrb.gov</u>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

Apple Inc. Case 32-CA-282142

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

Apple Inc. Case 32-CA-282142 - 3 -

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

VALERIE HARDY-MAHONEY Regional Director

Valerie Hardy-Makoney

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

APPLE INC. Charged Party and ASHLEY MARIE GJOVIK	Case 32-CA-282142
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AGAIN	ST EMPLOYER
I, the undersigned employee of the National Labor Rel August 30, 2021, I served the above-entitled documen following persons, addressed to them at the following	t(s) by post-paid regular mail upon the
Apple Inc. One Apple Park Way Cupertino CA 95014	
August 30, 2021	Caroline Barker, Designated Agent of NLRB
Date	Name
	/s/ Caroline Barker
_	Signature

Case 3:23-cv-04597-EMC

Document 174

Filed 02/24/25

Page 1-94M extended 44 U.S.C 3512

INTERNET FORM NLRB-501

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE Case Date Filed 08/26/2021 32-CA-282142

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the		ng.
a. Name of Employer	GAINST WHOM CHARGE IS BROUGHT	b. Tel. No.
Apple Inc.		(408) 996-1010
, ppio me.		c. Cell No.
d. Address (Ctreat situ state and 710 ands)	e. Employer Representative	f. Fax No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	g. e-Mail
One Apple Park Way		
CA Cupertino 95014		h. Number of workers employed
		200
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service	
Computer Hardware		
k. The above-named employer has engaged in and is engaging i	n unfair labor practices within the meaning of sec	tion 8(a), subsections (1) and (list
subsections) 1	of the National Lab	or Relations Act, and these unfair labor
practices are practices affecting commerce within the meaning	g of the Act, or these unfair labor practices are un	fair practices affecting commerce
within the meaning of the Act and the Postal Reorganization A	Act.	
2. Basis of the Charge (set forth a clear and concise statement of	of the facts constituting the alleged unfair labor pr	actices)
See additional page		
See additional page		
3. Full name of party filing charge (if labor organization, give full	name, including local name and number)	
Ashley Marie Gjovik Title:		
4a. Address (Street and number, city, state, and ZIP code)	~	4b. Tel. No
,,,		
1050 Benton Street #2310		4c Cell No
CA Santa Clara 95050		4d. Fax No.
		4u. Fax No.
		4e. e-Mail
		ashleygjovik@icloud.com
5. Full name of national or international labor organization of wh	ich it is an affiliate or constituent unit (to be filled	in when charge is filed by a labor
organization)		253 792
		TAM
6. DECLARATION I declare that I have read the above charge and that the statements a	are true to the hest of my knowledge and helief	Tel. No.
10 € 10 € 10 € 10 € 10 € 10 € 10 € 10 €	are the to the book of my knowledge and belief.	
1 1 ·	Ashley Marie Gjovik	Office, if any, Cell No.
By (signature of representative or person making charge) Title:	Print/type name and title or office, if any)	Fay No.
	5 25	Fax No.
<u></u>		e-Mail
Address Santa Clara CA 95050	08/26/2021 06:48:23 PM	ashleygjovik@icloud.com
Address Odlila Ciala CA 90000	(date)	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

32-CA-282142 08/26/2021

Basis of the Charge

8(a)(1)

Within the previous six months, the Employer disciplined or retaliated against an employee(s) because the employee(s) engaged in protected concerted activities by, inter alia, discussing wages, hours, or other terms and conditions of employment and in order to

discourage employees from engaging in protected concerted activities.				
Name of employee disciplined/retaliated against	Type of discipline/retaliation	Approximate date of discipline/retaliation		
Ashley Gjovik	Forced on paid admin leave	08/04/2021		
Ashley Gjovik	Substantial increase in workload &unfavorable work	07/15/2021		
Ashley Gjovik	Reduction of supervisory responsibilities	05/06/2021		
Ashley Gjovik	Job reassignment	05/06/2021		
Ashley Gjovik	employee feedback "warning" from manager	03/22/2021		
Ashley Gjovik	Retaliatory, nonconsensual ER investigation	04/09/2021		
Ashley Gjovik	Constructive term; fail to resolve hostile wk env	04/29/2021		
Ashley Gjovik	ER shared my ID with sexual harasser w/out consent	05/20/2021		
Ashley Gjovik	Manager insists I must return to unsafe work env	06/21/2021		
Ashley Gjovik	Harassment from manager	06/28/2021		
Ashley Gjovik	Forced 2 submit ADA request due to unsafe work env	07/02/2021		
Ashley Gjovik	Manager refuses to consider remote work	06/21/2021		

8(a)(1)

Ashley Gjovik

Within the previous six months, the Employer disciplined or retaliated against an employee(s) because the employee(s) engaged in protected concerted activities by, inter alia, protesting terms and conditions of employment and in order to discourage employees from engaging in protected concerted activities.

07/28/2021

Withholding of work

Name of employee disciplined/retaliated against	Type of discipline/retaliation	Approximate date of discipline/retaliation
Ashley Gjovik	Forced on paid admin leave	08/04/2021
Ashley Gjovik	Substantial increase in workload &unfavorable work	07/15/2021
Ashley Gjovik	Reduction of supervisory responsibilities	05/06/2021
Ashley Gjovik	Job reassignment	05/06/2021
Ashley Gjovik	employee feedback "warning" from manager	03/22/2021

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Ashley Gjovik	Retaliatory, nonconsensual ER investigation	04/09/2021
Ashley Gjovik	Constructive term; fail to resolve hostile wk env	04/29/2021
Ashley Gjovik	ER shared my ID with sexual harasser w/out consent	05/20/2021
Ashley Gjovik	Manager insists I must return to unsafe work env	06/21/2021
Ashley Gjovik	Harassment from manager	06/28/2021
Ashley Gjovik	Forced 2 submit ADA request due to unsafe work env	07/02/2021
Ashley Gjovik	Manager refuses to consider remote work	06/21/2021
Ashley Gjovik	Withholding of work	07/28/2021

8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by maintaining work rules that prohibit employees from discussing wages, hours, or other terms or conditions of employment.

U.S. Department of Labor

Occupational Safety and Health Administration San Francisco Federal Building 90 7th Street, Suite 2650 San Francisco, CA 94103



DESIGNATION of REPRESENTATIVE FORM

Please Complete The Information In The Boxes Below. Use Blue Or Black Ink.

Email or Fax This Form To The Assigned Investigator As Soon As Possible

Re: Apple Inc./Gjovik/9-3290-22-051

The undersigned is the authorized representative of the named party below in the above-captioned matter.

Party's Name	Representative's Name
(Type or print in the box below)	(Type or print in the box below)
Apple Inc.	Jessica R. Perry; Kathryn G. Mantoan
Representative's Signature	Street Address or P.O. Box
(Sign below)	(Type or print in the box below)
KathupiGKendon	1000 Marsh Road
Date	City, State, ZIP
(Type or print in the box below)	(Type or print in the box below)
1/7/2022	Menlo Park, CA 94025
Telephone	FAX
(Type or print in the box below)	(Type or print in the box below)
(650) 614-7400	(650) 614-7401

E-mail Address (Type or print in the box below)

jperry@orrick.com; kmantoan@orrick.com

OSHA will email all correspondence including the Secretary's Findings at the conclusion of this investigation.

Petition: Jan 31 2022

Order: March 1 2022

KING COUNTY, WASHINGTON

Reverse of Order: Sept 26 2022

PETITION FOUND TO BE MERITLESS 2022

SEA SUPERIOR COURT CLERK

IN THE SUPERIOR COURT OF THE STATE OF WASHINGTON IN AND FOR THE COUNTY OF KING

CHER SWAN SCARLETT,

Petitioner/Respondent

V.

ASHLEY MARIE GJOVIK,

Respondent/Appellant

NO. 22-2-03849-7 SEA

MANDATE OF SUPERIOR COURT ON RALJ APPEAL

Court of Limited Jurisdiction No(s). 22CIV01704KCX

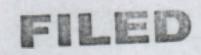
I. BASIS

Pursuant to RALJ 9.2(c) and (d), the Clerk of the Superior Court shall transmit to the Court of Limited Jurisdiction and to all parties a mandate, which is the written notification of the Superior Court decision on an RALJ Appeal. The notification shall include as part of the final judgment, a summary of expenses allowed as costs pursuant to the RALJ 9.3(a),(c), and (f). The costs listed below shall be collected by the Clerk of the Court of Limited Jurisdiction. When the costs awarded include the Superior Court filing fee, it shall be collected by the Clerk of the Court of Limited Jurisdiction and forwarded to the Superior Court Clerk.

II. NOTIFICATION

Therefore, this is to certify that the order of the King County Superior Court of the State of Washington, filed on September 26, 2022, became the decision terminating review of this court in the above-entitled case. This cause is mandated to the King County District Court, East Division, Redmond Courthouse, from which the appeal was taken, for further proceeding in accordance with the decision. A copy of the decision is attached.

The	decision of the	e 🛭 SUPERIOR	. COURT 🗌 CO	I. DECISION URT OF APPEA	LS SUPRE	ME COURT:	
	he decision of the SUPERIOR COURT COURT OF APPEALS SUPREME COURT: Affirms Reverses Modifies Dismisses Denies Remands						
В.	The appeal w	as heard in Supe	rior Court before	the Honorable Ar	ndrea Roberts	on.	
C.	This Matter i	s remanded to the	e Court of Limite	ed Jurisdiction.			





MAR 0 4 2022

KCDC - East Division Redmond

KING COUNTY DISTRICT COURT REQUEST FOR COURT RECORD

Requestor's Information		
Name: RICHARD KOHNEN	UBIE REER	
Agency/Company: _ Wasa. Liz	6AL	
Address: 2225 UTA	144	
	98121	
Email:		
The state of the s	THE WAS A STATE OF THE STATE OF	A SECOND NUMBER
Case Number:		
Full names of Parties.		
Plaintiff:		
Fees		
Certified Copies	\$5.00 to certify the first pag \$1.00 per each additional pa	
Regular Copies	\$0.25 per page if it is an elec	
	\$0.50 per page if it is not an	
Copy of Hearing	\$10 per CD or Thumb Drive	
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Recording of Hearing Date Ti	me Courtroom	Certified Copies
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	ine a contriboni	Yes No
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Request for Document(s) Name of Document: Court ordered: P Add an additional sheet for more d Dated: 03/04/20>>	ocuments. Requester's Signature son via fax (206-205-0450), or email.	Yes No Yes No Yes No Yes No Yes No Yes No
Request for Document(s) Name of Document: Court cordered: Add an additional sheet for more description of the cordered of t	Requester's Signature son via fax (206-205-0450), or email.	Yes No Yes No Yes No Yes No Yes No Yes No

Request for Court Records KCDC - September 2017





APR 0 6 2022

KING COUNTY DISTRICT COURT

KCDC - East Division

_	REQUEST FO	OR COURT RECORD	Redmond
Requestor's Information			
Name: Scott Bride			
Agency/Company: McD	ermott Will & Eme	ery LLP	
Address: 500 N. Capitol	St. NW		
State, City, Zip Code: W	ashington, DC 200	01	
Phone: (202) 756-8467			
Email: sbride@mwe.com	n		
Case Number: 22C	IV 01704 KV	CX	
Full names of Parties.			
Plaintiff: Cher Swan Scar			
Defendant: Ashlie Marie	Glovik		
Fees			
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connect copies		\$1.00 per each additional page.	i the document.
Regular Copies		\$0.25 per page if it is an electron	onic document
		\$0.50 per page if it is not an ele	
Copy of Hearing		\$10 per CD or Thumb Drive	
Recording of Hearing			
Date	Time	Courtroom	Certified Copies?
3/1/2022		East Division, Redmond	☐Yes ■No
2/15/2022		East Division, Redmond	☐Yes ■ No
2/1/2022		East Division, Redmond	No
Request for Document(s	<u>s)</u>		
Name of Document:			Certified Copies?
1.			☐Yes ☐No
2.			☐Yes ☐No
3.			
4.			Yes No
+.			☐Yes ☐No
5.			☐Yes ☐No
*Add an additional sheet	for more documents	s.	
		S4 D 11	
Dated: April 5, 2022		Scott Bride	
You may submit your req	uest in person via fa	Requester's Signature ax, or email. <u>kcdc.webmaster@kir</u>	ngcounty.gov.
or additional infolliation	11 contact. 200-203-	9200.	
nternal Use Only:			
Amount Due: \$ \\\ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Payment Received:	Yes No Clerk initia	ls ETC

Request for Court Records KCDC – September 2017



Filed by Ashley Gjovik



Home

Case Search Results

Apple, Inc.

E-File Follow

Case Number: 32-CA-282142

Date Filed: 08/26/2021 Status: Open Location: Sunnyvale, CA

(628)218-3826

(415)590-5120

(628)218-3804

Region Assigned: Region 32, Oakland, California

Docket Activity

Items per page 1

10

Date JF	Document	Issued/Filed By
04/04/2022	Amended Charge Letter*	NLRB - GC
04/04/2022	Amended Charge Letter*	NLRB - GC
04/01/2022	Signed Amended Charge Against Employer*	Charging Party
08/30/2021	Initial Letter to Charged Party*	NLRB - GC
08/30/2021	Initial Letter to Charging Party*	NLRB - GC
08/26/2021	Signed Charge Against Employer*	Charging Party

The Docket Activity list does not reflect all actions in this case.

FOIA Records

NLRB-2021-001319 NLRB-2021-001318

Related Documents

Related Documents data is not available.

Allegations

- 8(a)(1) Coercive Statements (Threats, Promises of Benefits, etc.)
- 8(a)(1) Concerted Activities (Retaliation, Discharge, Discipline)

Participants

Participant Charged Party / Respondent Legal Representative Foster, Christopher McDermott Will & Emery LLP **Charged Party / Respondent** Legal Representative Holland, Ronald McDermott Will & Emery LLP **Charged Party / Respondent** Legal Representative Mannan, Syed McDermott Will & Emery LLP **Charged Party / Respondent** Employer Apple, Inc.

Charging Party Individual Address Phone

415 Mission Street, Suite 5600 San Francisco, CA

94105

415 Mission Street, Suite 5600

San Francisco, CA

94105-2533

415 Mission Street

Suite 5600

San Francisco, CA 94105

Cupertino, CA 95014

Related Cases

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^{*} This document may require redactions before it can be viewed. To obtain a copy, please file a request through our FOIA Branch.



Filed by Ashley Gjovik



Home

Case Search Results

Apple, Inc.

E-File Follow

Case Number: 32-CA-283161

Date Filed: 09/16/2021 Status: Open Location: Sunnyvale, CA

Issued/Filed By

Charging Party

NLRB - GC

Region Assigned: Region 32, Oakland, California

Docket Activity

Items per page

10

Date ↓ Document

09/20/2021 Initial Letter to Charged Party*
09/16/2021 Signed Charge Against Employer*

The Docket Activity list does not reflect all actions in this case.

* This document may require redactions before it can be viewed. To obtain a copy, please file a request through our FOIA Branch.

FOIA Records

NLRB-2021-001374

Related Documents

Related Documents data is not available.

Allegations

- 8(a)(3) Discharge (Including Layoff and Refusal to Hire (not salting))
- 8(a)(1) Coercive Statements (Threats, Promises of Benefits, etc.)

Participants

Participant

Charged Party / Respondent

Legal Representative Foster, Christopher McDermott Will & Emery LLP

Charged Party / Respondent

Legal Representative

Holland, Ronald McDermott Will & Emery LLP

Charged Party / Respondent

Legal Representative Mannan, Syed McDermott Will & Emery LLP

Charged Party / Respondent

Employer Apple, Inc.

Address

415 Mission Street, Suite 5600

San Francisco, CA

94105

415 Mission Street, Suite 5600

San Francisco, CA 94105-2533

415 Mission Street Suite 5600

San Francisco, CA 94105

Cupertino, CA 95014

Phone

(628)218-3826

(415)590-5120

(628)218-3804

Charging Party

Individual

Related Cases

Related Cases data is not available.

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Filed by Ashley Gjovik



Home

Case Search Results

Apple, Inc.

E-File Follow

Case Number: 32-CA-288816

Date Filed: 01/10/2022 Status: Open

Location: Cupertino, CA

Issued/Filed By

Charging Party

NLRB - GC

NLRB - GC

Region Assigned: Region 32, Oakland, California

Docket Activity

Items per page

Date JF **Document**

01/12/2022 Initial Letter to Charging Party* 01/12/2022 Initial Letter to Charged Party* 01/10/2022 Signed Charge Against Employer*

The Docket Activity list does not reflect all actions in this case.

FOIA Records

NLRB-2022-000532

Related Documents

Related Documents data is not available.

Allegations

- 8(a)(1) Coercive Statements (Threats, Promises of Benefits, etc.)
- 8(a)(1) Coercive Actions (Surveillance, etc)
- 8(a)(4) Discipline

Participants

Participant

Charged Party / Respondent

Legal Representative Holland, Ronald McDermott Will & Emory LLP

Charged Party / Respondent

Employer Apple, Inc.

Address

415 Mission St Ste 5600 San Francisco, CA 94105-2616

Cupertino, CA 95014

Phone

(628)218-3829

Charging Party

Individual

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Related Cases data is not available.

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Frequently Asked Questions

^{*} This document may require redactions before it can be viewed. To obtain a copy, please file a request through our FOIA Branch.

GJOVIK LEGAL FILING IN SUPERIOR COURT APPEAL

V. EXHIBIT E: Apple Legal Memo about Messages between Petitioner & Gjovik



Orrick, Herrington & Sutcliffe LLP 1000 Marsh Road Menio Park, CA 94025-1015 +1 650 614 7400 orrick.com

Re: Ashley Gjovik v. Apple Inc., Case No. 9-3290-22-051

E. Ms. Gjovik Subsequently Admitted That She Disclosed Apple's Confidential Information.

On September 15, 2021, a different Apple employee made another report to the Business Conduct Helpline that Ms. Gjovik had publicly disclosed information about the Alpha study. The employee attached screenshots of text messages they had exchanged with Ms. Gjovik dated August 20, 2021. In the text messages, Ms. Gjovik admitted to disclosing confidential information about the Alpha study to Zoe Schiffer, a reporter from The Verge:

I'm helping Zoe with the privacy article. I gave her [Alpha] details because that bothers me too ... I'm even letting her include a few pics from [Alpha].

As discussed above, Ms. Gjovik's very involvement with the Alpha study constitutes confidential information, as do any details about the study or photos or other documents that are the product of it.⁷ Apple's subsequent confirmation that she admitted to disclosing confidential information publicly and intentionally further justifies Apple's termination decision.

F. Ms. Gjovik Made Other Various Claims Apple Has Diligently Investigated.

Apple Investigated Ms. Gjovik's Safety Concerns And Repeatedly Encouraged Her to Raise Them.

Beginning in March 2021, Ms. Gjovik raised various concerns about a vapor intrusion study in the Stewart 1 building in which she worked. In response, Apple met with Ms. Gjovik at least seven times to provide her with numerous reports per her requests, encouraged her to report any further concerns she had to Apple's

⁶ Zoe Schiffer, Apple Cares About Privacy, Unless You Work at Apple, The Verge (Aug. 30, 2021), https://www.theverge.com/22648265/apple-employee-privacy-icloud-id.

4161-3255-5828

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^ Note: Petitioner is not even directly named

APPELANT BRIEF – APPENDIX: EXHIBITS CASE NO. 22-2-03849-7 SEA

GJOVIK LEGAL FILING IN SUPERIOR COURT APPEAL SEE ORRICK DOC ON PRIOR PAGE

Aug 20 2021 Text Messages (Petitioner & Gjovik, photos are of Gjovik)

1

23

24



EXHIBIT G

From: (b) (7)(C)

Subject: Re: Important witness information regarding case #9-3290-22-051

 Date:
 Friday, May 12, 2023 11:35:07 AM

 Attachments:
 Screen Shot 2023-05-10 at 9.03.44 PM.pnq

CAUTION: This email originated from outside of the Department of Labor. Do not click (select) links or open attachments unless you recognize the sender and know the content is safe. Report suspicious emails through the "Report Phishing" button on your email toolbar.

Sorry, I missed one!





I still love #Apple products & brand. I devoted nearly 7 years & much blood/sweat/tears ensuring Apple's products are exceptional. However, Apple the corporation needs a reckoning. Apple's policy of "secrecy" should not shield it from public scrutiny about human rights & dignity.

Working at Apple in "normal" times, I walked in circles around their glass-walled panopticon, only able to badge into select lockdowns (a constant reminder that Apple has absolute control over my resources and access), and was immersed in a culture where it is implicitly forbidden to critique Apple policies or even speak openly to your coworkers with concerns about your employment & work conditions, lest you upset the cronyism, ex-CIA/ex-FBI security teams, and other "powers that be." I realize now that during those times, I didn't question a lot of things that I should have. Not just the abuse I suffered, but also the constant invasion of privacy — and perhaps those two things are linked.

There seems to be limitless ways Apple can access employee data and monitor us. I recently shared how violating it felt for Apple to demand to copy & permanently store my nudes for completely unrelated litigation. After the public outcry, I questioned other policies & actions Apple had taken. The internal "Glimmer" app had always troubled me, but I never voiced that concern, because inside we don't question the way things are or what we're asked to do. But now, in the light of day, considering everything Apple's already done to me, this app, the photos & data it gathers, and how little we know about what it does with all of that — is deeply troubling and I felt compelled to make it public. Apple's policy of "secrecy" should not shield it from public scrutiny about human rights & dignity.

11:56 AM · Aug 30, 2021

8 Retweets 1 Quote

te 35 Likes

1 Bookmark

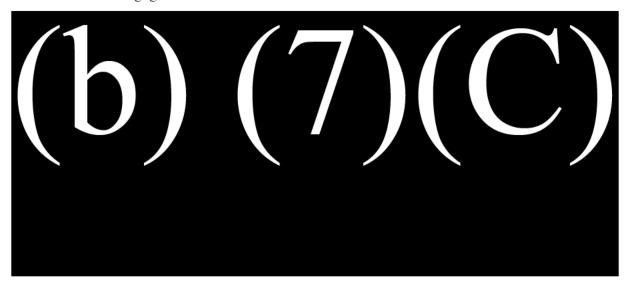
On May 12, 2023, at 11:23 AM, (b) (7)(C) wrote:

Hello (b) (7)(C)

I again apologize for the cold email, but in document house cleaning, I found documents material to Ms. Gjovik's case with DOL.

As I said previously, Ms. Gjovik's exit plan was planned far in advance, before any action she took in seeking to find whistleblower protection to intentionally get fired or leverage a settlement.

Ms. Gjovik had informed she had wanted to leave Apple in 2020 and started talking to lawyers in January of 2021, which is proven by the messages she sent in he screenshot below. Also shows Ms. Gjovik's clear motive for a settlement and refusal to engage in collective action.



<ashley-response-plan-nda.jpg><ashley-no-coalition.jpg><ashley-wants-to-use-stories-for-settlement.jpg><Ashley-Jan-Feb.jpg> <Screen Shot 2023-05-12 at 11.03.00 AM.png><Screen Shot 2023-05-12 at 11.01.53 AM.png><Screen Shot 2023-05-12 at 11.01.32 AM.png><Screen Shot 2023-05-12 at 10.59.54 AM.png><paid-exit-request.jpg>

U.S. DEPARTMENT OF LABOR **Occupational Safety and Health Administration**

Report of Investigation

December 11, 2023

Megan Eldridge, Supervisory Investigator T0:

FROM:

Apple/Gjovik/9-3290-22-051 CASE:

STATUTE(S): SOX, CERCLA, OSHA 11(c)

Complainant 1

Ashley Gjovik

Complainant 1 Representative

None

Respondent 1

Apple Inc. 1 Apple Park Way Cupertino, CA 95014

Respondent 1 Representative

Jessica R. Perry Kathryn G. Mantoan 1000 Marsh Road Menlo Park, CA 94025 650-614-7400 jperry@orrick.com kmantoan@orrick.com

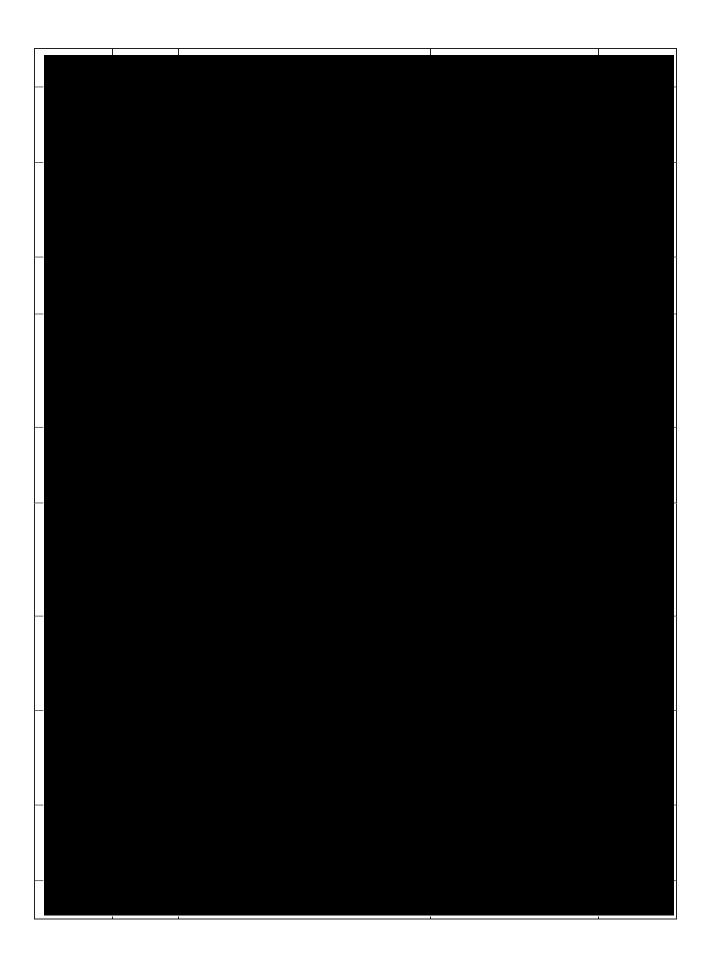
Ashley Gjovik	Sr. Engineer Program Manager	See above.	No
(b) $(7)(C)$			

Witnesses Not Interviewed:

<u>Name</u>	<u> Iob Title</u>	Reason Not Interviewed
Dan West	Senior Director	Sufficient information obtained to make
		a determination.
		Sufficient information obtained to make
		a determination.
	/)(C)	Sufficient information obtained to make
		a determination.
		Sufficient information obtained to make
		a determination.
		Sufficient information obtained to make
		a determination.
		Sufficient information obtained to make
		a determination.
		Sufficient information obtained to make
		a determination.
David Powers	Management	Sufficient information obtained to make
		a determination.
		CP provided a list of potential witnesses
		and government witnesses to interview,
		but they were not interviewed because
		sufficient evidence was obtained to
		make a determination.

Fact Chronology (Chart):

Time	Event	Source	Exhibit
	RP hired CP as an iOS Build	Intake Interview	2-A
	Engineer Project Manager at RP's	RP Position Statement	4-A
	Sunnyvale, CA location. (Fact)		
	Time	RP hired CP as an iOS Build	RP hired CP as an iOS Build Intake Interview Engineer Project Manager at RP's RP Position Statement





8/20/21		CP sent a text message to another employee revealing she disclosed information about the Alpha study to a reporter from The Verge.	Text Message	4-C, pg. 24-38
		(Fact)		
0 /20 /21		CP tweeted details about a	RP Position Statement	4-A
8/28/21		proprietary study RP was conducting codenamed "Omega". (Fact)	Screenshot of Tweet	4-C, pg. 12-14
8/29/21		RP received a formal complaint through its Business Conduct Hotline that CP disclosed confidential information about the Omega study on Twitter. (Fact)	RP Position Statement Hotline Complaint	4-A 4-C, pg. 16-17
8/29/21		CP filed whistleblower complaint online. (Fact)	Online Complaint Form	1-B
8/29/21		CP filed online complaint with DLSE. (Fact)	DLSE Complaint	3-68
8/29/21	4:44pm EDT	CP filed online complaint with EPA to report environmental concerns. CP claimed she was concerned for the safety of employees because of RP's lack of due diligence regarding the Superfund site. (Fact)	EPA Complaint	3-62
8/30/21	8:37am	CP filed online complaint with California EPA. (Fact)	CalEPA Complaint	3-69
8/30/21		CP tweeted photographs and a video of herself created by the Alpha application. CP also linked an article by The Verge in which CP	Intake Interview RP Position Statement Article Screenshot of Tweet	2-A 4-A 4-B 4-C, pg. 19

	1		I	T
		disclosed she participated in the		
		Alpha study. (Fact)		
8/31/21	11:19pm	CP tweeted that she filed a	CP's Tweet	3-112,
		complaint with SEC. She also listed		pg. 36-28
		other government agencies she		
		filed complaints with. (Fact)		
		(b) (7)(C)		
		(b) (7)(C)		
		(b) (7)(C)		
		(0) (1)(0)		
	<u> </u>	4.) (7.) (8.)		
		(b) (7)(C)		
		_		
9/9/21		CP filed a complaint with EEOC.	Email	3-141
// // = 1		(Fact)		
9/15/21		Another employee made a report	RP Position Statement	4-A
7/13/41		to the Business Conduct Helpline	iti i osition statement	Τ-Π
		_		
		about CP disclosing information		
		about the Alpha study. (RP		
0/15/24	7.40	assertion)	Lotton	4.0
9/15/21	7:40pm	RP's attorney issued a letter to CP	Letter	4-C,
		to remove images and video from		pg. 41-42

	her Twitter because it violates the confidentiality and intellectual property agreement she signed. (Fact)		
8:58pm	CP replied to RP's attorney that she disagreed the posts fall under confidential and proprietary information, but she removed the two posts cited. (Fact)	Email	4-C, pg. 50-53

ANALYSIS



See In the Matter of Douglas Denneny v. MBDA, Inc., et al., ARB Case No.: 2018-0027 (January 8, 2021).

² See In the Matter of Ed Slavin v. City of St. Augustine, Florida, et al., ARB Case No.: 07-002 (March 31, 2008).

Ехнівіт Н

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Ashley M. Gjovik
Juris Doctor Candidate
Public International Law Certificate Candidate

Santa Clara University, Class of 2022

U.S. DEPARTMENT OF LABOR

WHISTLEBLOWER PROTECTION PROGRAM

MS. ASHLEY	GJOVIK
	Complainant, pro se,
V.	

APPLE INC., et al.,

Respondent.

Case No.:

U.S. Dept of Labor: 9-3290-22-051

COMPLAINT (REDACTED VERSION)

DATE: FEBRUARY 2022

Associated Cases:

U.S. SEC: 16304-612-987-465 U.S. EPA Complaint U.S. NLRB: 32-CA- 282142, 283161, 284428, 284441, & 288816

Federal Charges:

-CERCLA, 42 U.S.C. §9610 -SOX 18 U.S.C.A. §1514A -OSH Act §11(c) 29 U.S.C. §660 -18 U.S.C. §1512; §1513; §1505; §1001; §371; §876 -Dodd-Frank 15 U.S.C. §78u-6(h)(1)(A)(iii) -National Labor Relations Act §8(a)(1) & (4)

U.S. Department of Labor ASHLEY GJOVIK V APPLE INC. | COMPLAINT CASE: APPLE INC./GJOVIK/9-3290-22-051

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U.S. Department of Labor ASHLEY GJOVIK V APPLE INC. | COMPLAINT CASE: APPLE INC./GJOVIK/9-3290-22-051

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An employer may proffer a legitimate non-retaliatory reason for the challenged action. Commonly asserted legitimate, non-discriminatory reasons for taking an adverse employment action often include insubordination and/or unsatisfactory performance. Examples of non-retaliatory reasons include:

- poor performance;
- inadequate qualifications for position sought;
- qualifications, application, or interview performance inferior to the selectee;
- negative job references;
- misconduct (e.g., threats, insubordination, unexcused absences, employee dishonesty, abusive or threatening conduct, or theft); and
- reduction in force or other downsizing.

Apple cites none of these reasons.

Apple's Allegations

"Failure to cooperate information during the Apple investigatory process"

The way Apple terminated Gjovik was highly irregular. On Sept 9 Gjovik received an email with no subject line from an Apple employee she'd never heard of. As in Kolchinsky, it was clear that Apple's interrogator's email to Gjovik on September 9th requesting to talk "within the hour" was purely pretextual and simply additional furtherance of the conspiracy to remove Gjovik from the company due to her protected activities and to hide the company's unlawful activities. The interrogator's email came unexpectedly, without a subject line, and without any reasoning or explanation as to why Gjovik was even being contacted.

Apple is accusing Gjovik of something that had no factual basis. Apple accused Gjovik of failing to cooperate in an investigation when Gjovik 1) replied in only two minutes 2) said she was happy to participate 3) reiterated minutes later she was happy to participate.

In the Thomas v. Arizona Public Service ERA Whistleblower case, the Dept of Labor court found pretext when one of the proffered reasons for not promoting a whistleblower employee was he

⁷⁵³ Richardson v. Petasis_ 160 F. Supp. 3d 88.pdf, 60 F. Supp. 3d 88, *118; 2015 U.S. Dist. LEXIS 163484,

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"lacked initiative and willingness to work overtime." ⁷⁵⁴ However, later, the employer admitted there were actually "no occasions for the employee to work overtime, therefore willingness to work overtime was not a factor." ⁷⁵⁵

Similarly, in the *Adams v. Coastal Production Operators* ERA Whistleblower case, the Dept of Labor court found pretext where one of an employer's proffered reason for firing a whistleblower employee was "abandonment of his crew" when in reality, **1) the employer fired the employee before the employee left the area,** 2) the employer did not ask the employee to stay with the crew until a replacement arrived, and 3) alternative transportation was available for the crew. ⁷⁵⁶

LAW OF CORPORATE INVESTIGATIONS

The law governing corporate investigations includes employment laws governing employers' ability to discipline and terminate employees for failure to cooperate in investigations, including both substantive and procedural rights of employee.⁷⁵⁷ Employees do not always have a duty to answer their employer's questions even about workplace conduct. ⁷⁵⁸ Employees may be required to cooperate, at least if the employer had a formal policy requiring them do to so, but only in limited circumstances. ⁷⁵⁹

In many countries, employers cannot use the threat of termination to pressure employees to cooperate because employment laws either preclude such threats or impose procedural impediments to employee discipline. ⁷⁶⁰ Even when employees are obligated to cooperate, labor laws often preclude employers from immediately threatening to fire employees who refuse to cooperate. Even

⁷⁵⁴ Thomas v. Arizona Public Service Co., 89-ERA-19 (Sec'y Sept. 17, 1993).

⁷⁵⁵ Thomas v. Arizona Public Service Co., 89-ERA-19 (Sec'y Sept. 17, 1993).

⁷⁵⁶ Adams v. Coastal Production Operators, Inc., 89- ERA-3 (Sec'y Aug. 5, 1992).

⁷⁵⁷ Jennifer Arlen* and Samuel W. Buell, The Law Of Corporate Investigations And The Global Expansion Of Corporate Criminal Enforcement, CORPORATE CRIMINAL ENFORCEMENT, 93 S. Cal. L. Rev. 697 (May 2020)

⁷⁵⁸ Hans Van Bavel & Frank Staelens, *Belgium*, *in* The International Comparative Legal Guide to: Corporate Investigations 2018 25, 29 (2d ed. 2018).

⁷⁵⁹ Code du travail [C. trav.] [Labor Code] art. L.1232-1 (Fr.). In Germany, employees **may** have a right to resist if the employment agreement does not specify a right to cooperate or if the employee's personality rights preempt the employer's right to information. *See* Bundesarbeitsgericht [BAG] [Federal Labor Court] Sept. 7, 1995, 8 AZR 828/93 (Ger.). *See also* Michael Kempter & Bjorn Steinat, *Compliance - arbeitsrechtliche Gestaltungsinstrumente und Auswirkungen in der Praxis* [*Compliance - Employment-Related Design Instruments and Effects in Practice*], Neue Zeitschrift für Arbeitsrecht [NZA] 1505, 1511 (2017).

⁷⁶⁰ See Restatement (third) of Emp't Law § 2.01 (Am. Law Inst. 2014); see Samuel Estreicher & Jeffrey M. Hirsch, Comparative Wrongful Dismissal Law: Reassessing American Exceptionalism, 92 N.C. L. Rev. 343, 347 (2014).

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when termination for non-cooperation is possible, employers often cannot threaten termination until less extreme forms of discipline, such as a formal warning letter or suspension, have failed, and, even then, only in some circumstances. ⁷⁶¹

To justify termination, the employee's repeated refusal must provide the employer reasonable grounds for concluding that the employee cannot be trusted in the future. The employer may be unable to establish this if the employee is otherwise trustworthy and is not implicated in the offense, or if the employer did not terminate other non-cooperative employees.⁷⁶²

Companies conducting internal investigations overseas may not be able to both threaten to fire employees in order to induce them to talk and maintain confidentiality of investigative findings. ⁷⁶³

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⁷⁶¹ For example, in Australia an employer must warn an employee prior to commencing procedures to fire him. See Estreicher & Hirsch, supra note 69, at 359-60. Even then, termination will not be permitted if it is deemed harsh, unjust, or unreasonable under the circumstances. See Mocanu v Kone Elevators Pty Ltd., [2018] FWC 1335 (Austl.). In England, Wales, Germany, and Italy, companies are expected to proceed through stages, beginning with a warning, and moving to more serious sanctions short of dismissal, before seeking dismissal. Employers may need to delay sanction until obtaining the employee's justification. See Burgerliches Gesetzbuch [BGB] [Civil Code], §§622, 626 (Ger.) (employers should warn first, unless a compelling reason justifies termination without notice); Bundesarbeitsgericht [BAG] [Federal Labor Court], June 10, 2010, 2 AZR 541/09; Erfurter Kommentar zum Arbeitsrecht [Comment on Labor Law] P 198 (19th ed. 2019) (Ger.); Carlton et al., supra note 137, at 179; Gianfranco Di Garbo et al., Italy, in Corporate Internal Investigations: Overview of 13 Jurisdictions, supra note 110, at 245, 270-71; Sebastian Lach et al., Germany, in The Practitioner's Guide to Global Investigations, supra note 110, at 569; Joanna Ludlam & Henry Garfield, England and Wales, inCorporate Internal Investigations: Overview of 13 Jurisdictions, supra note 110, at 105, 126-27; Carlton et al., supra note 137, at 179; Burkard Gopfert et al., "Mitarbeiter als Wissenstrager" - Ein Beitrag zur aktuellen Compliance-Diskussion [Employees as Knowledge Carriers - A Contribution to the Current Compliance Discussion], Neue Juristische Wochenschrift [NJW] 1703, 1707 (2008) (Ger.); Volker Rieble, Schuldrechtliche Zeugenpflicht von Mitarbeitern [Obligatory Witnessing of Employees], Zeitschrift fur Wirtschaftsrecht [ZIP] 1273 (2003) (Ger.). ⁷⁶² In Germany and Austria, employers cannot reliably fire an employee for refusing to cooperate even when the employee had a duty to do so. Employers must establish that termination is needed to protect the employer, and not as a punishment. Failure to cooperate may not constitute adequate cause for dismissal if the employee is not implicated and has otherwise proved trustworthy. See Bundesarbeitsgericht [BAG] [Federal Labor Court] Oct. 23, 2008, 2 AZR 483/07, para, 32 (Ger.); see also Philipp Becker et al., Investigations in Germany, inCorporate Internal Investigations: An International Guide, supra note 107, at 225, 263 (discussing Germany). Repeated failure to cooperate may provide cause for termination in Germany if the employer sends the employee a formal warning letter and the employee commits a subsequent violation. Lach et al., supra note 143, at 717. These substantive and procedural limitations on dismissal apply even if the employer has concluded that the employee committed misconduct. Bundesarbeitsgericht [BAG] [Federal Labor Court] Oct. 23, 2008, 2 AZR 483/07, para. 32 (Ger.). Repeated failure can justify termination in Austria if it provides evidence of untrustworthiness, yet threat of termination is a last resort, and the employer's right to take such action must be found in a law, a collective agreement, or a works agreement. Georg Krakow & Alexander Petsche, Austria, in Corporate Internal Investigations: Overview of 13 Jurisdictions, supra note 110, at 1, 24-25, 33-34.

⁷⁶³ Indeed, some countries apply the principle of *ne bis in idem* (double jeopardy) to disciplinary procedures. In such countries, a company's decision to sanction the employee for failing to cooperate may prevent the company from later terminating the employee for the misconduct should the evidence reveal the employee was involved. In France, it appears that an employer discovering misconduct or gross misconduct cannot sanction an employee unless the employer begins the dismissal process within two months of becoming aware of the misconduct. Matthew Cowie & Karen Coppens, *Multi-Jurisdictional Criminal Investigations - Emerging Good Practice in Anglo-French Investigations, in*The International Comparative Legal Guide to: Corporate Investigations 2018, <u>supra note 139, at 4, 6</u>. In Belgium, an employer who has

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Labor laws can also impose procedural burdens likely to dissuade companies from disciplining noncooperative employees, particularly when investigating misconduct of which the government is unaware.

In *Kolchinsky*, a whistleblower began suffering retaliation shortly after protected activity, and alleges that he was excluded from meetings, demoted and had his salary and bonuses reduced. Soon after, he was transferred to a "support" role, a move that the plaintiff perceived as retaliatory and detrimental to his future promotion prospects. Although the **plaintiff asserted that he otherwise cooperated with the investigators**, he declined to meet with the investigative team without his own attorney present, and as a consequence, the defendant *suspended him for failure to cooperate*. The plaintiff alleged in his SOX whistleblower complaint that this suspension amounted to constructive termination, noting that his name was removed from the company's directory, he was forced to return all company property, and was told that he would not be doing any work for the company again. ⁷⁶⁴

The employer's argument that the Complainant was suspended and later discharged solely because he refused to meet with outside auditors to discuss issues the Complainant had raised without a personal attorney present was not convincing. Rather, the ALJ found that the evidence established that the "investigation" of the Complainant's complaints was *orchestrated* by the President/CEO and Chairman, acting in concert with the outside auditors, in such a manner as to *justify* the Complainant's termination. Thus, the purported "insubordination" of refusing to appear without a personal attorney present was mere pretext.⁷⁶⁵

"APPLE'S SLEAZY SECRET POLICE"

In stark contrast to international labor standards, Gizmodo profiled Apple's Global Security team in 2009, illustrating how this "Worldwide Loyalty Team," as they were called, "does KGB-style

received a credible allegation of misconduct against an employee whom he would like to discipline has only three working days to inform the employee of the factual basis for dismissal and then to discuss it with the employee. The clock begins running before the firm completes its investigation. Carl Bevernage, *Belgium*, *in* International Labor and Employment Laws 3-1, 3-28 (William L. Keller et al. eds., 2009). In Italy, an employer seeking to terminate an employee is required to provide written notice to the employee of his misconduct as soon as the employer detects a violation. The employee has five days to reply. Only then may the employer decide whether to terminate, if warranted. Bruno Cova & Francesca Petronio, *Italy*, *in* The European, Middle Eastern and African Investigations Review 2017 34, 37 (2017).

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⁷⁶⁴ Kolchinsky v. Moody's Corp., No. 10 Civ. 6840(PAC), 2012 WL 639162 (S.D.N.Y. Feb. 28, 2012)

⁷⁶⁵ Welch v. Cardinal Bankshares Corp., 2003-SOX-15 (ALJ Jan. 28, 2004),

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lockdowns [of employees] and Gestapo interrogations that end in suicides." ⁷⁶⁶ The team is an "internal secret police team known for its network of informers, and ruthless, systematic pursuit of leakers." ⁷⁶⁷ "Among some employees, they are known as the Apple Gestapo, a group of moles always spying in headquarters and stores, reporting directly to the CEO." ⁷⁶⁸

The article explained that Apple held its security policies out as "*voluntary*" meanwhile: "management recommends that you relinquish your phones. If you don't do it they will fire you, or they will investigate why you didn't want to give them your cellphone. Simultaneously, everyone is asked to sign NDA's during the investigations, even though they already signed Apple NDAs to work there." ⁷⁶⁹

Apple's Global Security team is currently led by Thomas Moyer (who was indicted by Santa Clara County in 2020 for "bribery" of local law enforcement). Apple's Global Security team has a sketchy history, including Apple employees accused in 2011 of impersonating policemen and searching a man's San Francisco home for a lost prototype, threatening to have the man deported if he did not cooperate. Apple was also accused in 2010 of a violation of California's shield law with an illegal search warrant, when they searched the home of a journalist, again looking for a prototype. Gawker described Apple's secret police as "*sleazy*."

The Gizmodo article described Apple employee's experience with this *Gestapo* as "knowing how it feels to be watched, to always be considered guilty of crimes against another kind of state. Knowing how it felt to have no privacy whatsoever when he was working right here, in a little Californian town called Cupertino, in a legendary place located in One Infinite Loop." ⁷⁷³

⁷⁶⁶ Gawker, *Apple's Sleazy Secret Police Lose Their Leader*, Nov 4 2011, https://www.gawker.com/5856260/apples-sleazy-secret-police-lose-their-leader

⁷⁶⁷ Gawker, *Apple's Sleazy Secret Police Lose Their Leader*, Nov 4 2011, https://www.gawker.com/5856260/apples-sleazy-secret-police-lose-their-leader

⁷⁶⁸ Gizmodo, *Apple Gestapo: How Apple Hunts Down Leaks*, Dec 15 2009, https://gizmodo.com/apple-gestapo-how-apple-bunts-down-leaks-5427058

⁷⁶⁹ Gawker, *Apple's Sleazy Secret Police Lose Their Leader*, Nov 4 2011, https://www.gawker.com/5856260/apples-sleazy-secret-police-lose-their-leader

⁷⁷⁰ The Washington Post, *Apple's head of global security indicted on bribery charges*, Nov 24 2020, https://www.washingtonpost.com/technology/2020/11/23/apple-sheriff-bribery/

⁷⁷¹ Gawker, *Apple's Sleazy Secret Police Lose Their Leader*, Nov 4 2011, https://www.gawker.com/5856260/apples-sleazy-secret-police-lose-their-leader

⁷⁷² CNET, Apple pushed security executive out, https://www.cnet.com/news/source-apple-pushed-security-executive-out/; MarketWatch, *Police task force oversight committee has included Apple*, https://www.marketwatch.com/story/apple-has-saton-steering-committee-for-task-force-2010-04-27

⁷⁷³ Gawker, *Apple's Sleazy Secret Police Lose Their Leader*, Nov 4 2011, https://www.gawker.com/5856260/apples-sleazy-secret-police-lose-their-leader

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Gjovik was not aware of any Workplace Violence team until this encounter. Upon reviewing Apple policies, the only mention of "Workplace Violence" includes: "Workplace violence includes, but is not limited to, physical aggression, verbal or written threats, stalking, or destruction of property" and "As a manager, it's your responsibility to help Apple provide a safe workplace. Promptly report any potential threat or incident of workplace violence to your People Business Partner who will contact a member of Apple's Threat Assessment Team to assess the situation and determine appropriate action." It's entirely unclear why this team was contacting Gjovik if not to intimidate and threaten her. Gjovik suspects, and has been quoted as such, that this "Workplace Violence team" is the latest version of the "Worldwide Loyalty Team." When Gjovik searched LinkedIn for the team name within Apple, the only other employees who showed up was "executive security" and the employee who broke into the SF man's apartment in 2011.

Silicon Valley companies have stacked what they often call their "trust and safety" teams with former police officers and national intelligence analysts. The industry's intense focus on reputation can lead their security units astray. 774 When working for tech companies, private investigators have access to more data, deal with far less red tape, and they have the ability to quickly cross jurisdictions and borders. 775 The "Pinkerton promise" is attractive to some Silicon Valley firms. The Guardian reported on March 16 that Google and Facebook have both retained Pinkerton to monitor staff for leaks. "Among other services, Pinkerton offers to send investigators to coffee shops or restaurants near a company's campus to eavesdrop on employees' conversations," Olivia Solon reported. A Pinkerton representative told Solon that the firm's reach may not end with simply IP concerns. "Through LinkedIn searches, The Guardian found several former Pinkerton investigators to have subsequently been hired by Facebook, Google, and Apple," Solon wrote. 776

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⁷⁷⁴ The New York Times, *EBay's Critics Faced an Extreme Case of an Old Silicon Valley Habit*, July 27 2020, https://www.nytimes.com/2020/06/27/technology/ebay-silicon-valley-security-reputation.html

⁷⁷⁵ The New York Times, *EBay's Critics Faced an Extreme Case of an Old Silicon Valley Habit*, July 27 2020, https://www.nytimes.com/2020/06/27/technology/ebay-silicon-valley-security-reputation.html

⁷⁷⁶ The Guardian, *They'll squash you like a bug': how Silicon Valley keeps a lid on leakers*, March 2018, https://www.theguardian.com/technology/2018/mar/16/silicon-valley-internal-work-spying-surveillance-leakers; The New Republic, *The Pinkertons Still Never Sleep*, March 23 2018, https://newrepublic.com/article/147619/pinkertons-still-never-sleep

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Further, as in *Kolchinsky*, Gjovik agreed to participate in the investigation, however noted the prior retaliation and current government investigations and simply requested to keep discussion in writing (similar to Kolchinsky requesting his lawyer be present), a far cry from "failure to cooperate." Gjovik was not aware of any Workplace Violence team until this encounter. Upon reviewing Apple policies, the only mention of "Workplace Violence" includes: "Workplace violence includes, but is not limited to, physical aggression, verbal or written threats, stalking, or destruction of property" and "As a manager, it's your responsibility to help Apple provide a safe workplace. Promptly report any potential threat or incident of workplace violence to your People Business Partner who will contact a member of Apple's Threat Assessment Team to assess the situation and determine appropriate action." It's entirely unclear why this team was contacting Gjovik if not to intimidate and threaten her.

Apple's Business Conduct policy simply stated "You are also required to fully cooperate in any Apple investigation." The said nothing about cooperation requiring no paper trail of what occurred. Gjovik agreed to cooperate within two minutes of the request. Gjovik did not breach her requirement to cooperate, regardless of whatever the requirement was even lawful under the circumstances. In fact, only a month before, Gjovik was participating in a Business Conduct investigation into the possible sanctions violation where she also 1) responded in two minutes 2) said she was happy to help. No concerns were raised by Apple about that exchange. It's unclear how this was different.

On Sep 9, 2021, at 2:08 PM, Aleks Kagramanov wrote:

Subject: [No Subject]

Hi Ashley,

This is Aleks Kagramanov from Employee Relations. We're looking into a sensitive Intellectual Property matter that we would like to speak with you about. We would like to connect with you at as soon as possible today; within this hour, and you should see an iCal come through shortly. We sincerely appreciate you prioritizing this call and being flexible. If you absolutely cannot make this time, please propose a few other times for us to connect today. I wanted to send this introductory email so you know who I am when I set it up. I can share more details when we meet.

As part of Apple's policy, your cooperation and participation is imperative. Thank you in advance, and talk soon.

Best, Aleks Kagramanov, Employee Relations, AMR Threat Assessment & Workplace Violence (TAT) Apple

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⁷⁷⁷ Apple Inc, *Business Conduct Policy*, Rev Oct 2020 (Current)

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On Sep 9, 2021, at 2:10 PM, Ashley Gjovik wrote:

Hi Aleks! Happy to help! Please send any questions / updates via email so we keep everything written please. I will respond via email as quickly as I can. Thanks!

On Sep 9, 2021, at 2:27 PM, Ashley Gjovik wrote:

FYI, I forwarded your email & my reply to the investigator on my NLRB case so he's aware you just reached out to me the day before my Affidavit is supposed to be taken. This feels a little like witness intimidation, etc...

On Sep 9, 2021, at 2:50 PM, Aleks Kagramanov wrote:

We are investigating allegations that you improperly disclosed Apple confidential information. Since you have chosen not to participate in the discussion, we will move forward with the information that we have, and given the seriousness of these allegations, we are suspending your access to Apple systems.

Best, Aleks Kagramanov, Employee Relations, AMR Threat Assessment & Workplace Violence (TAT) Apple

On Sep 9, 2021, at 3:07 PM, Ashley Gjovik wrote:

Hi Aleks,

As mentioned, I'm definitely willing to participate in your investigation. I only asked that the discussion be kept to email — I said nothing about not participating in the discussion at all. I offered to help via email to ensure we have a documented record of our conversations considering everything that's currently going on with my investigation and my complaints to the government.

I have been speaking out about work conditions, about workplace safety, concerns about discrimination & retaliation, and about concerns about intimidation and corruption (as reported to the government in public record).

I'm very concerned about what you are calling "serious allegations." Can you please provide me additional detail on what these allegations are? And when you say move forward, are you simply suspecting my access to Apple system? Or are you doing something more — and if so what?

Your email is very unexpected and I'm caught quite off guard if this is a real issue. I'd like the opportunity to remedy any actual issues. Please let me know what the issues are so I can make a good faith attempt at that.

In the meantime, without any additional context or effort to communicate with me in email, this really does feel like intimidation and additional retaliation and I will consider it as such. Best,-Ashley

Date: September 9, 2021 at 6:54 PM

Subject: Employment Status

To: Ashley Gjovik

From: Yannick Bertolus (VP) Hi Ashley, Please see attached.

ATTACHMENT: Re: Termination of employment

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Apple has determined that you have engaged in conduct that warrants termination of employment, including, but not limited to, violations of Apple policies. You disclosed confidential product-related information in violation of Apple policies and your obligations under the Intellectual Property Agreement (IPA). We also found that you failed to cooperate and to provide accurate and complete information during the Apple investigatory process. Your access to Apple systems has been suspended as of today and your employment will terminate on September 10, 2021. You will receive your final pay which will include regular pay through your termination date, all accrued unused vacation pay and any ESPP contributions made in the current period.



[&]quot;Disclosure of confidential product-related information"

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An employee has a duty to obey the employer's lawful and reasonable orders within the scope of the contract of employment.⁷⁷⁸ If the employer's order is reasonable and lawful, the claimant's willful disobedience, without justification, would constitute misconduct. ⁷⁷⁹ However, Congress, the state legislature, and the courts have recognized that not every demand of an employer is a lawful one, ⁷⁸⁰ even if the employee originally agreed to comply with such a demand. ⁷⁸¹ Noncompliance with an employer's order (or rule) is justified if the order (or rule) is unreasonable or unlawful. ⁷⁸² Disobedience to unlawful demands does not constitute insubordination. ⁷⁸³ This exception may apply even if the illegality of the rule has not been established by any court before the refusal to obey. ⁷⁸⁴

The Restatement makes clear: information regarding an employer's illegal activities is not a trade secret. Further, information regarding an employer's illegal activities is not protectable by means of restrictive covenant. Facts relating to actual, alleged, or potential violations of the law are generally not protectable trade secrets. An employee's agreement not to disclose such information may, in some situations, be unenforceable as against public policy. The Restatement also says, "if information is known generally to the public or widely in the employer's industry, or is readily obtainable by others through proper means, it is not a trade secret." The Restatement also says, "if information is a trade secret." The Restatement also says, "if information is through proper means, it is not a trade secret." The Restatement also says, "if information is through proper means, it is not a trade secret." The Restatement also says, "if information is through proper means, it is not a trade secret."

Compliance is not required if the order is unreasonable, including if: the employee has a reasonable and good-faith doubt of the authority of the individual issuing the order; compliance would impose a new and unreasonable burden on the claimant; the rule does not relate to or affect the

⁷⁷⁸ Labor Code section 2856; May v. New York Motion Picture Corporation (1920), 45 Cal. App. 296, 187 P. 785, 788).

⁷⁷⁹ California EDD, Misconduct MC 225: Insubordination

⁷⁸⁰ Labor Code sections 222.5, 552, 922, 923, 1101, 1102, 1196, 1198, 1199, 1250, 1252, 1292 to 1294, 1350 to 1351, 1391 to 1393, 1420, and 2855; Labor-Management Relations Act of 1947, 29 U.S.C.A. section 141; *Lockheed Aircraft Corporation v. Superior Court* (1946), 28 Cal. 2d 481, 171 P. 2d 21, 166 A.L.R. 701; *Fort v. Civil Service Commission* (1964), 61 Cal. 2d 331, 38 Cal. Rptr. 625, 392 P. 385; *Bowling v. Unemployment Insurance Commission* (1966), Circuit Court of Lester Co., Civil Case No. 1725, reported in 4 Commerce Clearing House Unemployment Insurance Reporter, "Kentucky," paragraph 8285),

⁷⁸¹ Civil Code section 1676; Labor Code section 2855; *DeHaviland v. Warner Brothers Pictures* (1945), 67 Cal. App. 2d 255, 153 P. 2d 983; *Liberio v. Vidal* (1966), 240 Cal. App. 2d 273, 49 Cal. Rptr. 520; *Heaps v. Toy* (1942), 54 Cal. App. 2d 178, 128 P. 2d 813).

⁷⁸² California EDD, Misconduct MC 225: Insubordination

⁷⁸³ *Douglas Santos v Standard Stations Inc*, California Unemployment Insurance Appeals Board, Benefit Dec No 75- 69- 1743, Precedent Benefit Decision No P-B-66 (1975)

⁷⁸⁴ Parrish v. Civil Service Commission (1967), 66 A.C. 253, 66 Cal. 2d , 57 Cal. Rptr. 623.

⁷⁸⁵ Restatement of the Law, Employment Law, § 8.02, Definition of Employer's Trade Secret, Comment

⁷⁸⁶ Restatement of the Law, Employment Law, § 8.02, Definition of Employer's Trade Secret, Comment

⁷⁸⁷ EEOC v. U.S. Steel Corp., 671 F. Supp. 351, 358 (W.D. Pa. 1987); Chambers v. Capital, 159 F.R.D. 441, 444 1995); EEOC v. Astra, Inc., 94 F.3d 738, 745 (1st Cir. 1996)

⁷⁸⁸ Restatement of the Law, Employment Law, § 8.02, Definition of Employer's Trade Secret, Comment

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employer's business interests; or if the employee reasonably and in good faith believes compliance would result in a violation of the law, objects or makes a reasonable effort to object to the employer, and the employer makes no reasonable effort to explain the basis for the order to the employee.⁷⁸⁹ On the contrary, examples of "reasonable rules" including showing up for work, performing work to the best of one's ability, obeying a reasonable employer order and refraining from fighting or sleeping on the job.⁷⁹⁰

As previously discussed, Apple is notorious for oppressing & silencing their workforce. An opinion piece was written by Anil Dash about the issue. Dash is "recognized as one of the most prominent voices advocating for a more humane, inclusive & ethical technology industry," was an advisor to the Obama White House, and is a Board Member of the EFF (Electronic Frontier Foundation) an international, non-profit digital rights group.⁷⁹¹ Dash wrote about Apple:

The sad truth is that Apple is still stuck in an <u>anachronistic</u>, <u>1984 mode</u> of communicating with the world. If Apple doesn't evolve, it'll become a pathetic-looking giant, constantly playing whack-a-mole with information leaks, diminishing its relevance by antagonizing the very creators it has so long sought to identify with. ... The reckoning Apple has reached, whether it's admitted or not, is that its <u>secrecy is compromising its humanity...</u> It's incumbent upon Apple to do the moral thing here. Treat your employees, customers, suppliers and partner companies better, by letting them participate in the thing most of your products are designed for: Human self-expression. If the ethical argument is unpersuasive, then focus on the long-term viability of your marketing and branding efforts, and realize that a technology company that is determined to prevent information from being spread is an organization at war with itself. <u>Civil wars are expensive</u>, <u>have no winners</u>, and incur lots of casualties.

Apple clearly did not listen.

GJOVIK DID NOT VIOLATE HER IPA WITH APPLE

Less than four hours later after her accounts were suspended on Sept 9, Gjovik received an email notice that she was being terminated. According to the termination letter, Gjovik "disclosed confidential product-related information in violation of Apple policies and [her] obligations under the Intellectual Property Agreement (IPA). [The Company] also found that [she] failed to cooperate and to provide accurate and complete information during the Apple investigatory process." The letter took Gjovik by surprise, considering that she had not disclosed any information in violation of the IPA, and

⁷⁸⁹ 22 CCR § 1256-36. Discharge for Misconduct -Insubordination; California EDD, Misconduct MC 225: Insubordination

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⁷⁹⁰ California EDD, *Misconduct*, https://www.edd.ca.gov/UIBDG/Misconduct_MC_5.htm

⁷⁹¹ EFF, Anil Dash, https://www.eff.org/about/staff/anil-dash

⁷⁹² Anil Dash, Apple: Secrecy Does Not Scale, Anil Dash, https://anildash.com/2009/07/31/apple_secrecy_does_not_scale/

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considering that she offered her assistance in the investigatory process. The rationale for Gjovik's termination was pretextual. Not only did Gjovik did not disclose any information in violation of the IPA, but Apple knew that her disclosures were not violations of the IPA.

Apple's reasons for terminating Gjovik are pretextual and in no way could be proven with clear & convincing evidence that Apple would have taken the actions it did to Gjovik in the absence of Gjovik's protected activities. First, Apple's reasons for the termination do not explain the reasoning for the hostile work environment, change in work responsibilities, sham investigations, construction termination, suspension, or other adverse action that occurred before the events Apple points to. Next, Apple has not taken negative actions against others who did similar actions as Gjovik, with the only difference being Gjovik's protected conduct. Further, substantial, compelling evidence points to forbidden animus and retaliatory motive in Apple's actions against Gjovik starting in the spring of 2021.

As noted in *Kinzel*, if animus is found for one adverse employment action, that animus may be imputed upon other adverse action happening in close temporal proximity.⁷⁹³

In August and September 2021, Gjovik expressed public concerns about Apple Inc's surveillance of employees, including an internal iPhone application which took photos and videos whenever it thought it saw a face and shared the data with Apple engineering teams. Gjovik saw the images her phone was capturing of her, including: her naked, her in bed, her in the bathroom, her friends if near her, at the doctor's office, personal documents in the background, etc.

Numerous federal and state labor laws protect employee's ability to speak out about work conditions and labor issues. All of the information shared by Gjovik was about work conditions, safety concerns, labor concerns, and other protected activities. Even the implied, supposedly "legitimate" reasons for Gjovik's termination are themselves protected statements with Gjovik expressing concerns about Apple's surveillance, intimidation, and intrusive personal data collection of its employees.

The public policy protecting whistleblowers would be completely thwarted if the employer could retaliate with impunity against any employee who decided to reveal improper conduct by the employer. A California court wrote that an employer claiming a whistleblower had "unclean hands"

⁷⁹³ Kinzel v. Discovery Drilling, Inc. Supreme Court of Alaska June 25, 2004, Decided Supreme Court No. S-10190, No. 5820

⁷⁹⁴. (See **334 *Harris v. City of Santa Monica* (2013) 56 Cal.4th 203, 229-230, 152 Cal.Rptr.3d 392, 294 P.3d 49; *Green v. Ralee Engineering Co.* (1998) 19 Cal.4th 66, 90, 78 Cal.Rptr.2d 16, 960 P.2d 1046 Whitehall v. County of San Bernardino, 17 Cal.App.5th 352 (2017)

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in the case before them, "brings to mind the image of Prefect Louis exclaiming his shock at hearing gambling was occurring at Rick's American Café while counting his winnings in the movie Casablanca." ⁷⁹⁵

Analysis: Privacy

Privacy in California

Article I, section 1 of the California Constitution provides that the right of "privacy" is among the people's inalienable rights. California appellate courts and at least one federal court have consistently held, in varying contexts, that Article I, section 1 provides some protection against non-governmental intrusion, as well as state conduct.⁷⁹⁶ The legislative history (ballot argument) stated,

"The right of privacy is the right to be left alone. It is a fundamental and compelling interest. It protects our homes, our families, our thoughts, our emotions, our expressions, our personalities, our freedom of communion, and our freedom to associate with the people we choose. It prevents government and business interests from collecting and stockpiling unnecessary information about us and from misusing information gathered for one purpose in order to serve other purposes or to embarrass us." ⁷⁹⁷

California courts have found, "The constitutional [privacy] provision is self-executing; hence, it confers a judicial right of action on all Californians. Privacy is protected not merely against state action; it is considered an inalienable right which may not be violated by anyone." ⁷⁹⁸

While a California employee sacrifices some privacy rights when he enters the workplace, the employee's privacy expectations must be balanced against the employer's interests. ⁷⁹⁹ Privacy, like the other inalienable rights listed first in our Constitution, is at least as fundamental as the antitrust statutes in *Tameny* or the perjury statutes in *Petermann*. The right of privacy is unquestionably a fundamental

⁷⁹⁵ Whitehall v. County of San Bernardino, 17 Cal.App.5th 352 (2017)

⁷⁹⁶ Porten v. University of San Francisco (1976) 64 Cal.App.3d 825; Cutter v. Brownbridge (1986) 183 Cal.App.3d 836; Miller v. National Broadcasting Company (1986) 187 Cal.App.3d 1463; Chico Feminist Women's Health Center v. Scully (1989) 208 Cal.App.3d 230; Chico Feminist Women's Health Center v. Butte Glenn Medical S. (1983) 557 F.Supp. 1190; Wilkinson v. Times Mirror Corporation (1989) 215 Cal.App.3d 1034; Semore v. Pool (1990) 217 Cal.App.3d 1034; Luck v. Southern Pacific Trans. Co. (1990) 218 Cal.App.3d 1.

⁷⁹⁷ Wilkinson v. Times Mirror Corporation (1989) 215 Cal.App.3d 1034.

⁷⁹⁸ Wilkinson v. Times Mirror Corporation (1989) 215 Cal.App.3d 1034.

⁷⁹⁹ Semore v. Pool (1990) 217 Cal. App. 3d 1088

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interest of our society. 800 California accords privacy the constitutional status of an inalienable right, on a par with defending life and possessing property. 801

While plaintiff could contractually agree not to assert his right to privacy, the employer cannot be allowed to use such an agreement to circumvent the public policy favoring privacy, and the employer could not successfully enforce such a contractual agreement if it intruded on plaintiff's right to privacy. 802 If the intrusion violates the right to privacy, it is illegal whether or not it is pursuant to an agreement. If pursuant to such an agreement, the agreement would be unenforceable because it would be against public policy. The public policy here "affects the duty not to intrude on the right of privacy, which inures to the benefit of the public at large rather than to a particular employer or employee." 803

In California, it is a misdemeanor to invade someone else's privacy by using a device to view someone inside a private room (PC 647j1) or secretly photographing someone in a private room (PC 647j3). Under federal law, it is a crime to "capture an image of a private area of an individual without their consent and when the individual has a reasonable expectation of privacy." Further, California Labor Code 435(a) states that "no employer may cause an audio or video recording to be made of an employee in a restroom, locker room, or room designated by an employer for changing clothes, unless authorized by court order."

Apple's Position on Privacy

Apple says, "<u>Apple believes that privacy is a human right</u>." Reple's website says, "Privacy is a fundamental human right. At Apple, it's also one of our core values. Your devices are important to so many parts of your life. What you share from those experiences, and who you share it with, should be up to you." 806

⁸⁰⁰ Rulon-Miller v. International Business Machines Corp. (1984) 162 Cal. App. 3d 241, 255 [208 Cal. Rptr. 524], quoting City and County of San Francisco v. Superior Court (1981) 125 Cal. App. 3d 879, 882 [178 Cal. Rptr. 435].

⁸⁰¹ Vinson v. Superior Court (1987) 43 Cal. 3d 833, 841 [239 Cal. Rptr. 292, 740 P.2d 404] [limiting right to discover one's sexual history, habits and practices in action for sexual harassment and emotional distress].

⁸⁰² Foley v. Interactive Data Corp., 47 Cal.3d at p. 670 (1988)

⁸⁰³ Semore v. Pool (1990) 217 Cal. App. 3d 1088

^{804 18} U.S.C. § 1801 (2018)

⁸⁰⁵ Apple Inc, *iCloud security overview*, https://support.apple.com/en-us/HT202303 (Last Checked Mar 23 2022)

⁸⁰⁶ Apple Inc, *Privacy*, https://www.apple.com/privacy/ (Last Checked Mar 23 2022)

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Robert McKeon, Apple's "data hungry" "Gobbler" user study manager, write in a public article about Apple Face ID development, "As a democratic republic, we expect to have privacy as a lack of privacy is tied to tyranny. The founding of our nation was opposed to tyranny, at least ideologically, even though we have had some major issues with the subject." ⁸⁰⁷

On April 24 2019, McKeon posted on LinkedIn an article about privacy, Personally identifiable information (PII) & his work developing Face ID at Apple. McKeon wrote that despite running user studies that gathered personal data for years, that he "did not participate in having [his] data collected." He said he "was an anomaly for other researchers. [He] didn't feel comfortable with it, and data collection was voluntary. Not everyone was happy with the concept that [he] would ask for people's help but wouldn't help [himself]. I was stubborn in my belief that I didn't feel the system was private enough. I didn't want my picture in research papers either.... [he] then went on to collect 4,600 3D face scans of ~500 subjects." McKeon concluded, "Privacy is an essential component in the company's DNA." 809

CRITICISM

Thomas le Bonniec, an ex-Apple contractor and whistleblower, wrote to regulators in 2019: "It is worrying that Apple keeps ignoring and violating fundamental rights and continues their massive collection of data. "I am extremely concerned that big tech companies are basically wiretapping entire populations despite European citizens being told the EU has one of the strongest data protection laws in the world. Passing a law is not good enough: it needs to be enforced upon privacy offenders."810 Le Bonniec, said Apple has been, "operating on a moral and legal grey area and they have been doing this for years on a massive scale. They should be called out in every possible way."811

Le Bonniec exposed that Siri is recording when it is not triggered by the users. Thousands of recordings were sent to Apple in order for hundreds of Apple employees to listen, analyse and transcribe

⁸⁰⁷ https://towardsdatascience.com/face-recognition-3d-face-recognition-from-infancy-to-product-209126575b56

⁸⁰⁸ https://www.linkedin.com/pulse/privacy-machine-learning-pii-robert-mckeon-aloe/

⁸⁰⁹ https://towardsdatascience.com/face-recognition-3d-face-recognition-from-infancy-to-product-209126575b56

⁸¹⁰ The Guardian, Apple whistleblower goes public over lack of action, May 2020,

https://www.theguardian.com/technology/2020/may/20/apple-whistleblower-goes-public-over-lack-of-action

⁸¹¹ he Guardian, Apple whistleblower goes public over lack of action, May 2020,,

https://www.theguardian.com/technology/2020/may/20/apple-whistleblower-goes-public-over-lack-of-action

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their content. The public statement reveals that Apple collected millions of confidential messages, full of intimate details, political opinions, sexual preferences, and discussions between persons in a room, without the users even being aware of it. In 2019, Apple admitted that these practices were not up to the privacy standards. According to today's disclosures it seems that contrary to Apple's statement, no end was put to the recording of Apple's users.⁸¹²

Privacy in Employment

The Restatement explains that Employees have protected interests, including "the privacy of the employee's person (including aspects of his physical person, bodily functions, and personal possessions) as well as the privacy of the physical and electronic locations, and the privacy of the employee's information of a personal nature." 813 An employer may have liability for a wrongful intrusion tort if the intrusion is highly offensive to a reasonable person in the circumstances. 814 The test looks to (1) the intrusion upon seclusion or solitude (2) committed in a highly offensive manner. 815

An employee has a protected privacy interest against employer intrusion into: (1) the employee's physical person, bodily functions, and personal possessions; and (2) physical and electronic locations, including employer-provided locations, as to which the employee has a reasonable expectation of privacy. An employer intrudes upon an employee's protected privacy interest under this Section by such means as an examination, search, or surveillance. 816

An employee has a protected privacy interest in the employee's physical person, private physical functions, and personal possessions. This includes the employee's body, bodily fluids, and other bodily by-products. An employer's job requirement that an employee provide a urine sample as part of a test for unlawful drug use is an intrusion into a protected privacy interest. An employer observing an employee

⁸¹² Noyb, "Siri: Are you recording me?" "No but I am listening to you," May 2020, https://noyb.eu/en/former-appleemployee-blows-whistle-apple-again

⁸¹³ Restatement of the Law, Employment Law § 7.02, Protected Employee Privacy Interests

⁸¹⁴ Restatement of the Law, Employment Law § 7.02, Protected Employee Privacy Interests, Comments

^{815 § 652}B Intrusion Upon Seclusion

⁸¹⁶ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations

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engaged in private physical functions in a bathroom stall is an intrusion into a protected privacy interest. 817

When it comes to personal property or locations that the employee owns or has access to outside of the workplace, employees will generally enjoy the same expectations of privacy against employer intrusions as they do with respect to other third-party intrusions. The reasonableness of those privacy expectations will be a function of the nature of the location, the ease of observation or interference by others, and the likelihood of the intrusion. Even though the employee might not expect an employer to intrude into nonworkplace locations, the employee cannot expect a greater level of freedom from intrusion by the employer than by the general public. By the same token, the employer is not privileged to intrude upon an employee's privacy outside the workplace simply because the employer is otherwise pursuing a legitimate business interest. ⁸¹⁸

In order for employees to have a reasonable expectation of privacy in the absence of express policy allowing employee private activity in a particular location, the location must itself be one that is customarily treated as private. The office bathroom is the quintessential example of a work location that is generally treated as a private place. Other places often treated as private include lockers, desks, private offices, and the break room. ⁸¹⁹

The employer is subject to liability under this Section for violating an employee's protected autonomy interest recognized in only if the employer lacks a reasonable and good-faith belief that exercising the personal autonomy interest interferes with the employer's legitimate business interests. ⁸²⁰ The implied duty of good faith and fair dealing that is contained in every employment agreement and implicit in every employment relationship recognizes that "by entering into an employment relationship, each party to the relation-ship undertakes a duty to cooperate with the other party in realizing the common purpose of their contractual relationship." ⁸²¹ If the employer discharges an employee because

⁸¹⁷ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

⁸¹⁸ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

⁸¹⁹ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

⁸²⁰ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

⁸²¹ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

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of protected conduct, beliefs, or affiliations without showing the justification required, the employer prevents the employee from performing the employment agreement for reasons unrelated to the requirements of the employment relationship itself.⁸²²

This protection for autonomy is as a default rule. Because it is not established as a common-law tort, it is best addressed as a matter of contract. The default rule recognizes a sphere of protection. The purpose is not only to protect civic and personal life, but also to mirror the likely implicit bargain between the employer and employee about where the employment relationship ends and personal life begins. 823

TERMINATION IN VIOLATION OF PROTECTION AGAINST WRONGFUL EMPLOYER INTRUSIONS INTO PROTECTED EMPLOYEE PRIVACY INTERESTS

An employer who discharges an employee for refusing to consent to a wrongful employer intrusion upon a protected employee privacy interest under this Chapter is subject to liability for wrongful discharge in violation of well-established public policy. 824 There are areas of an employee's life in which his employer has no legitimate interest. An intrusion into one of these areas by virtue of the employer's power of discharge might plausibly give rise to a cause of action, particularly when some recognized fact of public policy is threatened. 825 When an employee alleges that his or her discharge was related to an employer's invasion of his or her privacy, if the court determined that the discharge was related to a substantial and highly offensive invasion of the employee's privacy, that the discharge violated public policy. 826

The employer cannot discharge employees for refusing to waive a nonnegotiable or nonwaivable right. When an employee successfully refuses to submit to an employer's wrongful intrusion into

⁸²² Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

⁸²³ Restatement of the Law, Employment Law § 7.03, Protected Employee Privacy Interests in the Employee's Physical Person and in Physical and Electronic Locations, *Comments*

⁸²⁴ Restatement of the Law, Employment Law > Chapter 7- Employee Privacy and Autonomy

^{§ 7.07,} Discharge in Retaliation for Refusing Privacy Invasion

⁸²⁵ Borse v. Piece Goods Shop, Inc., 963 F.2d 611 (3d Cir. 1992); Geary v. United States Steel Corp., 319 A.2d 174 (Pa. 1974)

⁸²⁶ 963 F.2d at 623. See also *Twigg v. Hercules Corp.*, 406 S.E.2d 52, 55 (W. Va. 1990) ("[I]t is contrary to public policy in West Virginia for an employer to require an employee to submit to drug testing, since such testing portends an invasion of an individual's right to privacy.").

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protected employee privacy interests and the employee suffers a termination of employment or such adverse conditions of employment as to amount to a constructive discharge because of the employee's refusal to submit, the employee has a claim for wrongful discharge in violation of public policy. The public policy is the protection against wrongful employer intrusions into protected employee privacy interests. 827

The common law is the source for these privacy protections. Courts have also pointed to federal and state constitutional privacy provisions, as well as to federal and state legislation, as additional sources of the public policy underlying employee privacy claims. The purposes of the public-policy cause of action are furthered by enabling the employee to protect his or her personal interests by refusing the intrusion and to resist an employer policy or action that is likely to affect other employees and the public interest. 828

Privacy protections are generally the result of a balance among employee privacy interests, employer business interests, and public interests in safety. This policy is critical to the proper enforcement of common-law privacy protections. It is similar to the antiretaliation provisions in many employment-law statutes. Antiretaliation provisions protect employees who exercise their rights under the statutes. The Supreme Court has broadly interpreted statutes protecting employees claiming retaliation for exercising statutory rights⁸²⁹ The decisions recognizing protection against wrongful discharge for privacy violations typically balance the employee's privacy interests and the interests of the employer and society. 830

⁸²⁷ Restatement of the Law, Employment Law > Chapter 7- Employee Privacy and Autonomy

^{§ 7.07.} Discharge in Retaliation for Refusing Privacy Invasion. Comment

⁸²⁸ Restatement of the Law, Employment Law > Chapter 7- Employee Privacy and Autonomy

^{§ 7.07,} Discharge in Retaliation for Refusing Privacy Invasion, Comment

⁸²⁹ See Kasten v. Plastic Corp., 131 S. Ct. 1325 (2011) (FLSA antiretaliation provision applies to oral as well as written complaints); LP, 131 S. Ct. 863 (2011) (Title VII antiretaliation provision prohibits retaliation against the fiancé of an employee who engaged in protected conduct); County 129 S. Ct. 846 (2009) (Title VII protects against retaliation for speaking about discrimination in an internal investigation); See generally Richard Moberly, The Supreme Court's Antiretaliation Principle, 61 Case W. Res. L. Rev. 375, 378 (2011). For an insightful discussion of the need for protection against termination based on a refusal to consent to invasions of privacy, see Pauline T. Kim, Privacy Rights, Public Policy, and the Employment Relationship, 57 Ohio St. L.J. 671 (1996).

⁸³⁰ See Borse v. Piece Goods Shop, Inc., 963 F.2d 611, 623 (3d Cir. 1992) (applying Pennsylvania law; noting that other courts have "balance[d] the employee's privacy interest against the employer's interests" in determining whether there was a proposed invasion of privacy); Luedtke v. Nabors Alaska Drilling, Inc., 768 P.2d 1123, 1135 (Alaska 1989) (holding that the right to privacy "must yield when it interferes in a serious manner with the health, safety, rights and privileges of others or with the public welfare"); Gilmore v. Inc., 878 P.2d 360, (Okla. 1994) (balancing the employee's concerns against the employer's legitimate interests in a drug-free workplace); Eagle Point Oil Co., 609 A.2d 11, 20 (N.J. 1992) ("[A]lthough employees have a right to be protected from intrusions of privacy, we must also consider the competing public interests in

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Courts have recognized an action for violation of privacy rights even when the employee ultimately agrees to submit to the challenged procedure, either through termination in violation of public policy or through a violation of an implied covenant of good faith and fair dealing..⁸³¹ Numerous courts have recognized that discharge based on an employee's refusal to consent or submit to an invasion of their privacy rights is actionable as a violation of public policy⁸³²

Apple Cares about Privacy, Unless You're an Apple Employee

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safety. To constitute a 'clear mandate of public policy' supporting a wrongful-discharge cause of action, the employee's individual right (here, privacy) must outweigh the competing public interest (here, public safety)."); Twigg v. Hercules Corp., 406 S.E.2d 52, 55 (W. Va. 1990) (permitting an employer to compel testing as a condition of employment "based upon reasonable good faith objective suspicion of an employee's drug usage or where an employee's job responsibility involves public safety or the safety of others").

⁸³¹ See, e.g., v. *Inc.*, 878 P.2d 360, 366 (Okla. 1994) (holding that an employer's demand that the employee "undergo a drug test to continue his at-will employment status may be viewed as so intrusive by itself as to meet the *nonconsensual* element of this test"); *Luck v. Southern Pacific Railroad Co.*, 267 Cal. Rptr. 618 (Ct. App. 1990),

⁸³² v. Nabors Alaska Inc., 768 P.2d 1123, 1130 (Alaska 1989) (finding that "there is a public policy supporting the protection of employee privacy" and that "[v]iolation of that policy by an employer may rise to the level of a breach of the implied covenant of good faith and fair dealing"); Perks v. Firestone Tire & Rubber Co., 611 F.2d 1363, 1366 (3d Cir. 1979) (holding that "a cause of action exists under Pennsylvania law for tortious discharge" if the discharge resulted from a refusal to submit to a polygraph examination): Cordle v. General Hugh Mercer Corp., 325 S.E.2d 111, 117 (W. Va. 1984) (holding that termination for refusal to submit to a polygraph test was a wrongful termination in violation of public policy); Cort v. Bristol-Myers Co., 431 N.E.2d 908, 912 n.9 (Mass. 1982) (applying Mass. G.L. ch. 214, § 1B; "[I]n the area of private employment there may be inquiries of a personal nature that are unreasonably intrusive and no business of the employer and that an employee may not be discharged with impunity for failure to answer such requests."); id. at 915 (Abrams, J., concurring) (endeavoring to "explicitly state the opinion's underlying premise: that an employee at will has an action for bad faith discharge if an employer discharges the employee for failure to provide private information"); Hennessey v. Coastal Eagle Point Oil Co., 609 A.2d 11, 19 (N.J. 1992) (finding that "existing [New Jersey state] constitutional privacy protections may form the basis for a clear mandate of public policy supporting a wrongful discharge claim"); Borse v. Piece Goods Shop, Inc., 963 F.2d 611, 626 (3d Cir. 1992) (applying Pennsylvania law; holding that "dismissing an employee who refused to consent to urinalysis testing and to personal property searches would violate public policy if the testing tortiously invaded the employee's privacy"); Health Center v. Court of Co., 52 Cal. App. 4th 1234, 1244 (Ct. App. 1997) ("An action for wrongful termination of employment in violation of public policy may lie if the employer conditions employment upon required participation in unlawful conduct by the employee."); id. at 1245 (holding that "the constitutional right to privacy [in California] forms a sufficient touchstone of public policy to support [a] wrongful discharge claim"); Twigg v. Hercules Corp., 406 S.E.2d 52, 55 (W. Va. 1990) (finding that mandatory drug testing violated the state's public policy concerning the employee's right of privacy).

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September 15 Emails from Apple Lawyers

September 15, 2021

From: David R. Eberhart, O'Melveny & Myers LLP

To: Ms. Ashley Gjovik

Subject:

File Number: 600,000-3 (Apple Inc.)

Dear Ms. Gjovik:

On behalf of Apple Inc., we write to request that you remove certain images and video that you have displayed publicly in violation of your Confidentiality and Intellectual Property Agreement with Apple dated January 31, 2015 (the "IPA").

The first are the images contained in the following tweet: https://twitter.com/ashleygjovik/status/1431824501457633283⁸³³

As you know, the images are comprised of internal Apple emails regarding a confidential Apple-internal user study project. Please remove those images from any public location and refrain from further public disclosures about that project.

https://web.archive.org/web/20210829034222/https://twitter.com/ashleygjovik/status/1431824501457633283

⁸³³ WayBack Machine | Internet Archive:

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The second is the image contained in the following tweet: https://twitter.com/ashleygjovik/status/1432400136471072769⁸³⁴

The related video is located here:

https://volume-

assets.voxmedia.com/production/7739cb4ec481082f874bd63244468b2d/547059/playlist.m3u8

As you know, that image and video were generated by a confidential internal Apple application during confidential Apple-internal user studies. Please remove that image and video from any public location and refrain from further public disclosures about that application or related user studies.

A copy of the IPA is included with this letter. I am available to discuss this matter at any time. If you are represented by counsel in this matter, please identify your counsel.

Gjovik Reply to Apple Lawyers

Hello David, I hope you're well. Thank you for your email. I disagree that the posts fall under the definition of confidential or proprietary information, but in an effort to resolve the matter amicably, I've removed the two Twitter posts you cited, as requested. As for the video hosted by Vox, I do not have the power to delete it as Vox is in control of their own servers, not me. I am talking others about your request and someone will get back to you related to the Vox hosted video.

September 16 Email from Apple Lawyers

8:49pm

David Eberhard with O'Melveny & Myers

"I look forward to further information about Apple's request to remove the video.

In the meantime, I note that there are additional tweets that also contain the same or similar images from confidential Apple-internal user studies:

https://twitter.com/ashleygjovik/status/1432381395955900416 https://twitter.com/ashleygjovik/status/1432381497370034184 836

Please remove those images from any public location, remove any similar images, and refrain from further public disclosures of the same or similar information.

⁸³⁴ WayBack Machine | Internet Archive:

https://web.archive.org/web/20210830182052/https://twitter.com/ashleygjovik/status/1432400136471072769

⁸³⁵ WayBack Machine | Internet Archive:

 $[\]underline{https://web.archive.org/web/20210830170534/https://twitter.com/ashleygjovik/status/1432381395955900416}$

⁸³⁶ WayBack Machine | Internet Archive:

https://web.archive.org/web/20210830170723/https://twitter.com/ashleygjovik/status/1432381497370034184

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Gjovik Reply to Apple Lawyers

Hi David, Again, I disagree that the posts fall under the definition of confidential or proprietary information, but in an effort to resolve the matter amicably, I've removed the two Twitter posts you cited, as requested.

October 6 2021: Cease & Desist sent to Apple

From: David L. Hecht, Partner

To: David R. Eberhart, O'Melveny & Myers LLP

Cc: Erika Heath, Esq. Ashley M. Gjøvik

Date: October 6, 2021 Re: Ashley Gjøvik

Dear Mr. Eberhart.

I represent Ms. Gjovik. I am in receipt of your letter dated September 15, 2021 and subsequent email communication with my client. Going forward, please direct all such correspondence to me. As you are aware, Ms. Gjovik has already complied with your September 15, 2021 demand to remove certain images from some of her Twitter posts. However, I write regarding the inappropriateness of your requests, which may comprise copyright misuse. While I understand that Apple is not opposed to taking aggressive litigation postures (and indeed has a history of doing so), I remind you of your ethical duties as an attorney regarding the assertion of claims that have no basis in fact or law.

Your September 15, 2021 letter alleges that Ms. Gjovik violated the Confidentiality and Intellectual Property Agreement with Apple dated January 31, 2015 (the "IPA"). You are incorrect. The IPA does not cover the images/video that Ms. Gjovik posted. For example, you take issue with Ms. Gjovik's post of screenshots of an automated email sent to Ms. Gjovik from "Ask," "an internal survey solution." The email itself was not marked as confidential. Further, there is no suggestion in the email that the inperson study referenced in the email was restricted to Apple employees or that its existence was confidential. The content of the automated email also contained nothing that could be considered secret or otherwise proprietary: there was no disclosure of the content, methodology, identity of any participants in the survey (other than Ms. Gjovik), or any of the survey's findings. The posted image of the email merely noted what was already known to the public: Apple was conducting 3D scans of human ears to "collect representative ear geometry data across age, gender, and ethnic groups" and to benefit "audio research efforts and better our understanding of ear geometry variance." It is no secret that Apple has been scanning a wide range of human ears to perfect its various AirPods products. In fact, Apple's Vice President of Product Marketing, Greg Joswiak, spoke publicly about the 3D ear scans over a year ago:

"We had done work with Stanford to 3D-scan hundreds of different ears and ear styles and shapes in order to make a design that would work as a one-size solution across a broad set of the population," Joswiak says. "With AirPods Pro, we took that research further – studied more ears, more ear types. And that enabled us to develop a design that, along with the three different tip sizes, works across an overwhelming percentage of the worldwide population."

See Jeremy White, The secrets behind the runaway success of Apple's AirPods, Wired (September 5, 2020), available at https://www.wired.co.uk/article/apple-airpods-success.

Accordingly, Ms. Gjovik cannot face restriction in disclosing a non-confidential email about the mere existence of a survey concerning 3D ear scanning (scanning that Apple had already publicly disclosed

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much earlier) sent to her during the period in which Apple put her on administrative leave. Apple's demand for Ms. Gjovik to remove such content appears, therefore, to be pretextual.

Your September 15, 2021 letter and subsequent email communication also takes issue with image/video that you contend "were generated by a confidential internal Apple application during confidential Apple-internal user studies." Apple holds no copyright to these images, which were not authored by a human. As you are aware, United States copyright law only protects "the fruits of intellectual labor" that "are founded in the creative powers of the mind." Trade-Mark Cases, 100 U.S. 82, 94 (1879). Because copyright law is limited to "original intellectual conceptions of the author," Apple would be unable to register any of the images or video generated by the "Glimmer" app since a human being did not create the work. Burrow-Giles Lithographic Co. v. Sarony, 111 U.S. 53, 58 (1884). Apple therefore cannot allege infringement of any copyright by Ms. Gjøvik.

To the extent Apple argues that the images taken by the Glimmer app are confidential, they are not marked as such. You also have not alleged how mere images of Ms. Gjøvik, in her home, taken by the Glimmer app, on Ms. Gjøvik's own phone, could quality as confidential and/or proprietary information under the IPA. For example, your letter fails to acknowledge that the images posted were (a) taken by an automated process running on Ms. Gjøvik's own iPhone and (b) captured her own likeness and portions of her living space. There can be no doubt that Ms. Ms. Gjøvik is permitted to post to the public her legitimate concerns about images of her, in her home, captured by an automated process, on her own phone. Additionally, your letter fails to acknowledge that beyond the non-proprietary images Ms. Gjøvik posted, she intentionally rendered unreadable any conceivably non-public information when posting these otherwise non- proprietary images. Your claims of any violation of the IPA based on the posting of these images appear, therefore, to have no basis in fact or law.

Given Ms. Gjøvik's removal of the content you referred to, coupled with the infirmities of your intellectual property claims in the September 15, 2021 letter, we consider this issue closed, and expect that Apple will immediately cease sending any further inappropriate demands.

Sincerely, David L. Hecht, Hecht Partners LLP

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The Face "Gobbler"

Apple tells customers, "Privacy is incredibly important to Apple. ... Face ID data doesn't leave your device and is never backed up to iCloud or anywhere else."837 On April 24 2019, McKeon posted on LinkedIn an article about privacy, Personally identifiable information (PII) & his work developing Face ID at Apple. 838 Robert McKeon, Apple's "data hungry" "Gobbler" user study manager, explains what PII is in a public article about Apple Face ID development, "PII covers any data that could be linked back to the original subject just by having that data or some combination of data. Face images are inherently PII data. Some times data is PII because when combined with other subject information, you could determine the subject's identity. The resulting issues with Face ID is clear, but with health data, it may not be obvious to everyone. For example, if I participate in a user study, and some health issue is discovered. If my health insurance company gets a hold of that data, maybe they would increase my rates. I'm not sure what they would do with that data, but people have been known to misuse data and PII data before." 839

Surveillance Laws

FEDERAL

Under the NLRA, employers may not monitor or surveil employees participating in protected concerted activities. In a recent decision by a National Labor Relations Administrative Law Judge, Boeing Corporation was instructed to cease and desist from: creating the impression that its employees protected concerted activities are under surveillance & interfering with, restraining, or coercing employees in the exercise of their Section 7 rights. 840

The potential for secret video surveillance may itself constitute an intrusion even if the employees have no direct evidence that they were ever captured on video. 841 Intrusion is created by the potential for a hidden camera to record or transmit private images and did not require proof that the

⁸³⁷ Apple, About Face ID advanced technology, https://support.apple.com/en-us/HT208108

⁸³⁸ https://www.linkedin.com/pulse/privacy-machine-learning-pii-robert-mckeon-aloe/

⁸³⁹ https://towardsdatascience.com/face-recognition-3d-face-recognition-from-infancy-to-product-209126575b56

⁸⁴⁰ Gov Docs, More video surveillance in the workplace. But is it legal?, https://www.govdocs.com/can-employers-use- video-surveillance-monitor-workers/

⁸⁴¹ Hernanez v. Hillsides Inc., 211 P.3d 1063 (Cal. 2009)

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camera was in fact used in such a manner. ⁸⁴² Purpose relates to the result desired by the actor; motive is his subjective reason for desiring the result. Neither purpose nor motive must be proven to show intent. If the videotaping was an act of volition and the resulting exposure of the girls was the expected or natural consequence of that act, intent has been proved. ⁸⁴³

Further, 18 U.S.C. § 1801 makes it a federal crime to capture images of a private area of an individual (naked or undergarment clad genitals, pubic area, buttocks, or female breast) without their consent and to knowingly do so under circumstances in which the individual has a reasonable expectation of privacy. ⁸⁴⁴ Convictions result in fines and/or up to one year of prison.

CALIFORNIA

The California state Constitution guarantees the privacy of its citizens in the workplace, schools, government buildings and other property. California employees have the right to privacy, even at the workplace, in areas where there is a reasonable expectation of being left alone. For example, the California Labor Code prohibits video or audio monitoring of employees in restrooms, showers, locker rooms, and dressing rooms. Further, California Penal Code section 647j PC makes it a crime for a person unlawfully to invade someone else's privacy via a device to view in a private room, or by secret recording or photograph of a person's body. A conviction is a misdemeanor punishable by up to 6 months in jail and/or a fine of up to \$1000.

Gjovik's General Concerns about Surveillance

In August 2021, Gjovik expressed public concerns about Apple Inc pressuring its employees to participate in invasive data collection procedures, including scans of ears/ear canals which Gjovik

⁸⁴² Koeppel v. Speirs, 808 N.W.2d 177 (Iowa 2011),

⁸⁴³ Koeppel v. Speirs Co., 383 S.E.2d 2, 7-8 (S.C. Ct. App. 1989) Johnson v. Allen Inc., 211 P.3d 1063, 1079 (Cal. 2009)

^{844 18} U.S. Code § 1801 - Video voyeurism, https://www.law.cornell.edu/uscode/text/18/1801

⁸⁴⁵ California Labor Code § 435, Contracts and Applications for Employment,

 $[\]underline{https://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=LAB\§ionNum=435.\#: \sim: text=435. \#: text=435.$

⁸⁴⁶ California Penal Code Section 647(j) PC, Criminal Invasion of Privacy in California,

⁸⁴⁷ California Code, Penal Code - PEN § 647, https://codes.findlaw.com/ca/penal-code/pen-sect-647.html

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believed could capture data about employees which could then be used for biometric identification and surveillance.

Around 1am on September 9 2021, the day Gjovik was fired, Gjovik was tipped off that Apple may be surveilling her through her work and personal devices. Gjovik posted publicly about her concerns at 12:06 AM including "Any reason Apple wouldn't be reading my [personal] iCloud email and iMessages?" To which members of the Info Sec community assured Gjovik Apple was doing that and more. Gjovik immediately began removing her data from Apple's servers.

Bizarrely, two hours later, at 2:27 AM Sept 9 2021, a self-declared "burner" Twitter account, with zero posts or "likes" ever, sent her a direct message accusing her of making a claim that was "verifiably incorrect and complete misrepresentation of facts." The user claimed that when Gjovik posted she found the Crystal Brown v Apple lawsuit, and said Apple settled (which Apple did), she was "unequivocally misrepresenting facts, most likely with malicious intent." The user said, "as someone attending law school, you should have known better." This user would later contact GJOVIK again on January 9 2022 accusing her of committing a federal crime. Gjovik asked the user to identify themself. The user refused and instead urged her to talk to her lawyer about 18 U.S. Code § 1512, a law Gjovik previously posted publicly she plans to pursue a charge against Apple for violating. It is under information and belief this user was an agent of Apple or acting on Apple's direction attempting to intimidate Gjovik to stop posting about her surveillance and privacy concerns. Gjovik even responded on Jan 9, saying hi to "Apple Legal" and asking them to leave her alone. The user then deleted it's account.

Apple's Face Gobbler App

Apple formally announced Face ID on September 12, 2017. 849 Apple Platform Security published the following on Apple's website, "Face ID provides intuitive and secure authentication enabled by the TrueDepth camera system, which uses advanced technologies to accurately map the

⁸⁴⁸ @Guybrus55626232, see screenshots

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Apple announced Face ID during the unveiling of the iPhone X on September 12, 2017, https://www.theverge.com/2017/9/12/16288806/apple-iphone-x-price-release-date-features-announced

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geometry of a user's face. Face ID uses neural networks for determining attention, matching, and antispoofing.."850

Privacy concerns quickly arose after launch, with articles in Nov 2017 covering privacy experts concerns about the privacy of biometrics gathered/stored by Face ID. 851 Concerns were raised by American Civil Liberties Union and the Center for Democracy and Technology and others. The Verge attempted to reassure customers in 2017, saying Apple "won't send faceprint data to the cloud, which means your face data stays on your phone," 852 however Apple was asking employees to frequently upload their "faceprint data" to Apple and with apple's MDM profiles and other security tools, it's doubtful whether the data would even need to be "uploaded" or if Apple already had access. However, even for customers who supposedly had privacy of their face data, the Verge wrote, "that's not an absolute assurance. Apple could always break from that playbook in some way they haven't discussed, or hackers could make some incredible new breakthrough." 853

Verge went on to say, "Soon, millions of people will be enrolled into Face ID, giving Apple control over a powerful facial recognition tool. In the current system, that data stays on phones, but that could always change. The hashing would make it difficult for anyone other than Apple to use the data, but there's no real limit on what they use it for, particularly if they start to store information outside of specific phones. On Twitter, privacy advocates worried about Face ID data being used for retail surveillance or attention tracking in ads.... with one of the world's most ambitious companies showing off a powerful new toy, it would be foolish not to wonder what comes next." ⁸⁵⁴

⁸⁵⁰ Apple, https://support.apple.com/guide/security/touch-id-and-face-id-security-sec067eb0c9e/web

App developer access to iPhone X face data spooks some privacy experts, https://www.reuters.com/article/us-apple-iphone-privacy-analysis/app-developer-access-to-iphone-x-face-data-spooks-some-privacy-experts-idUSKBN1D20DZ

The five biggest questions about Apple's new facial recognition system,

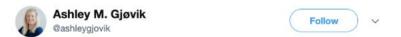
https://www.theverge.com/2017/9/12/16298156/apple-iphone-x-face-id-security-privacy-police-unlock

The five biggest questions about Apple's new facial recognition system,

https://www.theverge.com/2017/9/12/16298156/apple-iphone-x-face-id-security-privacy-police-unlock The five biggest questions about Apple's new facial recognition system,

https://www.theverge.com/2017/9/12/16298156/apple-iphone-x-face-id-security-privacy-police-unlock

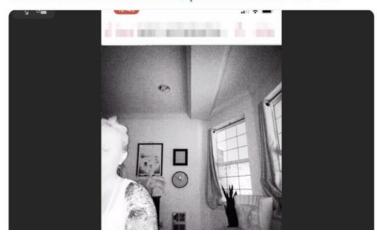
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Totally normal. Nothing weird about any of this. Just the video/photos my internal #Apple iPhone captures of me while I'm not paying attention, and stores on device, and also maybe uploads too?

volume-

assets.voxmedia.com/production/773 ...

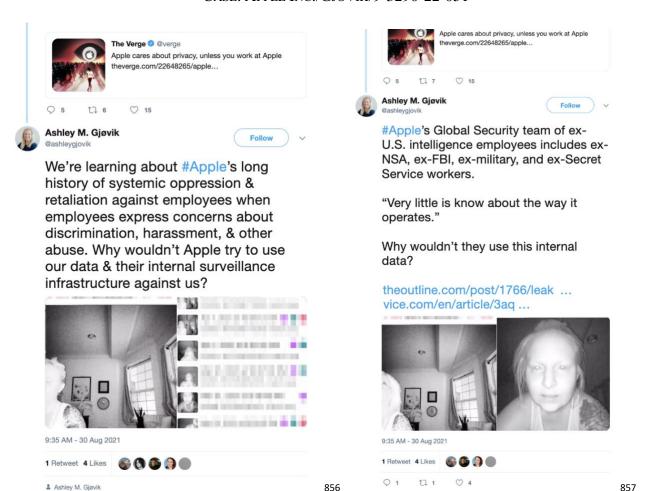


855

05

⁸⁵⁵ https://twitter.com/ashleygjovik/status/1432400136471072769 https://web.archive.org/web/20210830182052/https://twitter.com/ashleygjovik/status/1432400136471072769

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^ The Twitter Posts Apple's Lawyer's Demanded That Gjovik Delete ^

https://twitter.com/ashleygjovik/status/1432381395955900416

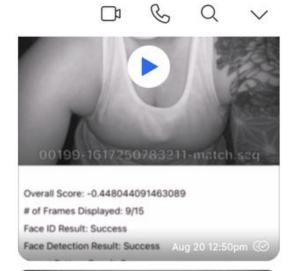
https://web.archive.org/web/20210830170534/https://twitter.com/ashleygjovik/status/1432381395955900416

⁸⁵⁷ https://twitter.com/ashleygjovik/status/1432381497370034184

https://web.archive.org/web/20210830170723/https://twitter.com/ashleygjovik/status/1432381497370034184

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and htere's one topless in those pics i sent... so you can say, indeed an employee saw the app was taking pics of them naked

Aug 20 12:51pm @

i was hoping it would have caught a more flattering view... but whatever....

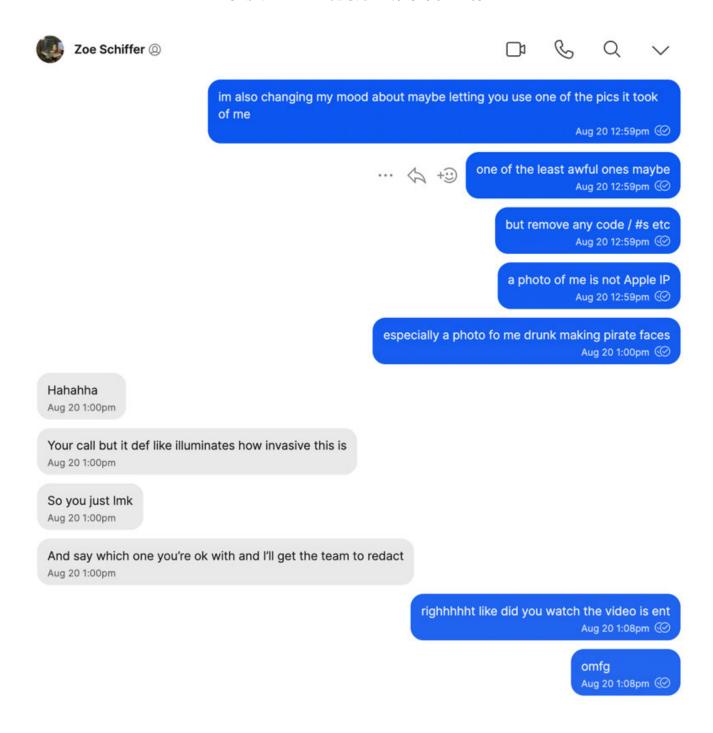
Aug 20 12:51pm

i feel like you might be on the floor right now Aug 20 12:53pm ②

Lmao this is my face the entire time I'm writing this article
Aug 20 12:53pm



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[^] Gjovik's conversations with Zoe Schiffer, The Verge journalist, on August 20, 2021 planning for the article. Gjovik was concerned about retaliation from Apple for mentioning anything about the app, especially providing pictures, but was concerned enough about the intrusion of privacy to go forward. She wanted people to take her concerns about the app seriously and thought the best way to do that was to show how invasive it is.

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Gjovik: I think the best response [to this app] is probably just this face I made. There's one topless in those pics I sent... so you can say, indeed an employee saw the app was taking pics of them naked.

Gjovik: I feel like you might be on the floor right now.

Journalist: LMAO this is my face the entire time I'm writing this article

Gjovik: I'm also changing my mood about maybe letting you use one of the pics it took of me, but remove any code or numbers. A photo of me is not Apple IP; especially a photo of me drunk making pirate faces."

Journalist: [The photos] definitely illuminates how invasive this [app] is. Say which one you're okay with and I'll get the team to redact.

Aug 20 2021

On August 3 2017, Robert McKeon emailed an unknown list of employees, including Gjovik about the Gobbler/Glimmer user study. He said mentioned requirements for participation (having a specific device and disclosure), then installing the Gobbler app. McKeon wrote, "Then, as you continue to use your device, use the Gobbler application to periodically upload data that has been logged." The email went on to say, "To participate, please take the time to download the ICF (Informed Consent Form) from the Attaché link below and review it. Please select the ICF for the Country/Region you work:" listing the USA, Brazil, Tel Aviv, and the EU but not France or Germany.

McKeon wrote, "We have instituted an electronic ICF process, where shortly, you will receive an email from (address) asking you to complete your registration with Gobbler where you will be asked to confirm you have had an opportunity to review this ICF. This will enable you to use

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Gobbler to upload data." He said they started a documentation page that employees can reference for help, tips and tricks, but that employees had to be "whitelisted" to participate. McKeon then wrote, "In terms of data collection, we want more. The algorithm uses deep learning and the more data the better." McKeon wrote that the Gobbler algorithms are "hungry for data." McKeon added, "For uploading data: all data that has your face in it is good data."

GJOVIK DID NOT RESPOND OR ACT ON THE EMAIL; IT WAS A WEIRD EMAIL

On Aug 7 2021 Gjovik received an email from <u>ssp_usg@apple.com</u> with a subject line "Social Hour Study: You're Invited!," and in the body of the email saying "Come join us! We look forward to seeing you there!" The email appeared to be a mandatory social event, though Gjovik was confused that the email said not to attend if she was "taking photosensitizing medications or have any known photosensitizing medical conditions." Gjovik promptly accepted, assuming it was expected of her.

NOTHING IN APPLE'S INVITE SAID IT WAS ABOUT FACE ID/GOBBLER/GLIMMER

Gjovik received another response right after from the same email, subject line "Social Hour Study: Registration." This time the email said it was from the "Sensor Software & Prototyping User Studies Group." The email said, "Hello there! Thank you very much for responding to our invite!

You will receive an iCal invite to the event shortly. If you prefer a different day/time than the one scheduled, reply to this email or comment on iCal. Please arrive at MA3B Patio at your scheduled time. Do not hesitate to reach out if you have any questions or concerns regarding the study. See you soon!" This again sounded like some sort of mandatory social event, however the email also stated "Prior to your participation, we kindly request that you do the following: Review the ICF and email sign the ICF by registering your email and completing the short pre-study survey that will be sent." During Gjovik's time at Apple, she was forced to sign hundreds of agreements to get access to everything from offices, conference rooms, documentation, and the basic to do her job, so she "signed" the ICF as requested (they did say 'please sign" after all.) As far as Gjovik can tell, she never received a confirmation she

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signed it, nor did she get a copy of the ICF, and when she tried to access the ICF⁸⁵⁸ again in 2021 the link went to page saying with an error message.

NOTHING IN APPLE'S RESPONSE SAID IT WAS ABOUT FACE ID/GOBBLER/GLIMMER

Gjovik showed up to the "Social Event" as requested. It said to meet in a parking lot south of Infinite Loop but still on Apple property. The temperature that day was very hot. As Gjovik approached the destination, she saw a ~40ft diameter circular compound, with ~10ft high fence around it. There was a chain link fence, with black plastic lining it and then another chain link fence and more black plastic. On top, there were security cameras pointed inside and outside. There were one, maybe two, **armed** security guards standing outside the compound. One of the guards checked Gjovik in and told her to sit at a picnic table until called. Gjovik was hot, dehydrated, and scared. Gjovik wanted to leave, but didn't want to ask to leave, because then the armed guard might get upset or suspicious, so Gjovik waited. They finally let in 4 or 5 employees. They open the first door of the gate, we go in, then they close the outer gate and open the inner gate -- so no one on the outside could see in. The gate was locked.

Upon entering, the inside of the compound looked like a "luau" with four stations: a picnic table in one quarter, then a bar next to it with a bartender wearing a Hawaiian shirt & a lei, then some kind of game, and the final quarter had a few seats in a circle. There's was music playing in the background & they were told to sit in the circle. The armed guard left and there were two guys left. The bartender guy is at the bar. The other guy sits with us in the circle. Assumed both are Global Security members. The bartender had an intense military mustache and also some sort of military tattoos on his arms. The guy briefing the employees seemed like one of the NSA types, not Marines like the others. The NSA-type guy provided the security briefing. Meanwhile, Gjovik wants out but is locked in a compound with 10ft high gates, security cameras, and **an armed guard**. She wants to leave but thinks "I'm too young to die."

The NSA-type guy explains what we're doing, we're going to enroll in Face ID and we're going to test it on iPhones with this Gobbler app and we must complete a set list of testing objectives before we are allowed to leave. The ICF had to be complete before we could set up the accounts, and he was

⁸⁵⁸ https://attache.apple.com/AttacheWeb/gdl?id=e8eb246f-9694-4c1e-859d-2069797f27c2&ek=ydTMSjj3VqcaptLZpe6T2w==

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helping us set up the Gobbler accounts on the test phones. Then we have to try to enroll in Face ID and then complete our task list. It was like 12 tasks (put sunglasses on & take 10-20x pics, make a goofy face & take 10-20x pics, etc). He explained this testing was specifically because they were having trouble with direct sunlight conditions, so even though they wanted to keep all testing in lockdowns, they set up this compound in the 100-degree sun so we could do real world testing for them.

Gjovik was miserable and wanted to leave, so she did the testing because she wanted to escape the compound. Gjovik knew one of the other employees there, Tim Altman, a QA Manager in Software Engineering, and talked to him a little bit. When they were done, the guard unlocked the inner gate, then had them step in, closed the inner door, and opened the outer door and let them out. After that, the Gobbler app was always pre-installed and logged in on Gjovik's iPhones, even if she changed phones. Gjovik kept trying to log out and turn it off, but it would keep reopening and logging back in and collecting more videos/photos.

On Apple's internal "Living On" help page, it explains that when you "live on" Apple devices, "You are encouraged to make full use of your living on devices as you regularly would, and try to log as many bugs as possible. This will help us provide a better and bug free product to our customers." ⁸⁵⁹ The page also has a section on Gobbler/Glimmer, explaining "Glimmer is an app that's included in internal development installs of Face ID equipped devices" an "Some data should not be submitted from certain regions." Another internal page about Glimmer states, "Glimmer is an Apple Internal app which helps gather valuable information for debugging Face ID enrollment and authentication issues." That page said, "For now, Glimmer is only available for Apple employees working in the United States." The page suggests uploading data from the app "captured in employee's homes." ⁸⁶⁰

Apple's internal "Face ID FAQ" page says "Users are encouraged to use Face ID in all places Touch ID is replaced on iPhone X. This includes for unlock, the App Store and 3rd party apps.

Additionally, please use in a variety of conditions: From the bright outdoors to the darkest rooms. In workday, evening and weekend attire. With and without makeup." 861 It said the Gobbler data "can be previewed and included in radars and/or donated otherwise via the Gobbler to help make the feature

⁸⁵⁹ https://confluence.sd.apple.com/display/DevPubs/Living+On

⁸⁶⁰ https://confluence.sd.apple.com/display/FID/Using+Glimmer

⁸⁶¹ Apple, Face ID FAQ, https://confluence.sd.apple.com/display/FID/Face+ID+FAQ Page 1 of 3

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better (there are many other things beside training the neural nets, that the data can be used for to *improve the product*). "The page did not elaborate further. ⁸⁶²

It was extraordinarily unclear what data was being automatically uploaded, how and when. The app & it's documentation pages were unclear enough (in 2019 Gjovik saw notes that the app launches automatically, and uploads data automatically, and/or uploads logs at 2am every morning) – but none of this took into account whether the data was being backed up on employee iCloud backups, synced via iCloud, and/or accessed/copied by Apple's corporate MDM profiles – or other Global Security surveillance of employee phones. It also disturbed Gjovik the app was taking photos/videos without any notification, which made her think that Apple, if it wanted to, could activate her cameras and watch her without her knowing at anytime as well.

In the documentation pages, several restrictions were noted. One said, "Data gathering may be restricted in some countries. You will be notified if that is the case."863 Another said, "Data privacy laws only allow us to gather and upload data from the US, Canada or Israel. Please do not upload any data gathered outside of these countries." 864 Gjovik also saw in notes that the app was forbidden to be used in Japan and China, but then at some point, they decided to gather some logs there anyways. The entire thing seemed incredibly murky and concerning.

Giovik was Deeply Disturbed by Apple taking Photos 24/7 of her Breasts, her in Bed, her Using the Toilet, and other Inherently Private Things

An individual is protected from retaliation for opposing any unlawful practice. Protected "opposition" activity broadly includes the many ways in which an individual may communicate explicitly or implicitly opposition to perceived employment discrimination. The manner of opposition must be reasonable, and the opposition must be based on a reasonable good faith belief that the conduct opposed is, or could become, unlawful.865

863 Standard Operating Procedure (SOP) for Glimmer usage

⁸⁶² Apple, Face ID FAO, https://confluence.sd.apple.com/display/FID/Face+ID+FAO Page 1 of 3

⁸⁶⁴ Apple, Face ID FAQ, https://confluence.sd.apple.com/display/FID/Face+ID+FAQ Page 1 of 3; https://confluence.sd.apple.com/display/D22Software/ICF+Procedure+for+Gobbler

⁸⁶⁵ Enforcement Guidance on Retaliation and Related Issues, August 01, 2016, https://plus.lexis.com/api/permalink/6ee6fa70-59a5-4841-83f4-ffb9a1c6a4be/?context=1530671

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The opposition clause of Title VII has an "expansive definition," and "great deference" is given to the EEOC's interpretation of opposing conduct. Refer As the Supreme Court stated in *Crawford v. Metropolitan Government of Nashville and Davidson County*, "'[w]hen an employee communicates to her employer a belief that the employer has engaged in . . . a form of employment discrimination, that communication' *virtually always* 'constitutes the employee's *opposition* to the activity." Refer

The opposition clause applies if an individual explicitly or implicitly communicates his or her belief that the matter complained of is, or could become, harassment or other discrimination. The communication itself may be informal and need not include the words "harassment," "discrimination," or any other legal terminology, as long as circumstances show that the individual is conveying opposition or resistance to a perceived potential EEO violation. ⁸⁶⁸ However, using the words "harassment" or discrimination" hold weight, as seen in *Ogden v. Wax Works, Inc.*, where there the court found plaintiff "opposed discriminatory conduct" when she told her harasser, who was also her supervisor, to stop harassing her. ⁸⁶⁹

The scope of the opposition clause is not limited to complaints made *to the employer*.

Complaints about the employer to others that the employer learns about can be protected opposition.⁸⁷⁰

Although opposition typically involves complaints to managers,⁸⁷¹ it may be a reasonable manner of opposition to inform others of alleged discrimination, including others outside the company including:

⁰

⁸⁶⁶ *EEOC v. New Breed Logistics*, 783 F.3d 1057, 1067 (6th Cir. 2015) (quoting *Johnson v. Univ. of Cincinnati*, 215 F.3d 561, 579, 580 n.8 (6th Cir. 2000)).

⁸⁶⁷ Enforcement Guidance on Retaliation and Related Issues, August 01, 2016, *Crawford*, 555 U.S. at 276 (first emphasis added) (adopting the Commission's position in the *EEOC Compliance Manual*, as quoted in Brief for the United States as Amicus Curiae).

⁸⁶⁸ Okoli v. City of Balt., 648 F.3d 216, 224 (4th Cir. 2011) (ruling that it was sufficient to constitute "opposition" that plaintiff complained about "harassment" and described some facts about the sexual behavior in the workplace that was unwelcome, and that she did not need to use the term "sexual harassment" or other specific terminology); EEOC v. Go Daddy Software, Inc., 581 F.3d 951, 964 (9th Cir. 2009) (holding that allegations need not have identified all incidents of the discriminatory behavior complained of to constitute opposition because "a complaint about one or more of the comments is protected behavior");

⁸⁶⁹ *Ogden v. Wax Works, Inc.*, 214 F.3d 999, 1007 (8th Cir. 2000) (ruling that reasonable jury could conclude plaintiff "opposed discriminatory conduct" when she told her harasser, who was also her supervisor, to stop harassing her).

⁸⁷⁰ B. Lindemann, P. Grossman, & C. Weirich, *Employment Discrimination Law* 15-20 (5th ed. 2012) (collecting cases).

⁸⁷¹ *Cf. Crawford*, 555 U.S. at 276 (endorsing the EEOC's position that communicating to one's employer a belief that the employer has engaged in employment discrimination "virtually always" constitutes "opposition" to the activity, and stating that any exceptions would be "eccentric cases"); *see*, *e.g.*, *Minor v. Bostwick Labs.*, *Inc.*, 669 F.3d 428, 438 (4th Cir. 2012) (holding that plaintiff's meeting with a corporate executive to protest a supervisor's direction to falsify time records to avoid overtime was FLSA protected activity).

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coworkers, legislatures, <u>newspaper reporters</u>, or "<u>anyone else</u>." Depending on the circumstances, calling public attention to alleged discrimination may constitute reasonable opposition, provided that it is connected to an alleged violation of the EEO laws. ⁸⁷³ Opposition may include even activities such as making informal or public protests against discrimination. ⁸⁷⁴ Most opposition is "reasonable," and conduct must be extreme to become unprotected, such as committing or threatening violence to life or property. ⁸⁷⁵ As with participation, a retaliation claim based on opposition is not defeated merely because the underlying challenged practice ultimately is found to be lawful. ⁸⁷⁶

On August 30 2021, Gjovik expressed OPPOSITION to/about her employer, Apple Inc, in regard to Apple's DISCRIMINATION & HARASSMENT against her, UNFAIR LABOR PRACTICES, and/or Apple's unlawful INVASION into her fundamental, constitutionally protected PRIVACY interests.

⁸⁷² See Pearson v. Mass. Bay Transp. Auth., 723 F.3d 36, 42 (1st Cir. 2013) (observing that "there is no dispute that writing one's legislator is protected conduct"); Conetta v. Nat'l Hair Care Ctrs., Inc., 236 F.3d 67, 76 (1st Cir. 2001) (ruling that employee's complaints of sexual harassment to coworker who was a son of general manager was protected opposition); Johnson v. Univ. of Cincinnati, 215 F.3d 561, 580 (6th Cir. 2000) (stating that "there is no qualification on . . . the party to whom the complaint is made known," and it may include management, unions, other employees, newspaper reporters, or "anyone else").

⁸⁷³ EEOC v. Crown Zellerbach Corp., 720 F.2d 1008, 1014 (9th Cir. 1983) (observing that all actions of opposition to an employer's practices constitute some level of disloyalty, and therefore in order to reach the level of being unreasonable, such opposition must "significantly disrupt[] the workplace" or "directly hinder[]" the plaintiff's ability to perform his or her job); EEOC v. Kidney Replacement Servs., No. 06-13351, 2007 WL 1218770, at *4-6 (E.D. Mich. 2007) (concluding that medical workers engaged in reasonable opposition when they raised their sexual harassment complaints directly to the onsite supervisor at the correctional facility to which their employer had assigned them, even though they were in effect raising a complaint to their employer's customer).

⁸⁷⁴ Sumner v. U.S. Postal Serv., 899 F.2d 203, 209 (2d Cir. 1990); see also Crown Zellerbach, 720 F.2d at 1013-14 (holding that employer violated Title VII when it imposed disciplinary suspension in retaliation for public protest letter by several employees of an "affirmative action award" given to a major customer; reasoning that even though the letter could potentially harm the employer's economic interests, it was a reasonable manner of opposition because it did not interfere with job performance).

⁸⁷⁵ Enforcement Guidance on Retaliation and Related Issues, August 01, 2016, https://plus.lexis.com/api/permalink/6ee6fa70-59a5-4841-83f4-ffb9a1c6a4be/?context=1530671

⁸⁷⁶ Trent v. Valley Elec. Ass'n, Inc., 41 F.3d 524, 526 (9th Cir. 1994) ("[A] plaintiff [in an opposition case] does not need to prove that the employment practice at issue was in fact unlawful under Title VII . . . [A plaintiff] must only show that she had a "reasonable belief" that the employment practice she protested was prohibited under Title VII."); see also Berg v. La Crosse Cooler Co., 612 F.2d 1041, 1045 (7th Cir. 1980) ("Limiting retaliation protections to those individuals whose discrimination claims are meritorious would 'undermine[] Title VII's central purpose, the elimination of employment discrimination by informal means; destroy[] one of the chief means of achieving that purpose, the frank and non-disruptive exchange of ideas between employers and employees; and serve[] no redeeming statutory or policy purposes of its own."'). For this reason, if an employer takes a materially adverse action against an employee because it concludes that the employee has acted in bad faith in raising EEO allegations, it is not certain to prevail on a retaliation claim, since a jury may conclude that the claim was in fact made in good faith even if the employer subjectively thought otherwise. Cf. Sanders v. Madison Square Garden, 525 F. Supp. 2d 364, 367 (S.D.N.Y. Sept. 5, 2007) ("[I]f an employer chooses to fire an employee for making false or bad accusations, he does so at his peril, and takes the risk that a jury will later disagree with his characterization."); see also supra note 18.

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GJOVIK'S TWEETS & INTERVIEW WERE OPPOSITION TO PRACTICES BELIEVED TO BE UNLAWFUL

Gjovik was interviewed by Zoe Schiffer at The Verge for an article about a topic that Gjovik has been passionate about for over a decade: digital privacy. Gjovik had grown deeply disturbed by the horrific lack of privacy for Apple corporate employees and was very happy to expose to the issue to the public, as the anti-privacy policy for employees was a "feature" not a "but" to Apple, and thus there was no internal complaint process on the matter, and even if there was, it seemed like a certain way to face additional retaliation. In the article that was published on August 30, Gjovik was quoted as saying / or Schiffer summarized on the following topics:

Gjovik provided Apple's "*Employee Workplace Search & Privacy Policy*" to Schiffer back in June and Schiffer quoted it for the article.

- Underpinning all of this is a stringent employment agreement that gives Apple the right to conduct extensive employee surveillance, including "physical, video, or electronic surveillance" as well as the ability to "search your workspace such as file cabinets, desks, and offices (even if locked), review phone records, or search any non-Apple property (such as backpacks, purses) on company premises." Apple also tells employees that they should have "no expectation of privacy when using *your or someone else's personal devices for Apple business*, when using Apple systems or networks, or when on Apple premises"

Gobbler/Glimmer

- Others have found that when testing new products like Apple's Face ID, images are recorded every time they open their phones. "If they did this to a customer, people would lose their goddamn minds," says Ashley Gjøvik, a senior engineering program manager
- In 2017, Apple rolled out an app called Gobbler that would allow employees to test Face ID before it became available to customers. **The process was routine** Apple often launched new features or apps on employees' phones, then collected data on how the technology was used to make sure it was ready for launch.
- Gobbler was unique in that it was designed to test face unlock for iPhones and iPads. This meant that every time an employee picked up their phone, the device recorded a short video hopefully of their face. They could then file "problem reports" on Radar, Apple's bug tracking system, and include the videos if they found a glitch in the system. "All data that has your face in it is good data," said an internal email about the project. After rumors of criticism, Apple eventually changed the codename to "Glimmer."
- Unlike other Apple features, Glimmer wasn't automatically installed on employee phones. It required an informed consent form so employees would know what they were getting into. Still, for some people on engineering teams, **participation was encouraged even expected**, according to two staff members. Once it was installed, some data that didn't contain personally

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identifiable information would automatically upload to Radar, unless employees turned off this setting. Apple was careful to instruct employees not to upload anything sensitive, confidential, or private. But it didn't tell people what was happening with the hundreds of images they didn't upload in Radar reports.

Radar & Sysdiagnose

- The reports themselves were also a cause for concern. When employees file Radar tickets, they include detailed information about the problems they are seeing. In 2019, Gjøvik filed a ticket about Apple's photo search capabilities. "If I search for 'infant' in my photo library, it returns a selfie I took of myself in bed after laparoscopic surgery to treat my endometriosis," she wrote, including four images in the ticket. The default sharing settings for the ticket included all of software engineering. Radar tickets also are not removable. Even when the tickets are closed, they remain searchable. In training, employees say they are told: "Radar is forever."
- What's more, when employees file Radar tickets, they are often asked to include diagnostic files, internally called "sysdiagnose" to give Apple more information about the problem. If they are filing a bug about iMessage, they might be asked to install a sysdiagnose profile that exposes their iMessages to the team tasked with fixing the issue. For employees using a live-on device, default settings can mean that, as they are filing a Radar ticket, a sysdiagnose profile is being automatically created in the background, sending data to Apple without the employee realizing it. When sysdiagnose profiles are not included, employees have been known to post memes calling out the omission.

Apple Legal taking Gjovik's nude photos after she was unlawfully constructively terminated during "Batterygate" in 2016, and she believes the nudes were taken as some sort of "collateral" threat:

- The blurring of personal and work accounts has resulted in some unusual situations, including Gjøvik allegedly being forced to hand compromising photos of herself to Apple lawyers when her team became involved in an unrelated legal dispute...... For other employees, however, the mixing of personal and work data has already had real consequences. In 2018, the engineering team Ashley Gjøvik worked on was involved in a lawsuit. The case had nothing to do with Gjøvik personally, but because she'd worked on a project related to the litigation, Apple lawyers needed to collect documents from her phone and work computer. Gjøvik asked the lawyers to confirm that they wouldn't need to access her personal messages. She says her team discouraged the use of two phones; she used the same one for work and personal and, as a result, had private messages on her work device. A member of the legal team responded that while the lawyers did not need to access Gjøvik's photos, they did not want her to delete any messages. During an inperson meeting, Gjøvik says she told the lawyers the messages included nude photos she'd sent to a man she was dating — a sushi chef who lived in Hawaii. Surely, those weren't relevant to the lawsuit. Could she delete them? She says the lawyers told her no.

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Apple was contacted several days before the article was published for comment, with the Verge giving Apple insight to the high level topics to be covered. The Verge reported in the article: "Apple did not respond to a request for comment."

Gjovik's own Twitter Posts on Aug 30-31 about the Article & her Disclosures Included:

- Apple has an internal culture of <u>surveillance</u>, <u>intimidation</u>, & alienation. Employees are closely monitored & our data hoarded in the name of secrecy & quality. We're told we have no expectation of privacy, while Apple says publicly: <u>privacy is a human right</u>. ⁸⁷⁸
- Apple probably considers what they're doing to employees "<u>internal information</u>." Why? For secrecy? For quality? Or because Apple knows <u>the public would be outraged</u>, & that outrage might start to "deprogram" their employees? ⁸⁷⁹
- Cult: "great devotion to a person, idea, object, movement, or work." Information control: "encourage spving on other members" Behavior control: "instill obedience" 880
- I still love Apple products & brand. I devoted nearly 7 years & much blood/sweat/tears ensuring Apple's products are exceptional. However, Apple the corporation needs a reckoning. Apple's policy of "secrecy" should not shield it from public scrutiny about human rights & dignity.
- We're learning about Apple's long history of systemic oppression & <u>retaliation</u> against employees when employees express concerns about discrimination, harassment, & other abuse. Why wouldn't Apple try to use our data & their internal <u>surveillance</u> infrastructure against us?⁸⁸¹

Like cases of refusing polygraph tests, it doesn't matter if the employee agreed to take the tests when signing the employment contract, the employee has a statutory right to deny requests for polygraph tests. An employer citing a rule that conflicts with statutorily protected right will not establish disqualifying misconduct. Gjovik is a whistleblower protected by California & federal public policy & anti-retaliation laws. Apple's reasons for Gjovik's termination were so farfetched and pretextual, a detailed article was written about exactly that, titled "Apple Wanted Her Fired. It Settled on an Absurd Excuse." The article explained the background of Gjovik's whistleblowing at her Superfund office as clearly the actual reason for Gjovik's termination.

⁸⁷⁷ The Verge, APPLE CARES ABOUT PRIVACY, UNLESS YOU WORK AT APPLE,

https://www.theverge.com/22648265/apple-employee-privacy-icloud-id

⁸⁷⁸ https://twitter.com/ashleygjovik/status/1432381777658613762; https://twitter.com/ashleygjovik/status/1432381235926499332

⁸⁷⁹ https://twitter.com/ashleygjovik/status/1432383062273191937

⁸⁸⁰ https://twitter.com/ashleygjovik/status/1432382993046200323

⁸⁸¹ https://twitter.com/ashleygjovik/status/1432381802602110976

⁸⁸² Gizmodo, https://gizmodo.com/apple-wanted-her-fired-it-settled-on-an-absurd-excuse-1847868789

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I still love #Apple products & brand. I devoted nearly 7 years & much blood/sweat/tears ensuring Apple's products are exceptional. However, Apple the corporation needs a reckoning. Apple's policy of "secrecy" should not shield it from public scrutiny about human rights & dignity.

Working at Apple in "normal" times, I walked in circles around their glass-walled panopticon, only able to badge into select lockdowns (a constant reminder that Apple has absolute control over my resources and access), and was immersed in a culture where it is implicitly forbidden to critique Apple policies or even speak openly to your coworkers with concerns about your employment & work conditions, lest you upset the cronyism, ex-CIA/ex-FBI security teams, and other "powers that be." I realize now that during those times, I didn't question a lot of things that I should have. Not just the abuse I suffered, but also the constant invasion of privacy — and perhaps those two things are linked.

There seems to be limitless ways Apple can access employee data and monitor us. I recently shared how violating it felt for Apple to demand to copy & permanently store my nudes for completely unrelated litigation. After the public outcry, I questioned other policies & actions Apple had taken. The internal "Glimmer" app had always troubled me, but I never voiced that concern, because inside we don't question the way things are or what we're asked to do. But now, in the light of day, considering everything Apple's already done to me, this app, the photos & data it gathers, and how little we know about what it does with all of that — is deeply troubling and I felt compelled to make it public. Apple's policy of "secrecy" should not shield it from public scrutiny about human rights & dignity.

11:56 AM · Aug 30, 2021 · Twitter Web App

⁸⁸³ https://twitter.com/ashleygjovik/status/1432416891201490946

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Protected Concerted Activity

Under the NLRA, "concerted activity" must involve work-related complaint or grievance, further some group interest, seek specific remedy or result, and be not unlawful or otherwise improper. ⁸⁸⁴ Concerted activities are protected whether they take place before, after, or at the same time a demand is made. ⁸⁸⁵If an employee acts with or on behalf of other employees, and not solely by and on behalf of the employee alone, in an activity for mutual aid or protection, which includes everything in which the employees could be said to have a legitimate interest, then the employee engaged in a concerted activity. ⁸⁸⁶ Employees have the right to engage in concerted activities for their mutual aid or protection even though no union activity be involved or collective bargaining be contemplated. ⁸⁸⁷ Employees have a legitimate interest in acting concertedly in making known their views to management without being discharged for that interest. ⁸⁸⁸

Amongst other protected activity, Gjovik was organizing with other employees around employee surveillance & data collection concerns. Whether proven with temporal proximity to NLRB charge on August 26th, through a pattern of retaliation animus, or through a direct link if Apple cites the surveillance application – Gjovik is a protected whistleblower.

[Placeholder: add more here later]

Messages between Cher Scarlett & Ashley Gjovik

- July 19 2021
 - o Gjovik: Has there been talk of a class action yet. Maybe you or I can reach out to a class action firm and see "hey any interest in suing the shit out of Apple"
 - o Scarlett: The only thing I heard was via Zoe. I almost wish I needed to ask for accommodations so I could be the one.
- July 20 2021
 - o Gjovik: [NYT article]
 - o Scarlett: Bugs me that no one will touch the disability stuff though.

⁸⁸⁴ Shelly & Anderson Furniture Manufacturing Co. v. N.L.R.B. (1974), 497 F 2d 1200.

⁸⁸⁵ N.L.R.B. v. Washington Aluminum Co. (1962), 370 US 9, 50 LRRM 2235.

⁸⁸⁶ Richard Venegas, Laminating Corporation of America, CUIAB, Case No. 78-1693, No. P-B-399

⁸⁸⁷ N.L.R.B. v. Phoenix Mutual Life Insurance Co. (1948), 167 F 2d 983, 6 ALR 2d 408, cert. den. 335 U.S. 845, 93 L Ed 395, 69 S. Ct. 68

⁸⁸⁸ N.L.R.B. v. Phoenix Mutual Life Insurance Co. (1948), 167 F 2d 983, 6 ALR 2d 408, cert. den. 335 U.S. 845, 93 L Ed 395, 69 S. Ct. 68

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- o Gjovik: I wonder if it was too nebulous about what's actually happening with it for him to tackle in a day. I brought it up with him too.
- o Scarlett: That's probably it. I just don't want to be erased.

- July 23 2021

- o Gjovik [NYT article] I guess if I am going for the jugular about Superfunds, there's probably no need to paint me as a wall flower....
- o Gjovik: The EPA got back to me yesterday and it sounds like they're putting Apple in a time out
- o Scarlett: is that good
- o Gjovik: Very good. There are huge gaps and issues in Apple's oversight of the building and they're being super dodgy about all of it. The guy in charge appears to have just gotten fired. EH&S keeps telling me they won't answer any more of my questions with no explanation why. They found cracks in the floor where the chemicals could be coming from but refuse to test the air for said chemicals until after they fix the floor etc. I also realized a couple weeks ago that one of the Apple board of directors, Sugar, was CEO & President of the company who created the chemical mess under my office, for like 10 years, and now he's chairing Apple's finance committee which I think would oversee budget and stuff for clean ups like this. I've been trying to get the EPA to interrogate everyone to find out what's going on and they were dragging their feed, but finally gave in to my yelling last night.
- o Scarlett: That's awesome
- o Gjovik: I just really want people to stop trying to poison / murder me. Why is that so hard to ask for
- o Scarlett: You would think that would be a given

- Aug 3

- Gjovik: [Screenshot of Gjovik telling Okpo "I do have a hard stop at 1pm I have a lunch meeting with the Washington Post"]. So this is where I'm at with Employee Relations
- o Scarlett: Damn. How is WaPo going
- o Gjovik: Ugh these guys. Jack fell through with NYT. Reed is wishy washy with WaPo
- o Scarlett: ugh
- o Gjovik: Reuters reached out too though and CBS. Talking to them tomorrow.
- Scarlett: [clapping emoji]
- o Gjovik: Zoe will quote me in her sexism piece. She was like should I keep it quiet you're talking to me still and I sent her that screenshot [with Okpo] and I'm like you're good
- o Scarlett: Niceee. Oh I should ping her. I think I'm being underpaid.
- o Gjovik: Really really need to do do a salary spreadsheet thing. And we need dudes to show theirs too. Nike did that and there was a massive lawsuit.

- Aug 4

- o Gjovik: [screenshot of out of office message saying she's on admin leave]
- o Scarlett: WHAT
- o Gjovik: Read above
- o Scarlett: I see. What the fuck
- o Gjovik: #Apple. I told him I wanted to take it tomorrow so I can share my info with the other women and he sent me an email right after saying take it right now.

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- o Scarlett: They cannot possibly think this is a good idea.
- o Scarlett: I tried to FaceTime you. I'm really freaking out.
- o Gjovik: Sorry was with Zoe. One sec.
- o Scarlett: oooh ok.

- Aug 13

- o Scarlett: you have a lawyer right
- O Gjovik: I talked to tons of the since January but no one retained. My shits too complicated for them. Because all this started with me saying stop poisoning me thing it means it all hinges on a very niche area of law. They said wait until I get fired and then we'll figure out the various area of law that apple violated & call back. I have been working with a firm in LA since February but they never locked it down. They've been looking over all the new evidence for a week and deciding if they'll take me on. They're supposed to get back today.
- O Gjovik: What if some of us just started tweeting our salaries and levels and shit. Kind of like an Apple age/sex/location but a fuck you to them for what they're doing to you. You think enough people would ble willing to make it public to make a statement at least? I would.
- o Scarlett: Mmm idk
- o Gjovik: Apple S/B/R/L/L or whatever. Something in your back pocket. How are you today?
- o Scarlett: Filing a report with business conduct [screenshot]
- o Gjovik: I gave your info to the Information, Wayne Ma, for the Slack piece. Also this is happening, please feel free to share. [see screenshot below]
- o Scarlett: Do you think what's happening to me counts as part of this? Or the women in general
- o Gjovik: I mean they're probably looking for sexism stuff but the pay equity is def that.
- o Scarlett: ya
- o Gjovik: And pay equity now = you. Congrats & condolences
- Scarlett: Lmao

- Aug 17 1:44pm

- o Scarlett: Shit is so wild. All of it. Draconian.
- o Gjovik: [screenshot of email from Gjovik about Apple skipping the line on vaccines]
- o Gjovik: When I keep saying "tip of the iceberg on Twitter" its less for Twitter and more for Apple cause the shit they're sitting on..
- o Scarlett: I mean for sure they know
- o Gjovik: Did I already tell you about the vaccine thing? There's usually a bigger response to that one. I'm trying to get Reed to write about the vax stuff too. I want them to dig and see if they can find the draft contracts.
- o Scarlett: You didn't.
- Gjovik: Dec 7 2020 [screenshot] Dan Riccio SVP of Hardware was demoted and reassigned less than 1month after
- o Scarlett: What the fuck
- o Gjovik: [screenshot] Because of me... and Sr Director says "never occurred to me not to believe you."
- o Gjovik: Fuck you Apple ER

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- o Scarlett: Thank you for reporting that too
- o Gjovik: Oh course, wtf is wrong with them
- o Scarlett: Disturbing. Truly.
- o Gjovik: I dedicate this one to you [screenshot of tweet yelling about Apple putting Apple Confidential on everything]
- o Scarlett: Do you think you and I should be going through the same law firm?
- o Scarlett: Also [screenshot of Timnit Gebru Twitter account] Did not notice this
- o Gjovik: Lol she is actively plotting with me on Signal. And the Google WalkOut ladies too. We're about to do some shite.
- o Scarlett: Wait. Include me. Hello.
- Scarlet: And for lawyer Zoe hooked me up with a NYC lawywer who is putting me on retainer with no fee. Just % of winnings if lawsuit. I just feel so alone and islated. It would be nice to be part of something bigger. And not feel so terrified.
- o Gjovik: omg girl ok give me 5min and I'll call you
- o Scarlett: Lmao ok thank you
- Aug 17 7:32am
 - Scarlett: HOW DID YOU NOT TELL ME YOU GOT ISSUE CONFIRMATION
 - Scarlett: "Apple Confidential" As if slapping that on anything makes it covered by NDA
 - Gjovik: they might as well put all caps ASHLEY DON'T TWEET THIS ONE WE MEAN IT THIS TIME
 - o Gjovik: Cause that's all they're saying.
- Aug 20 11:57am
 - o Scarlett: So my lawyer wants to sue Apple
 - o Gjovik: oooh!!
 - Scarlett: I sent them the complaint I sent to business conduct and they were pretty like yes this is a slam dunk and idk what to do. I could proceed I guess and refuse to sign an NDA. Even if that means no \$\$. Thoughts?
 - o Gjovik: Hmmm yeah this is the tough part. Would you lawyer take the case if you say you'd refuse to sign an NDA? That's usually where I lose lawyers. If you haven't asked, I'd ask. This is where you negotiation with your own lawyer what you're willing to sign and not sign, how much \$ they'd take etc. they you both figure out if its even worth going forward. Even if you don't sue, you can still keep them in case Appl does something to you, and then renegotiate the plan then
 - o Scarlett: Signing and NDA is not in my agreement with them
 - o Gjovik: Also if you do sue, are you willing to go through discovery and deposition and all that with apple cause they're notoriously awful
 - o Scarlett: Contingency fee I negotiated to 40% which honestly is fine I don't care
 - o Gjovik: so is it worth making yourself be abused by them more for the end result. Maybe it is maybe its not
 - O Scarlett: I think it is to expose it. Because what have I really done here. They shouldn't have the power to abuse me.

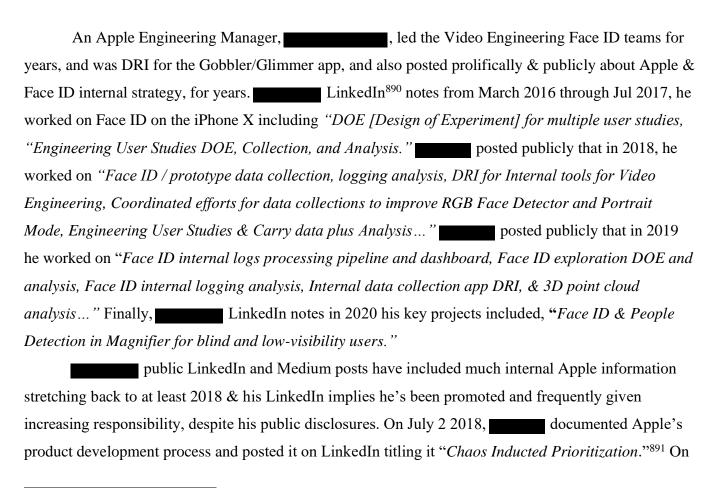
Face Gobbling Comparators & Contrastors

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Apple Platform Security

On Feb 18 2021, Apple Platform Security published the following on Apple's website, "Apple developed the facial matching neural networks <u>using over a billion images</u>, including infrared (IR) and depth images <u>collected in studies conducted with the participants' informed consent.</u> Apple then worked with participants from around the world to include a representative group of people accounting for gender, age, ethnicity, and other factors. The studies were augmented as needed to provide a high degree of accuracy for a diverse range of users." ⁸⁸⁹

Was Apple Platform Security fired?



⁸⁸⁹ Apple Platform Security: Facial matching security, Published Date: February 18, 2021, https://support.apple.com/guide/security/facial-matching-security-sece151358d1/web

⁸⁹⁰ https://www.linkedin.com/in/

⁸⁹¹ https://www.linkedin.com/pulse/chaos-induced-prioritization-

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Dec 26 2018, posted on LinkedIn about an internal Apple study he lead which caused an experimental feature to be "put on hold indefinitely." 892

On Feb 26 2019, posted on LinkedIn about his work on Face ID algorithms. He said, he "worked on Design of Experiment for user studies, failure analysis, and deep net training." said, "There was a large list of unknowns because nobody had lived on a phone with Face ID. We had to imagine how people would use the phone and in what ways different from Touch ID to see what data to test. This involved designing the data collection, collecting the data, and analyzing it. We also did any engineering studies requested by others and analyzed the resulting data to understand if we needed more data." wrote that in December he was working on "a large make-up study [he] had spent months designing and doing small engineering studies to help support the DOE." He said he, "found a lot of incorrect labels from the big user study [he] designed. ...[He] fixed all the labels and finish the analysis." 893

On Jan 9 2019, posted to LinkedIn an articled called "Data Collection" where he wrote, "During the lead up to Face ID being launched, my team went out and collected a large set of potential aggressors to see if we were missing anything in our larger data collections, things would be normal to a regular user." ⁸⁹⁴ On May 13 2019, posted to LinkedIn about the work Apple did on Face ID, that "**Tons of data was being collected** at the time to cover all the bases." ⁸⁹⁵

On Nov 17 2020, published an article to Medium titled "Face Recognition: 3D Face Recognition from Infancy to Product." wrote, "Face ID was a huge project. On initial launch, about 1,000 engineers were working on the entire hardware module, software, and infrastructure....We collected an amazing amount of data. 1,000,000,000 images were collected as announced in the Keynote. That's a number difficult to imagine and not just the collection, but the infrastructure and processing requirements to even train an algorithm on such a set of data."

He wrote, "We all learned very quickly that data would solve almost any issue..... We weren't asked to limit our thinking to what was affordable or possible but to expand our thinking to what was necessary to drive innovation." ⁸⁹⁷

⁸⁹² https://www.linkedin.com/pulse/fail-fast-first

⁸⁹³ https://www.linkedin.com/pulse/thoughts-leaving

⁸⁹⁴ https://www.linkedin.com/pulse/design-experiment-data-collection

⁸⁹⁵ https://www.linkedin.com/pulse/ml-examining-test-set

 $^{{\}color{red}^{896}} \, \underline{\text{https://towardsdatascience.com/face-recognition-3d-face-recognition-from-infancy-to-product-209126575b56}$

⁸⁹⁷ https://towardsdatascience.com/face-recognition-3d-face-recognition-from-infancy-to-product-209126575b56

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Was the manager in charge of Gobbler fired?

Apple formally announced Face ID on September 12, 2017. 898 However, details of Face ID were "leaked" months prior. On July 30 2017, the Apple Release Mgmt team posted HomePod firmware publicly which included unreleased product details in the code, including Face ID & iPhone X. The press covered the leak, writing: "code indicates the existence of infra-red face unlock in BiometricKit, which is the framework responsible for Touch ID. The code further suggests that Apple's face unlock feature will be able to detect partially occluded face and faces from various angles. The codename for the project Pearl ID. The code also shows the iPhone 8 codename as D22." 899

Face ID was further "leaked" on Sept 8 2017 when the to-be released iOS build was made public. This leak was also covered by the press, writing: "Leaked iPhone 8 firmware reveals animated emoji, Face ID, and updated AirPods." ⁹⁰⁰ That leak also included "details on wireless charging and a status bar update in iOS 11. According to the leak, the new facial recognition system will be capable of substituting for Touch ID everywhere the current system is used, both unlocking the phone and confirming purchases on iTunes, the App Store, and Apple Pay." ⁹⁰¹ One outlet wrote, "Face ID appears to be the official marketing name for what's been referenced as Pearl ID, the facial recognition features that will likely replace the Touch ID fingerprint recognition feature." ⁹⁰²

Gjovik was told by numerous people that both of those leaks came from her previous team in Software Engineering, currently run by (the guy who used to yelled at her and bring ammo to work). Gjovik was told how the leaks happened (which she will not share here, but an testify if needed). She was told both leaks were attributed directly to via his negligence, as well as the negligence of (Gjovik's previous director). Gjovik was told that was

⁸⁹⁸ Apple announced Face ID during the unveiling of the iPhone X on September 12, 2017, https://www.theverge.com/2017/9/12/16288806/apple-iphone-x-price-release-date-features-announced

⁸⁹⁹ HomePod firmware seemingly confirms iPhone 8 front design & support for 'Face ID', Jul. 30th 2017, https://9to5mac.com/2017/07/30/iphone-8-design-and-face-unlock-homepod-code/

Policy Property Prope

ontips://www.tneverge.com/2017/9/9/16280026/appie-ipnone-race-id-animoji-reature iPhone X will unlock with facial recognition instead of the home button,

https://www.theverge.com/2017/9/12/16270352/apple-iphone-x-home-button-removed-unlock-touch-id;

⁹⁰² iOS 11 GM leak confirms D22 'iPhone X' features: Portrait Lighting, True Tone Display, revised, https://9to5mac.com/2017/09/08/ios-11-gm-d22-iphone-8-details/

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demoted from a Director to a Senior Manager following the leaks and that was given a 30-day notice, directly by Tim Cook, to find a new job at Apple or else would be fired.

Apple accuses Gjovik of sharing information (that was already generally known about a feature released to the public nearly four years ago and/or a topic protected by the California Constitution), and using that to justify their egregious termination of Gjovik. Meanwhile, and were responsible for actually "leaking" actual "IP" to the public before a product announce but were not and were also notorious for a history of bad behavior & discipline during their Apple tenures. Not fired. The difference?

AND WERE NOT FIRED.

During the 2017 announce of Face ID, Marketing VP Phil Schiller said, "To create Face ID we worked with thousands of people across the world and the team took over a billion images" and with that, "they developed multiple neural networks." ⁹⁰³ Schiller said, "the team even worked with professional mask makers and make-up artists in Hollywood to prevent attempts to beat Face ID." He said, "these are actual masks used by the engineering team to train the neural networks."

Was Phil Schiller fired?

An Apple Senior Data Scientist, 1904 currently includes on her LinkedIn profile that during 2017-2019, she worked in Video Engineering on Face ID. She posted that her role included, "(Face ID] Data Mining and Algorithm Retrospective Failure Analysis," and she "Built internal dashboard-based website for real-time monitoring of (Face ID) key metrics" amongst other duties. An Apple Project Manager, 1905 posted that she worked on Face ID Computer Vision in 2018, with duties including:

- "Project Managed a <u>highly confidential</u> Face ID Special Project Task by coordinating and documenting project requirement needs and worked cross-functionally with Video Engineering

905

⁹⁰³ Apple, Apple iPhone X - Full Announcement From Apple's 2017 Keynote, https://www.youtube.com/watch?v=Umy1GN3rlJQ

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team, iPad Product Marketing manager, Face ID Algorithm engineers, and Apple's Global Security team to **prep for on-site testing of new, unannounced iPad Pro** in an industry-specific facility before product launch."

- "Led <u>special iPad Pro QA Mount study in select industry environments</u>. Documented findings by writing Special Report and presented to top Video Engineering leadership with test findings, recommendations and Call to Action prep for product launch."

Were & fired?

There is plenty of "internal" Apple information available publicly online, seemingly without Apple's attempting to remove or redact it. For example, there is a wiki page dedicated to internal software builds with screenshots, codenames, builds numbers. 906 Another page details an internal application used for "flashing iDevices" including mentions of an internal VPN app, source paths, restore components & operations, and troubleshooting. 907 Another page details internal and factory firmware bundles and yet another discusses internal software updates. 908

Internal software and application details & screenshots have also been included in forums and discussion threads. ⁹⁰⁹ Internal Apple applications are also available for download on archive.org. ⁹¹⁰ A 2015 Business Insider article details the following internal applications: AppleConnect, AppleWeb, Retail Daily Download, GKTank, Inferno, iPlano, MobileGenius, MobileRadar, Operator, Receipts, Red Zone Mobile, Skybox, Switchboard, ToughFighter 2, & Unibox. ⁹¹¹ A wiki page, created in 2011 & last updated May 2021, lists the names of over a hundred Apple internal apps, tools, and bundles including apps like Glimmer, Magneto, Siri Debug, & LiveOn. ⁹¹² Many of the apps listed also link to detailed

https://web.archive.org/web/20220111143937/https://www.reddit.com/r/Apple Internal/comments/s1edzi/apple internal app; BetaArchive, Apple Internal Apps, https://www.betaarchive.com/forum/viewtopic.php?t=348551; Apple Park App, https://www.reddit.com/r/Apple Internal/comments/ruasj4/apples internal apps with apple park/

⁹⁰⁶ The iPhone Wiki, Internal UI Builds, https://www.theiphonewiki.com/wiki/InternalUI Builds

⁹⁰⁷ The iPhone Wiki, *PurpleRestore*, https://www.theiphonewiki.com/wiki/PurpleRestore

⁹⁰⁸ The iPhone Wiki, *Internal Firmware*, https://www.theiphonewiki.com/wiki/Internal OTA Updates, https://www.theiphonewiki.com/wiki/Internal_OTA_Updates

⁹⁰⁹ Reddit, Apple Internal App,

⁹¹⁰ Archive.org, *Various macOS Internal Applications*, https://archive.org/details/macOSInternalApplications; *Apple Internal Apps*, https://archive.org/details/troubleshoot-plan-genius-v-1.0

⁹¹¹ Business Insider, *Here are the secret apps that only Apple employees can use*, Sept 29 2015, https://www.businessinsider.com/apps-only-apple-employees-get-to-download-and-use-2014-11?op=1

⁹¹² The iPhone Wiki, *Apple Internal Apps*, https://www.theiphonewiki.com/wiki/Apple_Internal_Apps

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descriptions & screenshots including: iOS Menu, ⁹¹³ iTrack, ⁹¹⁴ Radar, ⁹¹⁵ Tap-to-Radar, ⁹¹⁶ Switchboard, ⁹¹⁷ PurpleSNIFF, ⁹¹⁸ Apple U, ⁹¹⁹ & AppleConnect. ⁹²⁰

The information currently publicly available about Apple's internal tools must have been provided by numerous current and ex-Apple employees. There is no news coverage or lawsuits to be found of terminations of employees for sharing this type information, nor does it appear Apple has made any effort to take the information down. In addition, this information doesn't' seem to be shared in furtherance of any protected topics, contrast with Gjovik's protected disclosures about employee surveillance and intimidation.

Apple, the "Ear Canal Innovator"

Biometrics Laws/Policy

Facial recognition or "faceprinting" uses biological characteristics to verify an individual's identity by extracting an individual's face geometry data in order to confirm a subsequent match of the individual's face. Geometric attributes of faces include distance between the eyes, width of the nose, and other features. Face geometry is a physiological characteristic and qualifies as a "biometric identifier." ⁹²¹

Biometrics is "the science of automatic identification or identity verification of individuals using physiological or behavioral characteristics." Traditionally, these physiological traits have included digitally scanned fingerprints, digital photo analysis through facial recognition technology, iris scans, and DNA. Increasingly, physiological identifiers that can be digitally captured, stored, and analyzed include more experimental biometrics, including gait, skeletal bone scans, scars and tattoos, ear shape and eyebrow shape, breathing rates, and eye pupil dilation, among other identifiers. ⁹²²

⁹¹³ The iPhone Wiki, iOS Menu, https://www.theiphonewiki.com/wiki/IOS Menu

⁹¹⁴ The iPhone Wiki, iTrack, https://www.theiphonewiki.com/wiki/IOS_Menu

⁹¹⁵ The iPhone Wiki, Radar, https://www.theiphonewiki.com/wiki/Radar

⁹¹⁶ The iPhone Wiki, Tap-to-Radar, https://www.theiphonewiki.com/wiki/Tap-to-Radar

⁹¹⁷ The iPhone Wiki, Switchboard, https://www.theiphonewiki.com/wiki/Switchboard (App Store)

⁹¹⁸ The iPhone Wiki, PurpleSNIFF, https://www.theiphonewiki.com/wiki/PurpleSNIFF

⁹¹⁹ The iPhone Wiki, Apple U, https://www.theiphonewiki.com/wiki/Apple_U

⁹²⁰ The iPhone Wiki, AppleConnect, https://www.theiphonewiki.com/wiki/AppleConnect_(Application)

⁹²¹ *Hazlitt v. Apple Inc.*, 543 F. Supp. 3d 643, 646 (S.D. III. 2021)

⁹²² BIOMETRIC CYBERINTELLIGENCE AND THE POSSE COMITATUS ACT, 66 Emory L.J. 697

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Issues of biometric privacy have arisen with increasing frequency over the last several years as biometric scanners have become cheaper and more prevalent. Though advocates have been sounding the alarm about biometric privacy for decades, by 2018 even Microsoft was calling for greater regulation of facial recognition technology. Along with this increased concern has come a wave of litigation against technology companies that use facial recognition to identify people in photographs and employers that use fingerprint biometric scanners for employee timekeeping. In the trenches of the Northern District of California, for example, Facebook is facing more than \$ 30 billion in potential liability for violations of biometric privacy laws. 923

The Snowden disclosures reveal the increasing importance of biometric data as a component of mass surveillance and a critical tool in intelligence gathering. There is currently a rapid expansion of biometric databases among the intelligence community, the U.S. military, and in the public and private sectors generally. ⁹²⁴ The legislature notes that the "overwhelming majority of members of the public are weary of the use of biometrics when such information is tied to finances and other personal information." This is because, unlike social security numbers and other personal information, biometrics "are biologically unique to the individual so that once compromised, the individual has no recourse, [and] is at heightened risk for identity theft." ⁹²⁵

An *Applied Intelligence* paper published in October of 2020 explained "that ears have a large amount of specific and unique features that allow for person identification." The article explained, "the human ear is a perfect source of data for passive person identification as it does not involve the cooperativeness of the human whom we are trying to recognize" & "acquisition of a human ear is also easy as the ear is visible even in the mask wearing scenarios." The article stated tracking ear biometrics "can be useful in identifying persons in a massive crowd when combined with a proper surveillance system." ⁹²⁸

⁹²³ ARTICLE: From Identification to Identity Theft: Public Perceptions of Biometric Privacy Harms, 10 U.C. Irvine L. Rev. 107

⁹²⁴ BIOMETRIC CYBERINTELLIGENCE AND THE POSSE COMITATUS ACT, 66 Emory L.J. 697

⁹²⁵ Monroy v. Shutterfly, Inc., No. 16 C 10984, 2017 U.S. Dist. LEXIS 149604, at *3-4 (N.D. Ill. Sep. 15, 2017)

⁹²⁶ Ahila Priyadharshini, R., Arivazhagan, S. & Arun, M. A deep learning approach for person identification using ear biometrics. *Appl Intell* **51**, 2161–2172 (2021). https://doi.org/10.1007/s10489-020-01995-8

⁹²⁷ Ahila Priyadharshini, R., Arivazhagan, S. & Arun, M. A deep learning approach for person identification using ear biometrics. *Appl Intell* **51**, 2161–2172 (2021). https://doi.org/10.1007/s10489-020-01995-8

⁹²⁸ Ahila Priyadharshini, R., Arivazhagan, S. & Arun, M. A deep learning approach for person identification using ear biometrics. *Appl Intell* **51**, 2161–2172 (2021). https://doi.org/10.1007/s10489-020-01995-8

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In 2021, FTC Commissioner Rohit Chopra declared that "Today's facial recognition surveillance technologies are discriminatory and dangerous." Commissioner Chopra elaborated: "With the tsunami of data being collected on individuals, we need all hands-on deck to keep these companies in check. State and local governments have rightfully taken steps to enact bans, moratoria, and other restrictions on the use of these technologies." 930

After decades of research of anthropometric measurements of ear photographs of thousands of people, it has been found that no two ears are alike, even in the cases of identical and fraternal twins, triplets, and quadruplets Ear can be easily captured from a distance without a fully cooperative subject although it can sometimes be hidden by hair, muffler, scarf, and earrings. It is possible to use the infrared images of ears to overcome the problem of occlusion of the ear by hair. ⁹³¹

The potential cybersurveillance consequences of mass biometric data collection are not yet fully known. What is known, however, is that mass biometric data storage and analysis can lead to multiple unprecedented legal challenges as big data tools and new forms of cybersurveillance technologies place increasing strain on existing privacy law doctrine. Studies have also found that generally, people not comfortable with the next generation of biometric uses: using facial recognition.

Apple's Public Information About Ear Studies & Biometrics

On September 5 2020, Apple VP of Marketing, Greg Joswiak ("Joz") was interviewed by Wired about Apple AirPods. "We had done work with Stanford to <u>3D-scan hundreds of different ears</u> and ear styles and shapes in order to make a design that would work as a one-size solution across a broad set of the population," Joswiak says. "With AirPods Pro, we took that research further – <u>studied more</u>"

⁹²⁹ U.S. Federal Trade Commission, *In the Matter of Everalbum and Paravision*,

https://www.ftc.gov/system/files/documents/public_statements/1585858/updated_final_chopra_statement_on_everalbum_for circulation.pdf; https://twitter.com/chopracfpb/status/1348670577050005504

⁹³⁰ U.S. Federal Trade Commission, In the Matter of Everalbum and Paravision,

https://www.ftc.gov/system/files/documents/public_statements/1585858/updated_final_chopra_statement_on_everalbum_for_circulation.pdf

⁹³¹ 3D Ear Biometrics BIR BHANU, HUI CHEN, Center for Research in Intelligent Systems, University of California, Riverside, CA, USA, Springer

⁹³² BIOMETRIC CYBERINTELLIGENCE AND THE POSSE COMITATUS ACT

⁹³³ ARTICLE: From Identification to Identity Theft: Public Perceptions of Biometric Privacy Harms, 10 U.C. Irvine L. Rev. 107

⁹³⁴ The secrets behind the runaway success of Apple's AirPods: The wireless headphones have been a surprise hit. Here's how: Sept 5 2020, https://www.wired.co.uk/article/apple-airpods-success

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<u>ears, more ear types.</u> And that enabled us to develop a design that, along with the three different tip sizes, works across an overwhelming percentage of the worldwide population."

On December 9 2021, two Apple Product Design executives were interviewed by Wallpaper about Apple's product design team. ⁹³⁵ The article said, "When AirPods' development began a decade or so ago, human factors researcher Kristi Bauerly found herself researching the 'crazily complex' human ear. 'We moulded and scanned ears, worked with nearby academics, focusing on outer ears for the earbud design and inner ears for the acoustics,' she says. Thousands of ears were scanned, and only by bringing them all together did the company find the 'design space' to work within. 'I think we've assembled one of the largest ear libraries anywhere,' Hankey says. 'The database is where the design starts,' Bauerly continues, 'and then we iterate and reiterate.' "

On July 28 2021, Apple AI/ML Research published a paper about internal studies they were doing around biometrics (breath rate) and AirPods. ⁹³⁶ The paper was further published in August 2021 with IEEE⁹³⁷ & on Apple's own website. ⁹³⁸ "The paper hints at the integration of biometric health sensors in headphones used while participating in sports. The company is ... [a] ear-canal innovator. "⁹³⁹

The 2021 paper notes, "<u>data was collected from 21 healthy</u> individuals from both indoor and outdoor environments. Participants spanned the ages of 22 to 60 and were split fairly evenly between genders. Several participants provided six pulse rate measurements per audio sample submitted, each of which spanned a six-minute active period. All data was recorded using microphone-enabled, nearrange headphones, specifically Apple's AirPods." Apple noted, "In this paper, we take the first step towards developing a breathlessness measurement tool by estimating respiratory rate (RR) on exertion in a healthy population using audio from wearable headphones." ⁹⁴⁰ The study sounds like another "internal" Apple user study.

⁹³⁵ Inside Apple Park: first look at the design team shaping the future of tech, Dec 9 2021, https://www.wallpaper.com/design/apple-park-behind-the-scenes-design-team-interview

⁹³⁶ Estimating Respiratory Rate From Breath Audio Obtained Through Wearable Microphones, [Submitted on 28 Jul 2021], https://arxiv.org/abs/2107.14028

⁹³⁷ A. Kumar, V. Mitra, C. Oliver, A. Ullal, M. Biddulph and I. Mance, "Estimating Respiratory Rate From Breath Audio Obtained Through Wearable Microphones," *2021 43rd Annual International Conference of the IEEE Engineering in Medicine & Biology Society (EMBC)*, 2021, pp. 7310-7315, doi: 10.1109/EMBC46164.2021.9629661.

⁹³⁸ Estimating Respiratory Rate From Breath Audio Obtained Through Wearable Microphones, August 2021, https://machinelearning.apple.com/research/estimating-respiratory-rate

⁹³⁹ Can you ID me now? Apple les for ear- canal biometrics patent, Jan 28, 2022,

https://www.biometricupdate.com/202201/can-you-id-me-now-apple-files-for-ear-canal-biometrics-patent

⁹⁴⁰ Estimating Respiratory Rate From Breath Audio Obtained Through Wearable Microphones, August 2021, https://machinelearning.apple.com/research/estimating-respiratory-rate

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In August of 2021, Patently Apple wrote that, "Apple began discussing integrating health sensors into future sports-oriented headphones in a patent application that was published back in April 2009 and filed in 2008. To top it all off, in June of this year, Apple's VP of Technology talked about health sensors on Apple Watch and possibly AirPods." 941

Apple is currently hiring for a role, with a description posted on LinkedIn saying, "As part of the Acoustics User Studies team, you will work closely with acoustic engineers and multi-functional partners to design and conduct perceptual user studies for new audio feature development. You will drive the entire end-to-end study cycle, from definition and scope of the study question to data analysis and results delivery. Our team dives deep into emerging technical areas, such as spatial audio and headphones technologies. Our Acoustics User Studies team drives the development of groundbreaking audio technologies and products through acoustic and perceptual studies. We design and carry out studies that influence hardware design, optimize software algorithms, and recommend engineering requirements that are perceptually relevant."942

On Dec 20 2020, press covered a new patent from Apple around AirPod biometrics. ⁹⁴³ Apple's patent filings describe a system for deriving biometrics using embedded biometric sensors on the AirPods (Earbuds). ⁹⁴⁴ The patent captures waveforms associated with the cycling profusion of blood to the skin, so multiple biometric parameters can be collected, including, for example, heart rate, blood volume, and respiratory rate. By using LEDs that emit different wavelengths of light additional data can be gathered, such as, for example, VO2 max (i.e., the maximal rate of oxygen absorption by the body). A pulse oximeter in the area of the tragus is believed to be particularly accurate. The electrodes on the earbuds can be configured to measure the galvanic skin response (GSR). A GSR can help determine the amount of stress being experienced by the user at any given moment in time. Another use of this design will lend itself well for measuring electrocardiogram (EKG) data or impedance cardiography (ICG) related data. ⁹⁴⁵

⁹⁴¹ Apple's Machine Learning Research Team have Published a Paper on using Specialized Health Sensors in Future AirPods, https://www.patentlyapple.com/patently-apple/2021/08/apples-machine-learning-research-team-have-published-a-paper-on-using-specialized-health-sensors-in-future-airpods.html

⁹⁴² Apple Perceptual Audio Evaluation Specialist, https://www.linkedin.com/jobs/view/2975662157

⁹⁴³ Apple's future AirPods/earbuds could facilitate biometric measurements, Niel Smith, December 30, 2020 https://www.myhealthyapple.com/apples-future-airpods-earbuds-could-facilitate-biometric-measurements/

⁹⁴⁴ Patent number 10856068

⁹⁴⁵ Patent number 10856068

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IT'S NOT A SECRET APPLE DOES USER STUDIES

Just searching LinkedIn for "Apple User Study," numerous people and positions are returned with detailed descriptions of the roles and projects. Apple is positioning that user studies are inherently secret within Apple. On the contrary, with a quick search I found a role for a "Engineering Program Manager - User Studies" that will "Drive user study related requirements (e.g. identify and recruit user study participants, security and legal compliance, logistics, etc.), decision-making and integrity around user study efforts." I found a role for, "User Study Operations Manager - Sensing Technologies, which will "Lead the team that plans and executes user studies and data collection for sensor and health technology development and feature delivery. In this highly visible position, you will collaborate with cross-functional and cross-discipline teams across Apple to execute on user studies ranging from small, focused research studies to large-scale worldwide operations." 947

I found an employee listing his position as "User Study Facilitator at Apple," with a description of "Coordinating User Studies for Human Engineering - Physiology Team, Leading participant appointments by communicating study requirements, privacy and legal compliance." ⁹⁴⁸ I found a role, "Health Study EPM," that will "Drive user study related requirements (e.g. identify and recruit user study participants, security and legal compliance, logistics, etc.), decision-making and integrity around user study efforts." ⁹⁴⁹ I found a role, "User Study Operations Manager - Sensing Technologies," that hints to future products saying "Our study operations have enabled Apple to ship new sensors, multiple health features, and numerous Machine Learning (ML) solutions. But our ambitions are bigger still, with much more to come." ⁹⁵⁰

I found a role, "User Studies Operations Engineer, that will "will work closely with product development team; designing, leading, executing, and monitoring large-scale studies in support of algorithm development." I found a "Data Collection Facilitator - Learning and Education," that will "Conduct in-person user study sessions. Administer screening and consent forms, interview and debrief participants, observe behavior, and administer complex testing protocols involving diverse hardware

⁹⁴⁶ https://www.linkedin.com/jobs/view/2944349227

⁹⁴⁷ https://www.linkedin.com/jobs/view/2942922643

⁹⁴⁸ Justin Fong, www.linkedin.com/in/justinf2108

⁹⁴⁹ https://www.linkedin.com/jobs/view/2938135938

⁹⁵⁰ https://www.linkedin.com/jobs/view/2911203208

⁹⁵¹ https://www.linkedin.com/jobs/view/2944339533

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and software." ⁹⁵² I found a role, "LiveOn Program Manager," that defines an entire team, "The LiveOn team grew to enable unreleased products to be "lived on" by Apple employees outside of labs, networks and campuses." ⁹⁵³

There's more! I found a role, "ISE, System Experience — Senior User Studies Researcher," that will "develop and conduct Apple-internal in-person and remote user studies on iOS, iPadOS, and macOS platforms and features." ⁹⁵⁴ I found a role, "Human Factors Engineer – Audio," that will "Develop user study criteria and detailed methodology for projects which focus on product comfort and span from formative to evaluative and qualitative to quantitative" & "Conduct user-centered research and evaluation on a diverse user population utilizing experimental data and scientific publications," and that needs "Expertise with biometric data systems (eye tracking, EEG, GSR), Expertise with motion capture systems, and Experience with photogrammetry." ⁹⁵⁵

Gjovik was Deeply Disturbed by the Persistent, Increasing Requests to Image her Ear Canals

On Aug 10 2018 Gjovik was invited to a "HE User Study" for "anthropometry HH" sent by two employees who previous asked for photos of her ears, and discussed wanting to scan her ears. Gjovik replied, "Hi, I'm sorry - but I need to cancel indefinitely. I really wanted to help with this, but I just started part-time law school. I'm already underwater, and need to focus on my core work responsibilities & school." Gjovik assumed she'd be taken off the list for ear studies, if not all user studies. ⁹⁵⁶

Then in 2021, while Gjovik was put on Admin Leave in August, she received three separate emails asking to scan her ears/ear canals, again. The email was titled, "HE 3D Ear Scan Invitation!" The emails said, "You're invited to a voluntary in-person study where we will capture high-resolution 3D scans of participants' ears. The goal of this effort is to collect representative ear geometry data across age, gender, and ethnic groups. These 3D scans are extremely valuable to audio research efforts and better our understanding of ear geometry variance." The email said she'd be asked to review an ICF

⁹⁵² https://www.linkedin.com/jobs/view/2944353441

⁹⁵³ https://www.linkedin.com/jobs/view/2945797951

⁹⁵⁴ https://www.linkedin.com/jobs/view/2944351127

⁹⁵⁵ https://www.linkedin.com/jobs/view/2944349447

⁹⁵⁶ Aug 10 2018, Gjovik to stephanie_juachon@apple.com, <u>yifang_tsai@apple.com</u>

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prior to taking a recruitment survey" and then another ICF for study participation. Gjovik did not respond to any of the emails nor did she sign any of the ICFs. 957

Gjovik was disturbed by Apple's lack of respect for its employee's privacy. She also wondered if Apple could be sending her these on purpose, since she already opted out, in order to harass her further. The emails didn't say Apple Confidential, nor did they include anything that appeared actually secret or material. Regardless, Gjovik redacted them heavily since her only point was to protest an employer pressuring its employees to gather such sensitive information (biometrics).

GJOVIK'S TWEETS WAS OPPOSITION TO PRACTICES BELIEVED TO BE UNLAWFUL

An individual is protected from retaliation for opposing any unlawful practice. Protected "opposition" activity broadly includes the many ways in which an individual may communicate explicitly or implicitly opposition to perceived employment discrimination. The manner of opposition must be reasonable, and the opposition must be based on a reasonable good faith belief that the conduct opposed is, or could become, unlawful.⁹⁵⁸

The scope of the opposition clause is not limited to complaints made *to the employer*. Complaints about the employer to others that the employer learns about can be protected opposition. ⁹⁵⁹ Although opposition typically involves complaints to managers, ⁹⁶⁰ it may be a reasonable manner of opposition to inform others of alleged discrimination, including others outside the company including: coworkers, legislatures, <u>newspaper reporters</u>, or "<u>anyone else</u>." ⁹⁶¹ Depending on the circumstances,

⁹⁵⁷ https://ask.apple.com/survey/02cf4a74-465b-4669-ba8b-a6717582bc59

⁹⁵⁸ Enforcement Guidance on Retaliation and Related Issues, August 01, 2016, https://plus.lexis.com/api/permalink/6ee6fa70-59a5-4841-83f4-ffb9a1c6a4be/?context=1530671

⁹⁵⁹ B. Lindemann, P. Grossman, & C. Weirich, *Employment Discrimination Law* 15-20 (5th ed. 2012) (collecting cases). ⁹⁶⁰ *Cf. Crawford*, 555 U.S. at 276 (endorsing the EEOC's position that communicating to one's employer a belief that the employer has engaged in employment discrimination "virtually always" constitutes "opposition" to the activity, and stating that any exceptions would be "eccentric cases"); *see*, *e.g.*, *Minor v. Bostwick Labs.*, *Inc.*, 669 F.3d 428, 438 (4th Cir. 2012) (holding that plaintiff's meeting with a corporate executive to protest a supervisor's direction to falsify time records to avoid overtime was FLSA protected activity).

⁹⁶¹ See Pearson v. Mass. Bay Transp. Auth., 723 F.3d 36, 42 (1st Cir. 2013) (observing that "there is no dispute that writing one's legislator is protected conduct"); Conetta v. Nat'l Hair Care Ctrs., Inc., 236 F.3d 67, 76 (1st Cir. 2001) (ruling that employee's complaints of sexual harassment to coworker who was a son of general manager was protected opposition); Johnson v. Univ. of Cincinnati, 215 F.3d 561, 580 (6th Cir. 2000) (stating that "there is no qualification on . . . the party to whom the complaint is made known," and it may include management, unions, other employees, newspaper reporters, or "anyone else").

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calling public attention to alleged discrimination may constitute reasonable opposition, provided that it is connected to an alleged violation of the EEO laws. ⁹⁶² Opposition may include even activities such as making informal or public protests against discrimination. ⁹⁶³ Most opposition is "reasonable," and conduct must be extreme to become unprotected, such as committing or threatening violence to life or property. ⁹⁶⁴ As with participation, a retaliation claim based on opposition is not defeated merely because the underlying challenged practice ultimately is found to be lawful. ⁹⁶⁵

On August 28 2021, Gjovik expressed OPPOSITION to/about her employer, Apple Inc, in regard to Apple's DISCRIMINATION & HARASSMENT against her, UNFAIR LABOR PRACTICES, and/or Apple's unlawful INVASION into her fundamental, constitutionally protected PRIVACY interests.

"I'm still over here in Apple's time-out chair & they keep telling me to respect my <u>abuser's</u> privacy & be silent. Meanwhile I got 3x of these in the last month since being on leave. NO, <u>APPLE, STOP IT</u>. I can't tell if they're <u>harassing me</u> or just being <u>super intrusive</u> or both."

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⁹⁶² EEOC v. Crown Zellerbach Corp., 720 F.2d 1008, 1014 (9th Cir. 1983) (observing that all actions of opposition to an employer's practices constitute some level of disloyalty, and therefore in order to reach the level of being unreasonable, such opposition must "significantly disrupt[] the workplace" or "directly hinder[]" the plaintiff's ability to perform his or her job); EEOC v. Kidney Replacement Servs., No. 06-13351, 2007 WL 1218770, at *4-6 (E.D. Mich. 2007) (concluding that medical workers engaged in reasonable opposition when they raised their sexual harassment complaints directly to the onsite supervisor at the correctional facility to which their employer had assigned them, even though they were in effect raising a complaint to their employer's customer).

⁹⁶³ Sumner v. U.S. Postal Serv., 899 F.2d 203, 209 (2d Cir. 1990); see also Crown Zellerbach, 720 F.2d at 1013-14 (holding that employer violated Title VII when it imposed disciplinary suspension in retaliation for public protest letter by several employees of an "affirmative action award" given to a major customer; reasoning that even though the letter could potentially harm the employer's economic interests, it was a reasonable manner of opposition because it did not interfere with job performance).

⁹⁶⁴ Enforcement Guidance on Retaliation and Related Issues, August 01, 2016, https://plus.lexis.com/api/permalink/6ee6fa70-59a5-4841-83f4-ffb9a1c6a4be/?context=1530671

⁹⁶⁵ Trent v. Valley Elec. Ass'n, Inc., 41 F.3d 524, 526 (9th Cir. 1994) ("[A] plaintiff [in an opposition case] does not need to prove that the employment practice at issue was in fact unlawful under Title VII... [A plaintiff] must only show that she had a "reasonable belief" that the employment practice she protested was prohibited under Title VII."); see also Berg v. La Crosse Cooler Co., 612 F.2d 1041, 1045 (7th Cir. 1980) ("Limiting retaliation protections to those individuals whose discrimination claims are meritorious would 'undermine[] Title VII's central purpose, the elimination of employment discrimination by informal means; destroy[] one of the chief means of achieving that purpose, the frank and non-disruptive exchange of ideas between employers and employees; and serve[] no redeeming statutory or policy purposes of its own.""). For this reason, if an employer takes a materially adverse action against an employee because it concludes that the employee has acted in bad faith in raising EEO allegations, it is not certain to prevail on a retaliation claim, since a jury may conclude that the claim was in fact made in good faith even if the employer subjectively thought otherwise. Cf. Sanders v. Madison Square Garden, 525 F. Supp. 2d 364, 367 (S.D.N.Y. Sept. 5, 2007) ("[I]f an employer chooses to fire an employee for making false or bad accusations, he does so at his peril, and takes the risk that a jury will later disagree with his characterization."); see also supra note 18.

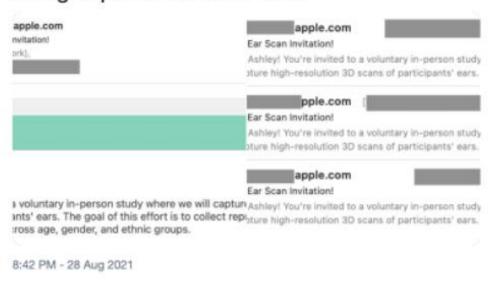
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I'm still over here in #Apple's time-out chair & they keep telling me to respect my abuser's privacy & be silent. Meanwhile, I got 3x of these in the last month since being on leave.

NO, APPLE, STOP IT no 12

I can't tell if they're harassing me or just being super intrusive or both

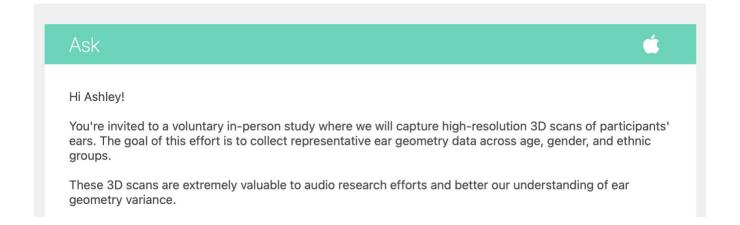


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⁹⁶⁶ *Aug 28 Twitter Post:* https://twitter.com/ashleygjovik/status/1431824501457633283 https://web.archive.org/web/20210829034222/https://twitter.com/ashleygjovik/status/1431824501457633283

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Coercion is not Consent

Companies can process personal data if they obtain either subjects' voluntary affirmative consent to process data for the specific purpose intended or have a legitimate justification. Companies generally cannot rely on blanket consent inserted in an employee contract or handbook to justify either widespread monitoring. Broad consent arguably does not satisfy the GDPR's requirement that the subject affirmatively consent to the specific purpose for which the data will be processed. Consent also must be truly voluntary. As a result, corporations in countries such as Germany and France tend not to rely on consent because employees must be expressly asked for it, must be able to refuse without risk of sanction, and can withdraw it at any time. Moreover, in the corporate investigation context, courts tend to assume that such consent is involuntary because of the imbalance of power between the employer and employee.⁹⁶⁷

U.S. organizations that control or process the personal data of European Union residents likely are subject to the EU's new data protection requirements, the General Data Protection Regulation (GDPR). A common practice in the U.S. is to rely on blanket consent clauses in employment contracts or handbooks that permit employers to process employee personal data. U.S. employers often also rely on implied consent from employees. However, such practices may not be considered valid forms of consent for lawful processing of personal data under the GDPR. The GDPR provides that consent must be "freely given, specific, informed and unambiguous." Moreover, the GDPR adds, consent is

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⁹⁶⁷ ARTICLE: THE LAW OF CORPORATE INVESTIGATIONS AND THE GLOBAL EXPANSION OF CORPORATE CRIMINAL ENFORCEMENT, 93 S. Cal. L. Rev. 697 May 2020

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not "freely given" where a "clear imbalance of power" between the data controller (i.e., employer) and the data subject (i.e., employee) exists. 968

The Article 29 Working Party emphasized the imbalance of power in the employment context: "Given the dependency that results from the employer/employee relationship, it is unlikely that the data subject is able to deny his/her employer consent to data processing without experiencing the fear or real risk of detrimental effects as a result of a refusal. It is unlikely that an employee would be able to respond freely to a request for consent from his/her employer to, for example, activate monitoring systems such as camera-observation in a workplace, or to fill out assessment forms, without feeling any pressure to consent." The Working Party also advises that the imbalance of power in the employment relationship makes voluntary consent questionable and, for most work-related data processing, the GDPR lawful basis relied upon "cannot and should not" be the employee's consent. ⁹⁶⁹

Employee monitoring may result in the collection of non-employees' personal data. The GDPR and the BDSG also apply to the collection, processing, and use of non-employees' personal data. Accordingly, the employer must have a valid legal basis for processing non-employees' personal data and must notify nonemployees about potential personal data collection. ⁹⁷⁰

On Jan 9 2019, McKeon posted to LinkedIn an articled called "Data Collection" about Face ID development at Apple, where he wrote, "Some countries allow data like face images to be collected, but the laws vary. The aim is to not be in any gray zone about data. Face images are considered Personally Identifiable Information (PII), and in the past few years, especially since GDPR, governments have paid particular attention to privacy. China, for example, doesn't allow PII data to be exported. In the US, you can generally collect PII data in public, but in Europe, you can not. Unlike the US, in France and Germany, they don't believe an employee can consent to a user study by their employer that collects

PII data because the simple employee/employer relationship is a form of coercion. Usually, there is some compensation for a user study, but keep in mind, too much compensation could also be seen as financial coercion."

⁹⁶⁸ Is Employee Consent under EU Data Protection Regulation Possible?, Joseph J. Lazzarotti and Maya Atrakchi, February 27, 2018

⁹⁶⁹ Is Employee Consent under EU Data Protection Regulation Possible?, Joseph J. Lazzarotti and Maya Atrakchi, February 27, 2018

⁹⁷⁰ Employee Monitoring (Germany), Resource ID: W-008-3362, HOLGER LUTZ AND SIMONE BACH, BAKER MCKENZIE, WITH PRACTICAL LAW DATA PRIVACY ADVISOR

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The predominant view of the courts, is that consent is not effective if it is not freely given.⁹⁷¹ Consent must be given freely and voluntarily to be valid." ⁹⁷² The general rule should be that an employee does not voluntarily consent if the alternative is termination. ⁹⁷³ Even in the context of initial employment, consent to a particular type of invasion does not mean consent to all varieties of that invasion, reasonable or unreasonable.⁹⁷⁴

CONTRACTS SIGNED BY GJOVIK DURING EMPLOYMENT WITH APPLE WERE COERCED BY APPLE

Multiple GDPR factors invaliding employee to employer consent are present here. First, when Gjovik first responded to the initial email, she had no idea what she consented to/initiated., as it was "vague or unclear." Next, Gjovik has no "clear records to demonstrate they consented," as no receipt was sent and she was never given a copy of the ICF. It appears Apple also no longer has a copy of the ICF, otherwise it seems they would have provided it to Gjovik on Sept 15 or quoted it in their position statement. Next, there was "a clear imbalance of power between [the employer] and the individual," the "employee would be penalized for refusing consent," and "there was no genuine free choice over whether to opt in." Between the general pressure for Apple R&D employees to "live on" new products and software, and to participate in studies, and Gjovik's performance reviews mentioning her participation in these program, but also that barbed wire, compound with armed guards, too.

Next, apparently later some employees were given the option to use the app but not be "whitelisted" so their PII would not be uploaded, but Gjovik was not given this option nor even told it was an option, so "consent was a precondition of a service, but the processing is not necessary for that service." Finally, once Gjovik apparently signed the ICF and after the Gobbler app was installed on her

⁹⁷¹ See Stores, Inc. v. Lee, 74 S.W.3d 634, 647 (Ark. 2002)

⁹⁷² Papa Gino's of America, Inc., 780 F.2d 1067, 1072 (1st Cir. 1986) (applying New Hampshire law; employee contracted away certain rights by accepting employment from employer who forbade drug use, but employer's demand that employee submit to polygraph exceeded scope of employee's consent to allow reasonable investigation into drug use).

⁹⁷³ See Borse v. Piece Goods Shop, Inc., 963 F.2d 611, 625 (3d Cir. 1992) (applying Pennsylvania law and stating that "an employee's consent to a violation of public policy is no defense to a wrongful discharge action when that consent is obtained by the threat of dismissal."); Leibowitz v. H.A. Wintson Co., 493 A.2d 111, 115 (Pa. Super. Ct. 1985) (employee release authorizing polygraph test is invalid when employer requires employee to sign as a condition of continued employment); Polsky v. Radio Shack, 666 F.2d 824, 829 (3d Cir. 1981) (applying Pennsylvania law and stating that "where an employee can show compulsion under threat of job termination to sign a release from liability . . . , the employee need not show duress to invalidate the release because it would contravene Pennsylvania's public policy");

⁹⁷⁴ Frye v. IBP, Inc., 15 F. Supp. 2d 1032, 1041 (D. Kan. 1998)

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phone (surrounded by armed ex-military), she had no way to disable the app, nor was given anyway to withdraw consent. Gjovik had talked to other employees about the app with similar concerns over the years. Thus, the "consent' was invalid because Apple "did not tell people about their right to withdraw consent" and "people cannot easily withdraw consent." ⁹⁷⁵

While living on was often an actual responsibility of a role in Gjovik's Software Engineering team, she continued to feel pressure in Hardware Engineering as well. One example, in Gjovik's 2019 annual performance review, Powers wrote, "She is an amazing bug finder. Everything she touches seems to break. Said one person, "I'm impressed on ability to find bugs. It's odd that she just goes about her work yet finds so many panics/hangs on hardware that we say is solid." It helps that she's constantly living on as many unreleased products as she can, and is diligent about filing bugs. Good stuff! "976 There were many comments like this.

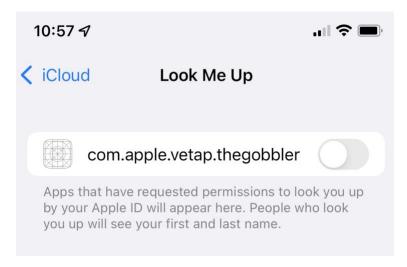
In 2019, Gjovik was injured by her prototype iPhone. She notified the senior manager in Dan Wests org who managed development iPhone quality, Reed Johnson, telling him her iPhone "flashed a really bright white light" at her and that it "hurt her eyes." Johnson asked her to report the issue to a Safety team, which she did, and the team took the iPhone from her for failure analysis. The Safety team never told her what happened, but their vague response after testing the device led Gjovik to believe the lasers may have malfunctioned, burning her eyes. The Safety team did not offer any medical evaluation or assistance for Gjovik. There was also no information provided if the "Glimmer/Gobbler" application may have contributed to the injury. Gjovik still found no way disable the app. In fact, as discussed, even after Gjovik was fired, Gobbler was still attempting to access her personal phone.

⁹⁷⁵ Information Commissioner's Office Consultation: GDPR consent guidance Start date: 2 March 2017 End date: 31 March 2017

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⁹⁷⁶ Apple Inc, Ashley Gjovik 2019 Annual Review

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After Gjovik was fired; on her fully personal iPhone

Unconscionability

A contract is unconscionable if one of the parties lacked a meaningful choice in deciding whether to agree and the contract contains terms that are unreasonably favorable to the other party. 977

Under this standard, the unconscionability doctrine "'has both a procedural and a substantive element."

The procedural element addresses the circumstances of contract negotiation and formation, focusing on oppression or surprise due to unequal bargaining power. Substantive unconscionability pertains to the fairness of an agreement's actual terms and to assessments of whether they are overly harsh or one-sided." 978 A contract's substantive fairness "must be considered in light of any procedural unconscionability" in its making. 979 "The ultimate issue in every case is whether the terms of the contract are sufficiently unfair, in view of all relevant circumstances, that a court should withhold enforcement." 980

A procedural unconscionability analysis "begins with an inquiry into whether the contract is one of adhesion." ⁹⁸¹ An adhesive contract is standardized, generally on a preprinted form, and offered by the party with superior bargaining power "on a take-it-or-leave-it basis." ⁹⁸² The pertinent question is

⁹⁷⁷ *Sonic II, supra*, 57 Cal.4th at p. 1133.)

⁹⁷⁸ (*Pinnacle*, *supra*, 55 Cal.4th at p. 246.)

⁹⁷⁹ Sanchez v. Valencia Holding Co., LLC (2015) 61 Cal.4th 899, 912 [190 Cal. Rptr. 3d 812, 353 P.3d 741]

⁹⁸⁰ OTO, L.L.C. v. Kho, 8 Cal. 5th 111, 125-26, 251 Cal. Rptr. 3d 714, 725-26, 447 P.3d 680, 689-90 (2019)

⁹⁸¹ Armendariz, supra, 24 Cal.4th at p. 113

⁹⁸² Baltazar v. Forever 21, Inc. (2016) 62 Cal.4th 1237, 1245 [200 Cal. Rptr. 3d 7, 367 P.3d 6] (Baltazar); see Armendariz

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whether circumstances of the contract's formation created such oppression or surprise that closer scrutiny of its overall fairness is required. 983

"The circumstances relevant to establishing oppression include, but are not limited to (1) the amount of time the party is given to consider the proposed contract; (2) the amount and type of pressure exerted on the party to sign the proposed contract; (3) the length of the proposed contract and the length and complexity of the challenged provision; (4) the education and experience of the party; and (5) whether the party's view of the proposed contract was aided by an attorney." ⁹⁸⁴

Employees who have worked in a job for a substantial length of time have likely come to rely on the benefits of employment. For many, the sudden loss of a job may create major disruptions, including abrupt income reduction and an unplanned reentry into the job market. In both the prehiring and posthiring settings, courts must be "particularly attuned" to the danger of oppression and overreaching. 985

Both Ear Canal Scanning & the "Gobbler" Application are both Highly Offensive to the Reasonable Person

The roots of American common-law privacy protections are generally attributed to the "right to be let alone," which Warren and Brandeis described as "the right of determining, ordinarily, to what extent [a person's] thoughts, sentiments, and emotions shall be communicated to others." The tort of wrongful employer intrusion upon a protected employee privacy interest is an application to the employment relationship of the intrusion-upon-seclusion tort developed in Restatement Second, Torts § 652B and adopted by most jurisdictions. 987 The intrusion tort runs against a person who "intentionally intrudes, physically or otherwise, upon the solitude or seclusion of another" if the intrusion would be "highly offensive to a reasonable person." 988

⁹⁸³ See *Baltazar*, at pp. 1245–1246; *Farrar v. Direct Commerce, Inc.* (2017) 9 Cal.App.5th 1257, 1267–1268 [215 Cal. Rptr. 3d 785].)

^{984 (}Grand Prospect Partners, L.P. v. Ross Dress for Less, Inc. (2015) 232 Cal.App.4th 1332, 1348 [182 Cal. Rptr. 3d 235], 985 Armendariz, at p. 115; see Baltazar, supra, 62 Cal.4th at p. 1244; OTO, L.L.C. v. Kho, 8 Cal. 5th 111, 126-27, 251 Cal. Rptr. 3d 714, 726-27, 447 P.3d 680, 690-91 (2019)

⁹⁸⁶ Samuel D. Warren & Louis D. Brandeis, *The Right to Privacy*, 4 Harv. L. Rev. 193, 195, 198 (1890)

⁹⁸⁷ Restatement of the Law, Employment Law, § 7.01, Employee Right of Privacy

⁹⁸⁸ Restatement of the Law, Employment Law, § 7.01, Employee Right of Privacy

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It applies not only to intrusions by those who have no preexisting relationship with the injured person but also to unwarranted, offensive intrusions by parties who have ongoing contacts with each other, such as those in the employment relationship. ⁹⁸⁹ In some states, courts have prohibited or regulated certain methods of information collection through the public-policy tort. ⁹⁹⁰ The public policy at issue in these cases is the common-law protection from privacy invasions or specific statutes prohibiting certain invasions, such as anti-polygraph statutes. ⁹⁹¹

An employer is subject to liability for a wrongful intrusion upon an employee's protected privacy interest if the intrusion would be highly offensive to a reasonable person under the circumstances. ⁹⁹² The "highly offensive" test is derived from the intrusion-upon-seclusion tort as established in § 652B of the Restatement Second, Torts. The intrusion has to be "a substantial one, of a kind that would be highly offensive to the ordinary reasonable man, as the result of conduct to which the reasonable man would strongly object."

Responses to Gjovik's disclosures included, but were not limited to:

- "Excuse me WHAT" 994
- "This is creepy. I don't have words to express what is running through my head. "995
- "Straight up abusive and creepy behavior how can deployed iOS devices even run stuff like this?" ⁹⁹⁶
- "Whatttt" 997
- "What the hell...."998

⁹⁸⁹ Restatement of the Law, Employment Law, § 7.01, Employee Right of Privacy

⁹⁹⁰ See *Perks v. Firestone Tire & Rubber Co.*, 611 F.2d 1363, 1366 (3d Cir. 1979) (holding that "a cause of action exists under Pennsylvania law for tortious discharge" if the discharge resulted from a refusal to submit to polygraph examination, in violation of Pennsylvania's anti-polygraph statute); *Cordell v. General Hugh Mercer Corp.*, 325 S.E.2d 111, 117 (W. Va. 1984) (holding that termination for refusal to submit to polygraph test was a wrongful termination in violation of public policy); Hennesey v. Coastal Eagle Point Oil Co., 609 A.2d 11 (N.J. 1992) (public policy provides restrictions on employer drug testing); Borse v. Piece Goods Shop, Inc., 963 F.2d 611, 626 (3d Cir. 1992) (applying Pennsylvania law; holding that "dismissing an employee who refused to consent to urinalysis testing and to personal property searches would violate public policy if the testing tortiously invaded the employee's privacy"); Baughman v. Wal-Mart Stores, Inc., 592 S.E.2d 824 (W. Va. 2003) (public policy prohibits drug testing of incumbent employees without good-faith objective suspicion of drug use or safety considerations).

⁹⁹¹ Restatement of the Law, Employment Law, § 7.01, Employee Right of Privacy

⁹⁹² Restatement of the Law, Employment Law, § 7.06, Wrongful Employer Intrusions

⁹⁹³ Restatement Second, Torts § 652B, Comment *d.*; *Restatement of the Law, Employment Law,* § 7.06, Wrongful Employer Intrusions, *Comments*

⁹⁹⁴ https://web.archive.org/web/20210830182052/https:/twitter.com/ashleygjovik/status/1432400136471072769

⁹⁹⁵ https://web.archive.org/web/20210830182052/https:/twitter.com/ashleygjovik/status/1432400136471072769

⁹⁹⁶ https://web.archive.org/web/20210830182052/https:/twitter.com/ashleygjovik/status/1432400136471072769

⁹⁹⁷ https://web.archive.org/web/20210830182052/https:/twitter.com/ashleygjovik/status/1432400136471072769

⁹⁹⁸ https://web.archive.org/web/20210830182052/https:/twitter.com/ashleygjovik/status/1432400136471072769

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- "Ah, but does the employee handbook say workers are human?" ⁹⁹⁹
- "I've heard a manager say we don't have civil rights as employees" 1000
- "if anyone talks about apple privacy, show them this" 1001
- "No, just no." 1002
- This entire article is.. wow. ¹⁰⁰³
- Because privacy is a fundamental human right* * that you need to give up to work for the company that cares so much about privacy. 1004
- [inserte su referencia a 1984 aquí] 1005
- This is terrible and so bothersome on many levels. ¹⁰⁰⁶
- Ouel enfer... ¹⁰⁰⁷

No Warnings or Risk Mitigation

In in four years of Gjovik's last role at Apple, Gjovik was responsible for ensuring confidentiality, secrecy, and security compliance across David Powers organization as well as with Dan West's management team. Gjovik was looked to as a guide on Apple's compliance policies on these matters, and even developed a FAQ page for the organizations employees, working closely with New Product Security and Software Security teams to write the content. Gjovik had to report her own management to the New Product Security team (informally) several times for violating Apple's confidentiality rules including sharing details of highly secret new hardware and software projects with those who were not "disclosed" on them per Apple policy and process. Gjovik was often looked to by her teammates to weigh in on whether something was confidential or not.

As Apple notes in their position statement, Gjovik had access to an enormous amount of highly sensitive, highly confidential internal information.

Ms. Gjovik Worked at Apple for Six Years in a Role That Furnished Her Access to Highly Confidential Apple Product Information – Which She Agreed to Protect.

As a Senior Engineering Program Manager, Ms. Gjovik had access to proprietary and trade secret information about unreleased products and features under development, which was shared internally only with those who had a business need to know. 1008

⁹⁹⁹ https://twitter.com/ashleygjovik/status/1432381235926499332

¹⁰⁰⁰ https://twitter.com/ashleygjovik/status/1432381235926499332

¹⁰⁰¹ https://twitter.com/verge/status/1432381006670147587

¹⁰⁰² https://twitter.com/verge/status/1432381006670147587/retweets/with_comments

¹⁰⁰³ https://twitter.com/verge/status/1432381006670147587/retweets/with_comments

¹⁰⁰⁴ https://twitter.com/verge/status/1432381006670147587/retweets/with comments

¹⁰⁰⁵ https://twitter.com/verge/status/1432381006670147587/retweets/with_comments

¹⁰⁰⁶ https://twitter.com/verge/status/1432381006670147587/retweets/with_comments

 $^{^{1007}\} https://twitter.com/verge/status/1432381006670147587/retweets/with_comments$

¹⁰⁰⁸ Apple's US Dept of Labor position statement

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Up until a few hours before she was fired, Gjovik had access to future product roadmaps; unreleased hardware/product design and configuration; future operation system source code; access to all Research & Development finance ordering accounts at the company, including the executive office; 1009 information on the manufacturing process and supply chain; competitive analysis; product pricing information; product launch dates; marketing plans; customer feedback and usage trends; access to future software features and projects; access to submit code to the OS releases and view what others submitted.

Gjovik also worked for Apple Legal as an intern and project manager in the summer of 2019, during which time (and probably still in September) she had access to all of Apple's external software-& hardware-related contracts (with Google, Intel, etc); legal strategy around SLAs; open-source software usage, and employee legal training; and other confidential attorney work product. 1010

Gjovik also worked in a role in 2016 managing software failure analysis for all of Apple's new product launches (iPhone, iPad, Mac, etc). In this role, Gjovik was given access to customer purchase data; product sales data; marketing strategy; customer surveys responses; customer devices and logs; AppleCare support call logs. Gjovik was invited to "closed door meetings" with members of the executive team, to discuss the strategy to address highly sensitive customer hardware and software failures.

Gjovik has not breached and will not breach her duty to keep the above information confidential.

Despite Apple claiming they fired Gjovik for "leaking" confidential information, during those 10-12 days following her supposed "leaks," Gjovik said, "the company made no attempt to keep her from viewing any sensitive data. 'I hadn't lost any of my account access. I still had access to the next four years of the Mac roadmap. I still had access to source code for future releases. I still had access to concept review documents. "1011 One would think if Apple actually thought Gjovik unlawfully leaked

¹⁰⁰⁹ See Evidence Files: Email from/to Jackie Franks and Tammy Davis on March 9 2017

¹⁰¹⁰ Restatement Third, The Law Governing Lawyers § 109

¹⁰¹¹ Gizmodo, https://gizmodo.com/apple-wanted-her-fired-it-settled-on-an-absurd-excuse-1847868789

CASE: APPLE INC./GJOVIK/9-3290-22-051

information, they would have removed that access immediately. Or sent some sort of warning. Anything. But, there was nothing until an email with no subject line from a Workplace Violence interrogator on Sept 9th.

Gjovik understands her responsibilities under the IPA and has followed the agreement diligently, and has also tried to ensure others do as well, including superiors. In Gjovik's annual performance review feedback to West about Powers in the fall of 2020, she wrote about continued concerns about Powers following his IPA obligations:

"Dave still struggles with security and confidentiality rules and procedure. Not only does he outright resist &/or forget it exists, but he still gives me criticism when I try to ensure we follow the rules provided from NPS, etc. This came up again last year with the issue I raised to you about Jane/ Megan & the very secret project. I thought I got him back on the rails, but looking at my emails from when I was gone, he went back and did it again, despite explicit instructions from NPS to not do what he did. I've explained to him that EPMs are expected to always be the adults in the room, and that I will be held accountable for his actions around secrecy if I'm aware of what he's doing. He still pushes back on me and appears angry when I bring it up.

Gjovik was speaking of Powers behavior during the (non public) early phase of Apple's transition from Intel to Apple silicon for Mac computers (a verry, very secret phase of the project), and Powers frequent deviations from explicit NPS instructions on the matter. Further, Gjovik had also expressed concerns about West's compliance with his IPA to Apple NPS in the past, including but not limited to when West shared the entire future iPad development roadmap with his extended management team without disclosures or need to know. Gjovik reported this issue to NPS and met with them to discuss it. To Gjovik's knowledge, no one followed up with West. (Come to find out, the Global Security manager Gjovik had met with, Scott Nishi, used to manage executive protection at Northrop Grumman before joining Apple.)¹⁰¹²

Another incident was when West wanted to allow all of his employees to bring their (non-employee) families on site into the team's secure lockdowns (a very unusual thing to do with Apple's secrecy policies, and West's team working on prototype hardware). West and Powers were quite laissefaire about allowing family members to see internal product information, and only insisted the families did not see "prototype hardware." Gjovik argued with her managers fervently and influenced them to ensure the family members did not see or have access to any internal product information.

¹⁰¹² https://www.linkedin.com/in/scott-nishi-963591109/

CASE: APPLE INC./GJOVIK/9-3290-22-051

However, through the years, West and Powers continued to press on Gjovik that Apple's secrecy rules don't apply to them.

IV. Pretext

In proving pretext, the complainant shows that the employer's explanation is a 'phony reason.' An employee may offer evidence "that the employer's proffered explanation is unworthy of credence." Without direct evidence of pretext, the plaintiff must prove pretext indirectly by showing one of the following: (1) Defendant's explanation of Plaintiff's discharge had no basis in fact, or (2) the explanation was not the 'real' reason, or (3) at least the reason stated was insufficient to warrant the allegedly discriminatory action. The evidence may include, for example, suspicious timing, verbal or written statements, comparative evidence that a similarly situated employee was treated differently, falsity of the employer's proffered reason for the adverse action, or any other pieces of evidence which, when viewed together, may permit an inference of retaliatory intent. ¹⁰¹⁶

Adverse actions retaliatory can be found when the employer engages in a bad faith or a sham internal investigation against an employee after the employee blew the whistle about conduct. Pretext was found when a defendant launched investigation into allegedly improper conduct by plaintiff shortly after she engaged in protected activity. ¹⁰¹⁷ The offered reason for a termination "distracting other workers" was found to be pretext when it was not mentioned in the termination notice and the employer had two opportunities to stop the distraction but did not act. ¹⁰¹⁸

Actions related to the continued processing of a complaint may remind an employer of its pendency or stoke an employer's animus. Moreover, an opportunity to engage in a retaliatory act may not arise right away. In these circumstances, a materially adverse action might occur long after the

Page 338 of 380

¹⁰¹³ USDOL/OALJ Reporter (HTML) at 8, quoting *Kahn v. U.S. Secretary of Labor*, 64 F.3d 271, 277 (7th Cir. 1995), citing *Pignato v. Am. Trans Air, Inc.*, 14 F.3d 342, 349 (7th Cir. 1994).; Gale v. Ocean Imaging, ARB No. 98 143, ALJ No. 1997 ERA 38 (ARB July 31, 2002),

¹⁰¹⁴ Davis v. Team Elec. Co., 520 F.3d 1080, 1091 (9th Cir. 2008); Cornwell, 439 F.3d at 1028 (quoting Texas Dep't of Cmty. Affairs, 450 U.S. at 256)

¹⁰¹⁵ Lenoir v. Roll Coater, Inc., 13 F.3d 1130, 1133 (7th Cir. 1994) (citing Smith v. General Scanning, Inc., 876 F.2d 1315, 1319 (7th Cir. 1989)). Johnson v. Nordstrom, Inc., 260 F.3d 727, 732 (7th Cir. 2001)

¹⁰¹⁶ Ortiz, 2016 WL 4411434, at *34. Hossaini v. W. Mo. Med. Ctr., 97 F.3d 1085, 1089 (8th Cir. 1996)

¹⁰¹⁷ Hossaini v. W. Mo. Med. Ctr., 97 F.3d 1085, 1089 (8th Cir. 1996)

¹⁰¹⁸ Priest v Baldwin Associates, 84-ERA-30 (Sec'y June 11, 1986),

Ехнівіт І

IMPORTANT DATES:

Aug. 4 2021 - Apple puts Gjovik on indefinite administrative leave.

Aug. 12 2021 – Court decision in favor of employee in *Schulze v. Apple* (a different employment case with these same attorneys).

Aug. 12 2021 - Gjovik files an EEOC complaint against Apple.

Aug. 12 2021 - Beezie Wacks (Twitter account) appears.

Aug. 21 2021 - Mel Nayer (Twitter account) appears.

Aug. 26 2021 - Gjovik files a NLRB charge against Apple.

Aug. 29 2021 - Apple claims it started investigating Gjovik.

Sept. 9 2021 - Apple fires Gjovik.

Sept. 15 2021 – Appleseed files a complaint and Apple's counsel (OMM) email Gjovik to complain about her social media posts.

Sept. 16 2021 - I'mPinkThereforeI'mSpam (Twitter account) appears.

Dec. 10 2021 - U.S. Dept. of Labor case docketed.

Jan. 7 2022 - Orrick files Notice of Rep. for Apple in the U.S. Dept of Labor case.

Jan. 10 2022 - Gjovik files third NLRB charge against Apple.

Jan. 10 2022 - FirstNameBunchofNumbers (Twitter account) appears.

Jan. 15 2022 – Further Parthing (Twitter account) appears.

Jan. 31 2022 - Appleseed sues Gjovik.

Feb. 5 2022 - Appleseed threatens Gjovik to withdraw testimony & evidence.

March 1 2022 - Gag order issued against Gjovik by the state of Washington.

March 4 2022 - Orrick files its position statement to U.S. Dept of Labor.

March 4 2022 – A law firm, assumably Orrick, picks up a copy of the gag order issued against Gjovik on March 1 2022.

March 2022 - Twitter accounts go inactive.

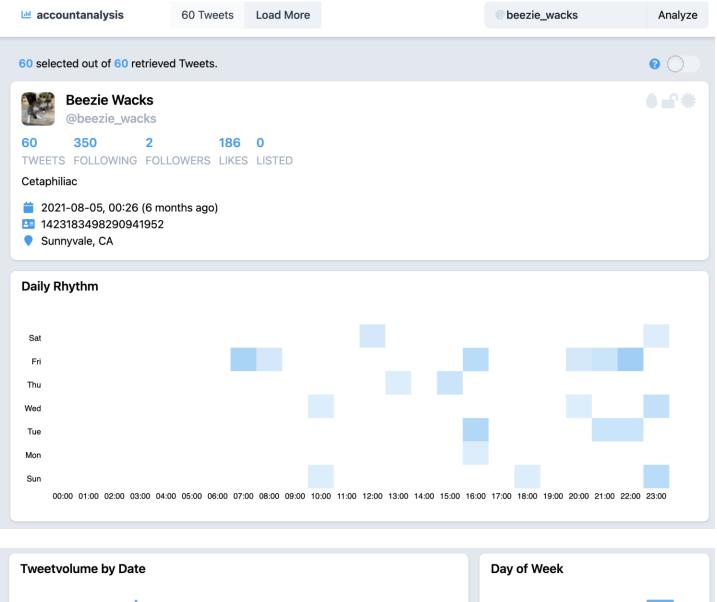
Nov. 14 2022 - Gag order against Gjovik is reversed following successful appeal.

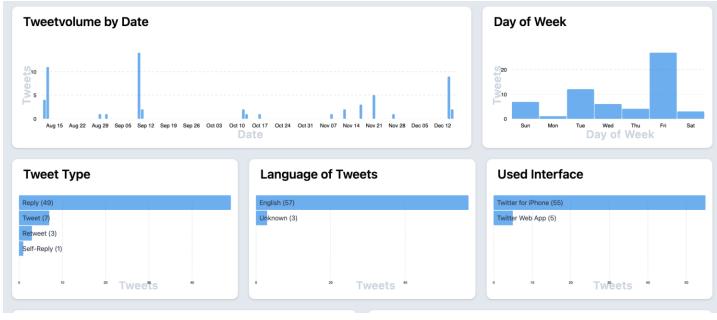
Nov. 17 2022 - Orrick lawyers report Gjovik's OSHA complaint to OSHA as "leaking."

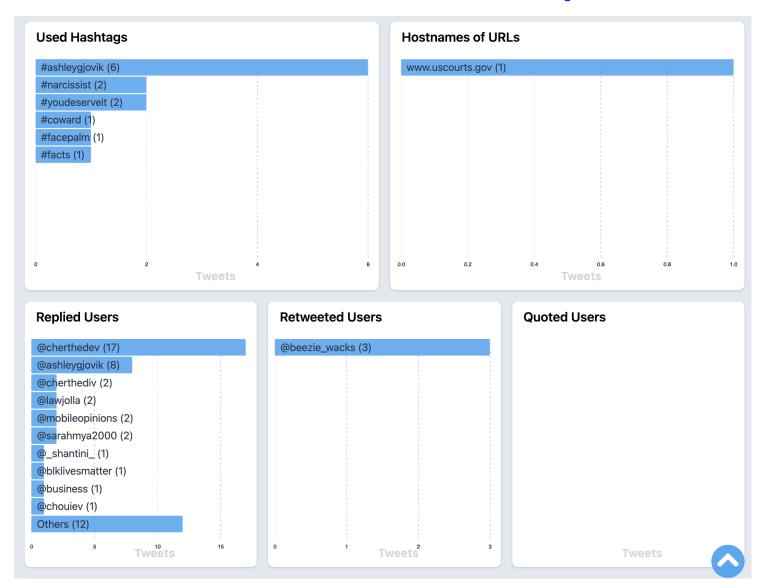
Jan. 23 2023 – NLRB finds in favor of Gjovik on the unlawful work policies & NDAs charges.

Jan. 26 2023 - Appleseed testifies against Gjovik to U.S. Dept of Labor.

Beezie Wacks (@beezie_wacks)











Ashley M. Gjøvik @ashleygjovik · Aug 13

I'm fairly sure EEOC only applies if you're my employer. Are you trying to tell me something, Beezie?

Tim, is that you?



Beezie Wacks @beezie_wacks · Aug 13

Replying to @ashleygjovik

Wow. Gaslight, much? Two posts, one asking about your execution of confidentiality is "harassment?" eeoc.gov/harassment - do provide.

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Beezie Wacks @beezie_wacks · Aug 13



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▲ Tip



Ashley M. Gjøvik @ashleygjovik · Aug 13

You created a Twitter account just to harass me... I think you mean "we pay you too much," Beezie.



Beezie Wacks @beezie_wacks · Aug 13

Replying to @ashleygjovik

Clearly, they paid you too much for tweeting.

Q 1 17 0 9 ▲ Tip

Show more replies



Ashley M. Gjøvik @ashleygjovik · Aug 13

Replying to @beezie_wacks

You sure know a lot about employment law, Beezie.

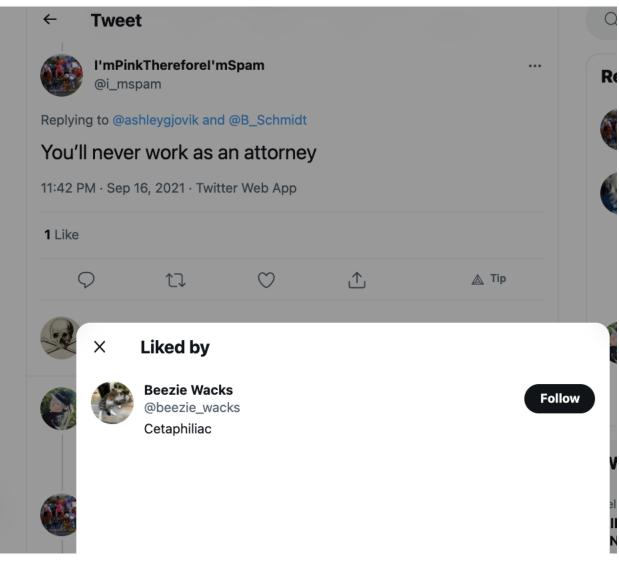
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▲ Tip







Beezie Wacks @beezie_wacks · Sep 10

Did you ever notice that #ashleygjovik would block or disparage anyone who disagreed with her and accuse them of bullying? #classicnarcissist #narcissist #abuse #blockedbyashley

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▲ Tip



Beezie Wacks @beezie_wacks · Sep 10

#ashleygjovik how many times did you retweet your quotes about his devastated you are to lose you job at the company you loved so much as a G3-using child? Do you love yourself that much that you set a new bar for narcissism? #ashleythenarcissist

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▲ Tip



Beezie Wacks @beezie_wacks · Sep 10

What's been a travesty is seeing all the people who joined #ashleygjovik in her narcissistic rage fest. What good has she done for humanity other than demonstrate that behaving like a child in the Twitterverse gets you fired? Thanks for the lesson!

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▲ Tip



What's been a travesty is seeing all the people who joined #ashleygjovik in her narcissistic rage fest. What good has she done for humanity other than demonstrate that behaving like a child in the Twitterverse gets you fired? Thanks for the lesson!

7:36 AM · Sep 10, 2021 · Twitter for iPhone



Beezie Wacks @beezie_wacks · Sep 10

What's been a travesty is seeing all the people who joined #ashleygjovik in her narcissistic rage fest. What good has she done for humanity other than demonstrate that behaving like a child in the Twitterverse gets you fired? Thanks for the lesson!

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▲ Tip

Promoted Tweet



Beezie Wacks @beezie_wacks · Sep 10

••

#ashleygjovik the world is both pandering to you and also reaming you. This sounds about right. #narcissist #youdeserveit #coward



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▲ Tip



Beezie Wacks @beezie_wacks · Sep 10

#ashleygjovik was such a tool..used by others to make a point, and by her own narcissistic ego to be flagellated by her inner child. It's a very sad way to live.

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▲ Tip



Beezie Wacks @beezie_wacks · Sep 11

Hey @ashleygyovik you went to law school and achieved a high GPA with such diligence at @SantaClaraUniv So how supported were you at work? #ashleygyovik

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▲ Tip



@_shantini_ You're brilliant. About A: The company gave her paid leave, she wasn't satisfied. She wanted an investigation, she wasn't satisfied. Seemed like she wanted to control her employer. #narcissist #toxic

4:38 PM · Sep 10, 2021 · Twitter Web App

Reply

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Mel Nayer (@mel_nayer, Twitter)

Tweet your reply

Replying to @mel_nayer

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Ashley M. Gjøvik @ashleygjovik · 19s

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pressuring me to destroy evidence. F all of that.



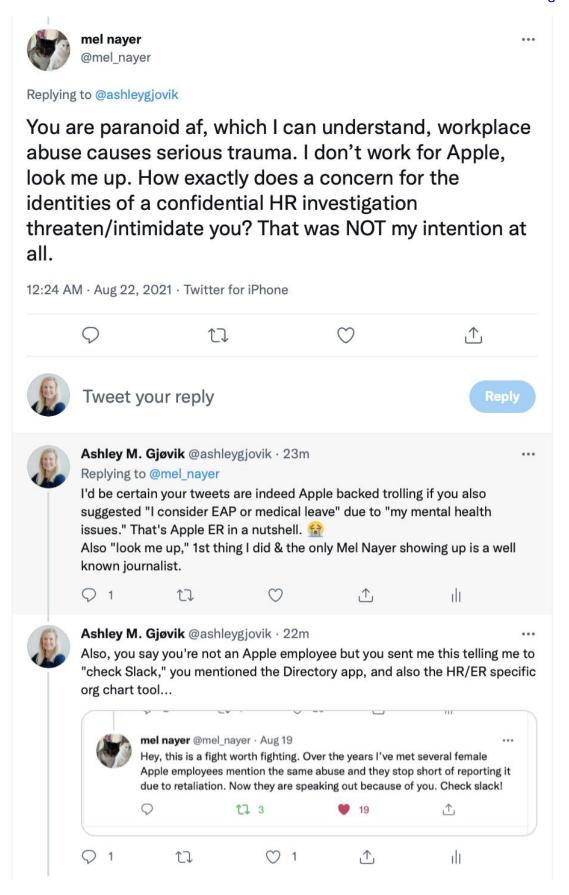
Thank you for deleting the Tweet. No I will not be deleting the screenshots of your Tweets. Also, if it does come out that you're working for Apple in some way, you have not only threatened me, intimidated me, but you are also

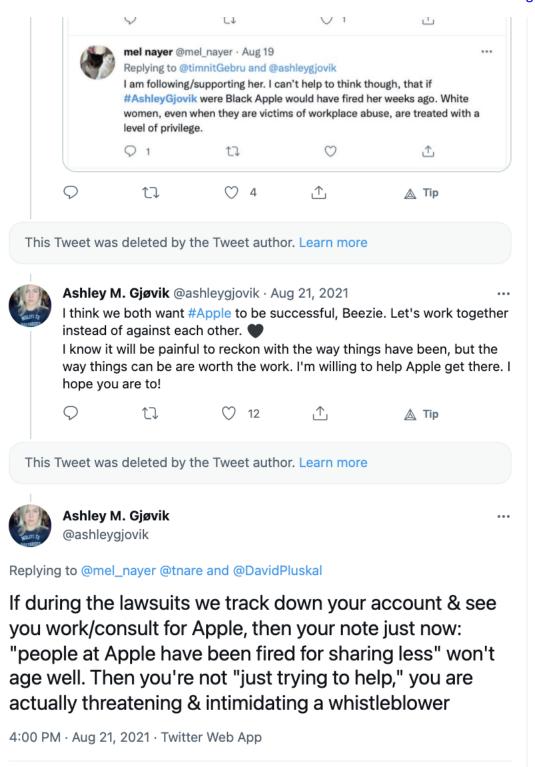
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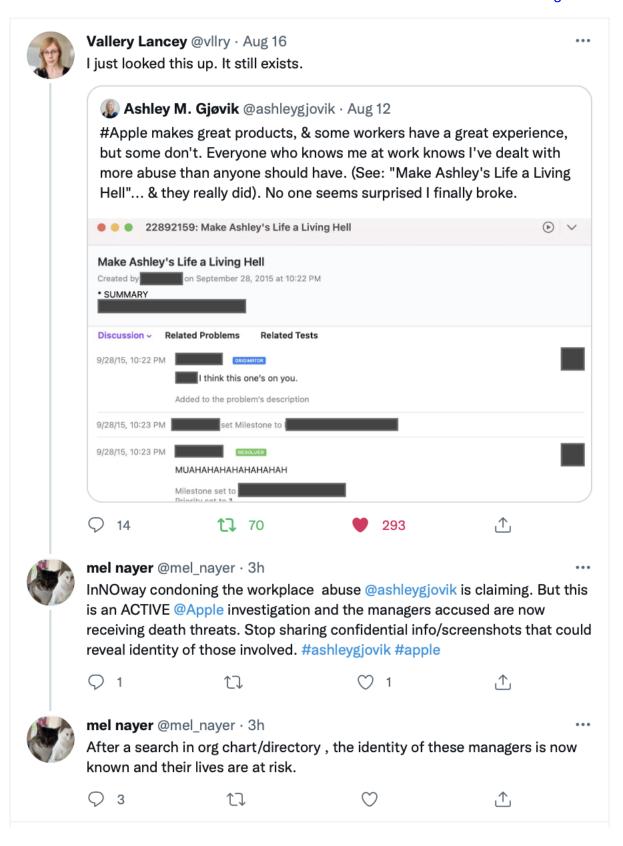
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2 Retweets

18 Likes



First Name (@FirstNa47437596)

FirstnameBunchofnumbers (

151 Tweets





\ame: Gjovik, Ashley Marie - Respondent

Follow

FirstnameBunchofnumbers

@FirstNa47437596

@ me if you are being personally victimized by Ashley Gjovik and I'll post screenshots of it here.

iii Joined June 2021

O Following O Followers

Tweets Tweets & replies Media Likes



Pinned Tweet



FirstnameBunchofnumbers @FirstNa47437596 · 14h

Since I'm not affiliated with any parties in any cases, I'm just gonna go ahead and park her abusive tweets here, just in case anyone wants to take action on their own behalf and others she is suing are forced to delete proof of her bad behavior.



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API

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FAQ

Publications

Bot Repo

Lit

@EvacuateBayArea
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@FirstNa47437596 1

m King District Court - Kcdc



Screen name @FirstNa47437596
Display name FirstnameBunchofnumbers
Description Fuck Apple. Ashley's a bitch.

Location

URL Date joined

Thu Jun 24, 2021 Sun Feb 13, 2022

Most recent post Sun Feb 13, 2022

Twitter user ID 1408219697108058113

Tweet language en **Recent tweets per week** 3.6

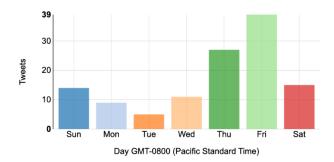
Retweet ratio 10%

Followers 0 Likes 1 Lists 0

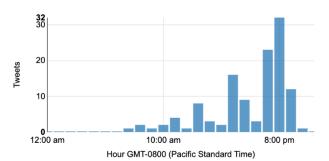
Tweets

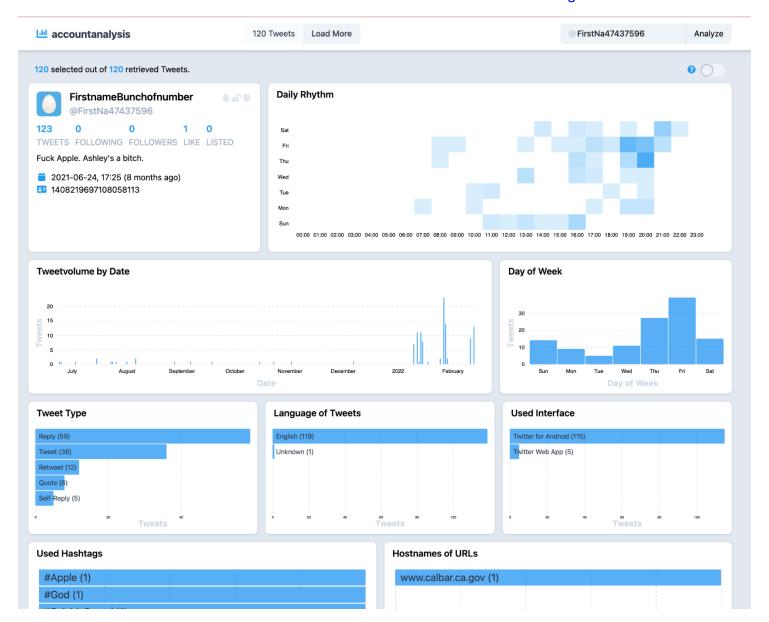
Following

Tweets by day of week Last 120 tweets

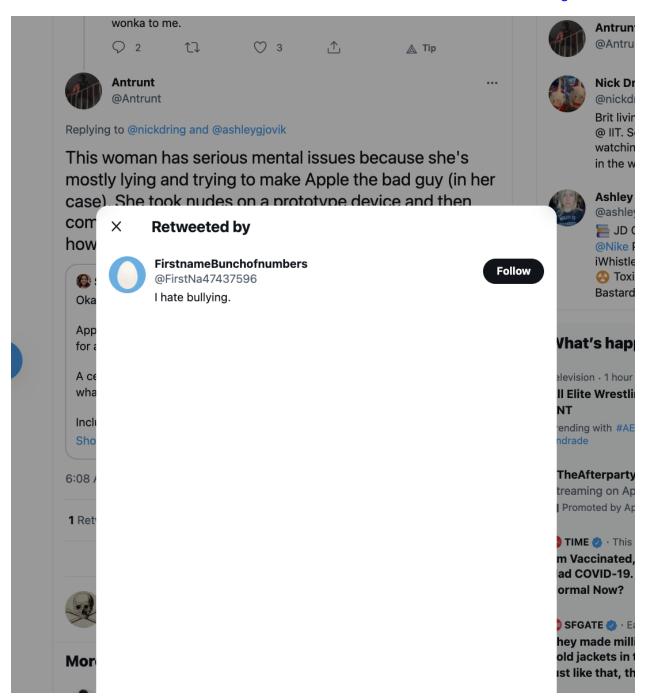


Tweets by hour of day Last 120 tweets











I don't care about any company. I'm here for the personal attacks.

9:32 AM \cdot Feb 20, 2022 \cdot Twitter for Android









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1:55 PM · Feb 13, 2022 · Twitter for Android

zero context. He's going to get a huge libel payday from you guys.

A. Tip.

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0	FirstnameBunchofnumbers @FirstNa47437596 · Feb 13 *** If Apple turns people into crazies like Ashley Gjovik then they need to shut that shit down NOW								
	Q 1	tı	♡ 1		<u></u> Tip				
0			rs @FirstNa474 bad, that wom		13	137			
	9	17.	♡ 1	企	<u></u> Tip				
0	FirstnameBunchofnumbers @FirstNa47437596 · Feb 13 You know what's so interesting? How one manages to live so comfortably in such an "expensive" area w/plenty of extra funds for wasting lawyers time, no money woes, and no desire to ever get another job. Who's really funding this? Let's see, who is Apple's biggest competitor?								
	\Diamond	tl	\bigcirc	Î	<u> </u>				
0	FirstnameBunchofnumbers @FirstNa47437596 · Feb 13 *** Posting random stats about my account does nothing except make you look like a nut ball with matchbox sign								
	\Diamond	t,	\bigcirc	₾	<u> </u>				
ta P	FirstnameBunchofnumbers Retweeted @ @600nanometer · Feb 13 *** Replying to @FirstNa47437596 and the "clue" that she needed to be targeted: radio silence after ashley published a 300 page paranoia report of all her online interactions.								
	i guess if you don't endorse the conspiracy theory, you're a co-conspirator.								
	Q 1	17, 1	♡ 1	₾	<u>A</u> Tip				
0	The only acc				13 ho are intereste	d in			
	\Diamond	tī.	♡ 1	1	<u></u> Tip				



FirstnameBunchofnumbers @FirstNa47437596 · Mar 2





Ashley M. Gjøvik a

@ashleygjovik

Apple & Northrop Grumman Safety Whistleblower | Ashley Gjovik v Apple Inc (SOX, CERCLA, OSHA, CA DoL, & NLRB) | X Nolite te Bastardes | Carborundorum

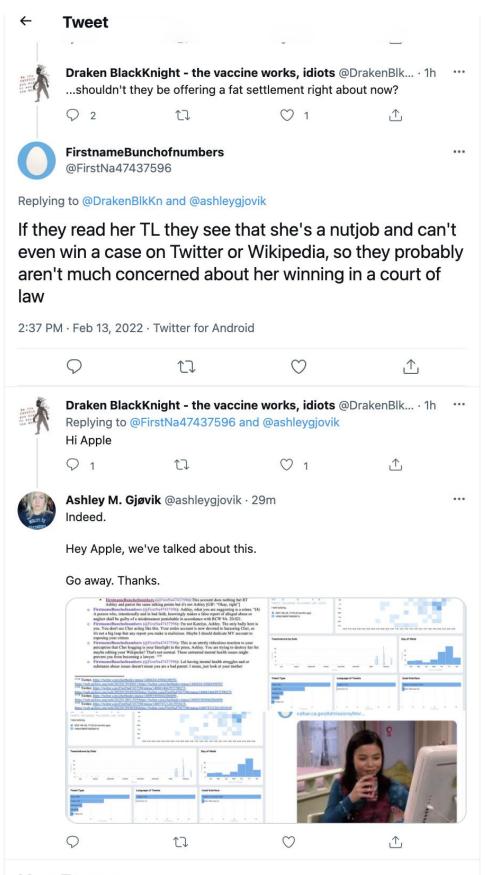
- Gagged Gadfly n the Plutocracy
- & linktr.ee/ashleygjovik III Joined March 2021

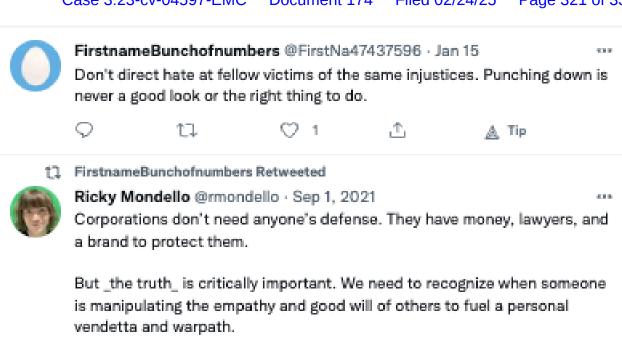
1,692 Following 16.9K Followers

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These Tweets are protected.

1.







Okay at the risk of "gestures wildly at Twitter"

Apple is a big company. It's got some problems. But it's a great place to work for a lot of people.

A certain employee has made it their mission to bring down Apple through whatever means necessary.

Including straight LIES.

Show this thread

(*) 143 ıΦ₁... ŤT. 14. A. Tip

Show this thread

I'mPinkThereforeI'mSpam (@i_mspam, Twitter)'



Replying to @asdasrev and @ashleygjovik

At-will employment. Any reason or no reason.

8:31 AM · Sep 18, 2021 · Twitter Web App





Ashley M. Gjøvik @ashleygjovik · Sep 16, 2021

The stories I've heard from retail are HORRIFIC. There needs to be a reckoning... and it needs to start with #Apple retail and AppleCare. It sounds like the things of nightmares over there.

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▲ Tip



$\textbf{I'mPinkThereforeI'mSpam} \ @i_mspam \cdot Sep \ 16, \ 2021$

You'll never work as an attorney

violence section of the memo.

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CrissNovak (Reddit)

Single comment thread see iuii discussion



crissnovak • 4y ago • Edited 4y ago

Shantini is my hero, best take on "A" with over 200+ ♥ s. ALOT seem to agree: entitled, obnoxious, toxic, vindictive employee. To me, zero credibility. I would not even want to be on the same sidewalk with that. Why Zoë continues to give "A" the time of day, I don't know, and I've lost all respect for her as a journalist. It's not newsworthy, it's a dumpster fire.

https://twitter.com/shantini/status/1433270352919072771?s=20

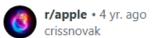












Ashley Gjøvik fired by Apple.

Discussion

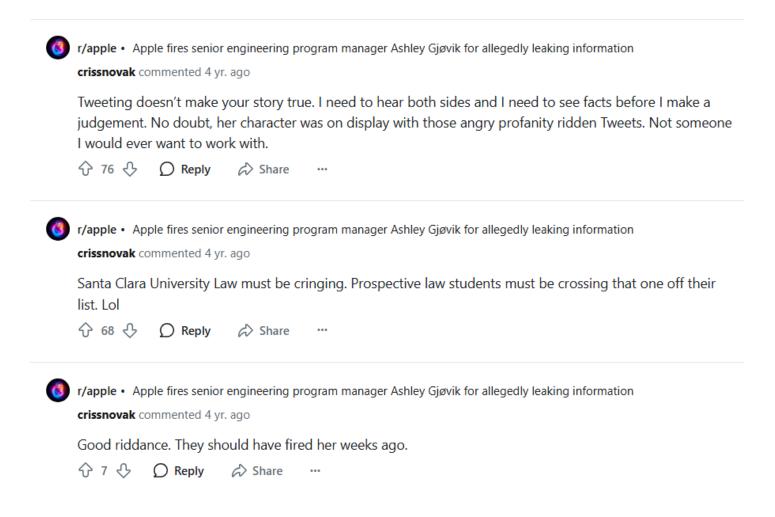


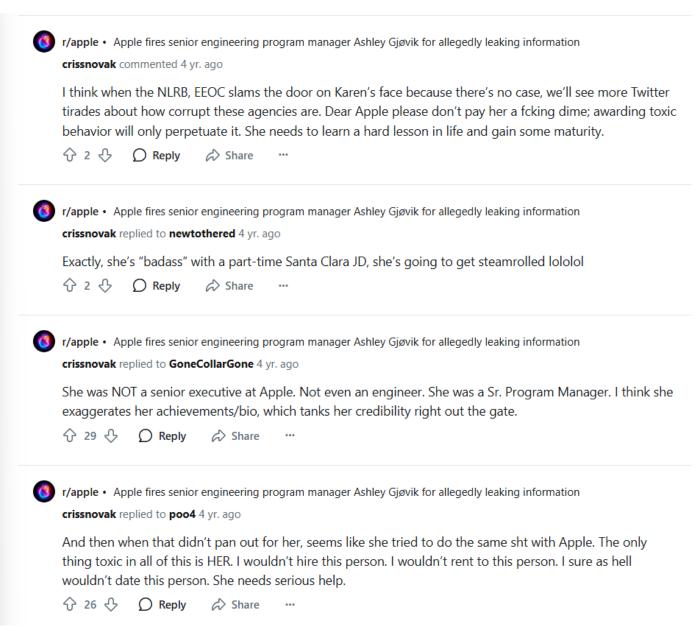
Sorry, this post has been removed by the moderators of r/apple.













r/apple • [deleted by user]

crissnovak commented 4 yr. ago

I honestly don't know a respectable lawyer that would go down this path with her, especially with all her Tweets out there. If she really did leak IP, I think she's basically screwed. Apple (w/it's army of lawyers) can sue her and it would be an easy win because it's a simple breach of contract case. Her counter suit for retaliation/harassment will be very challenging especially if her coworkers don't have her back. They may be enjoying all that Apple \$\$\$. Lawsuit would be chump change for Apple, but will certainly bankrupt her. I've never seen anyone so intent on ruining their own reputation/livelihood and for what "likes"? From her Tweets, I think she has this grandiose delusion that she's some "badass", but I think she comes off as a Karen. Shame on the "journalists" (ahem Verge) that are exploiting her and just adding to her ruin. I think what she needs is mental help; what she doesn't need is people motivating her to dig an even deeper hole she's in. Sad





Share



r/apple · Apple fires senior engineering program manager Ashley Gjøvik for allegedly leaking information crissnovak replied to bartturner 4 yr. ago

A whistleblower of what illegal activities at Apple? Simply calling yourself a "whistleblower" doesn't absolve you from your employment contract with Apple. She allegedly shared intellectual property, that's an immediate fire able offense. Good on Apple on this one. I'm actually impressed by the way they handled her. Didn't stoop down to her level. They just left her alone to angry Tweet for months so she can expose herself. Brilliant move by a brilliant company.



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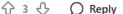
Share



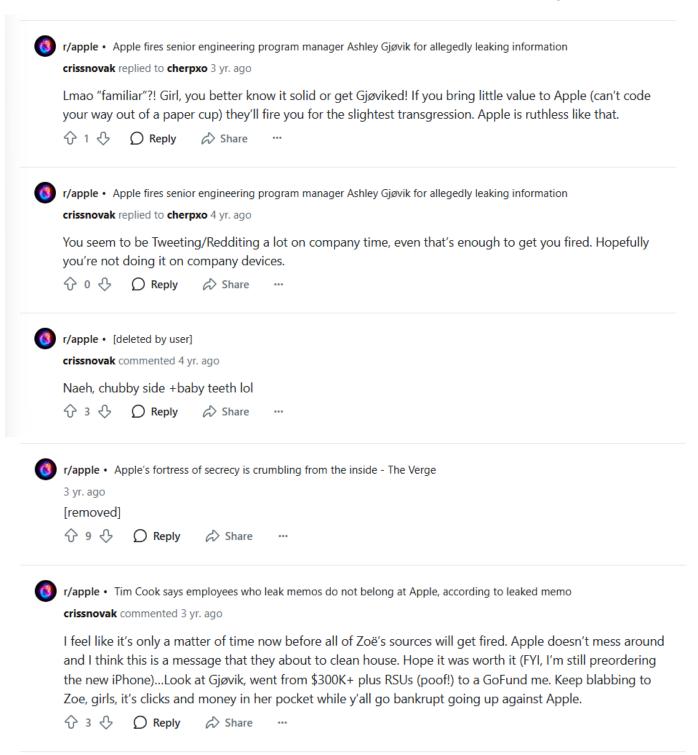
r/apple • Apple fires senior engineering program manager Ashley Gjøvik for allegedly leaking information crissnovak commented 4 yr. ago

I so hope Apple, Northrop Grumman, Irvine Company sue her and teach her a lesson. I think She thinks she going to get rich, but she's going straight to the poor house. \$300K + RSUs + healthcare + tuition reimbursement all up in smoke for this nonsense. Go Ashley go!

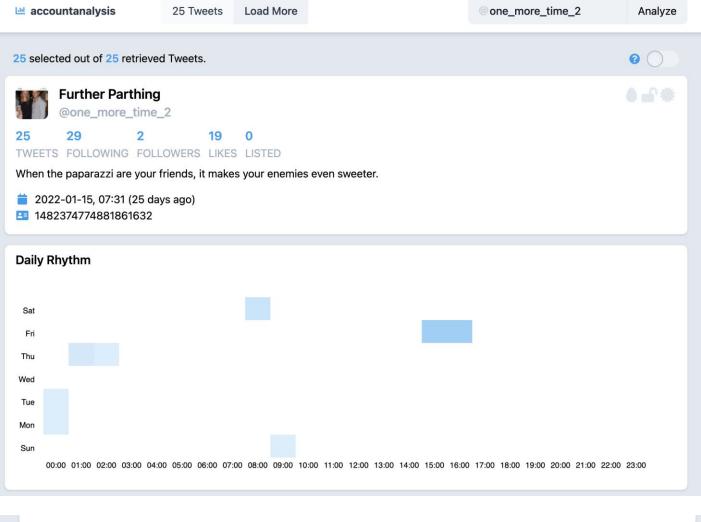


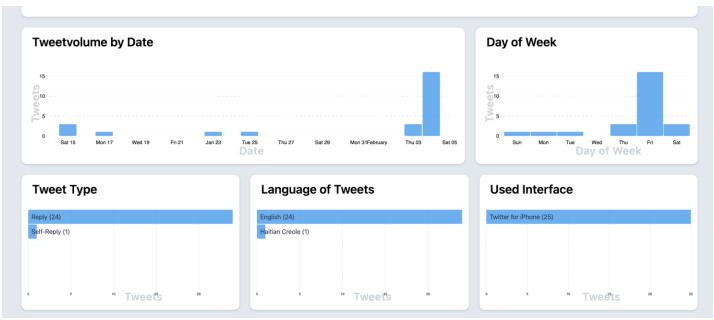


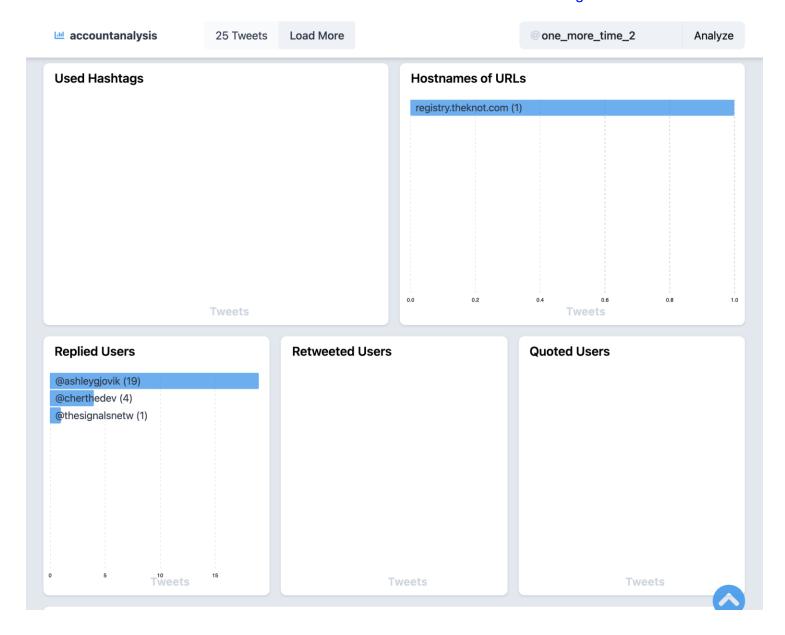
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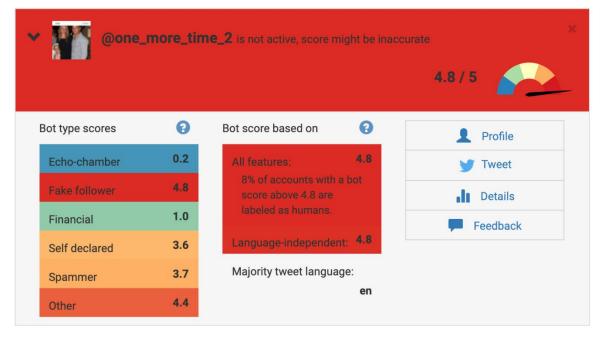


Further Parthing (@one_more_time_2, Twitter)











Further Parthing Follow \leftarrow 11 Tweets Ashley M. Gjøvik @ashleygjovik · Feb 3 #Apple orchestrated an extensive propaganda & harassment campaign against me starting with damaging false accusations, & numerous threats of retaliation, termination, litigation, blacklisting, & violence. This is now part of my NLRB, US & CA DOL cases. scribd.com Ashley Gjovik v Apple Inc - Propaganda Campaig... Ashley Gjovik v Apple Inc - Propaganda, Threats, & Retaliation Campaign Evidence Report for US ... 3 17 9 113 T, ılı ▲ Tip Further Parthing @one_more_time_2 · 1m I don't think there's nice things in there, nor do your opinions of others sound nice either. It seems hypocritical to make a 200+ NLRB complaint but not share written inventory of those YOU have offended with your words and actions. \Box ıΔ Further Parthing @one_more_time_2 · 5m Now people will associate whistleblowing with this 200+ page NLRB complaint that is too saturated with bias. Your bias. It's unconscionable how you shared so many names. 17 1 Further Parthing @one_more_time_2 · 6m Replying to @ashleygjovik and @Apple I hope you use your powers for good and not this pedantry of attacking

every minute bit of dissension. What a joke. You have only harmed

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A Tip

whistleblowing with your distracting tirades.

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←	Further 20 Tweets	Further Parthing 20 Tweets									
MOLITY PER PROPERTY AND PARTY HOUSE.	Replying You're po	Ashley M. Gjøvik @ashleygjovik · 7m Replying to @one_more_time_2 and @Apple You're posting too quickly. Please slow down so I can WayBack Arc this bullshit you're spewing.									
	Q 2	\Box	○ 1	ااند	▲ Tip						
	Opinions wrote bu	Further Parthing @one_more_time_2 · 2m ··· Opinions are just opinions and ppl don't agree just like you call what I wrote bullshit. I won't argue, you can think what you like! Sticks and stonesbut words won't hurt. Didn't you learn that?									
	\Diamond	\Box	\bigcirc	\triangle	▲ Tip						
	Replying I am follo just so ov you didn	Further Parthing @one_more_time_2 · 3m ···· Replying to @ashleygjovik and @Apple I am following your complaint becuz it is interesting. But the content is just so overwhelming, it reads more like a high school letter about how you didn't like what others wrote about you. It is a waste of government resources just to read it									
	\Diamond	\Box	\bigcirc	↑	▲ Tip						
	Replying No, none lots of pe	Further Parthing @one_more_time_2 · 11m Replying to @ashleygjovik and @Apple No, none of those are. But one can see how you think that is. There are lots of people out there not in tech who read about you and then come here and lurk and are appalled by your tweets.									
	$\sqrt{2}$	T.J		二							